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**INTRODUCTION TO  
SOCIOLOGY**



# INTRODUCTION TO SOCIOLOGY

BY

ARTHUR FAIRBANKS

SEVENTH EDITION

REVISED AND IN PART REWRITTEN

CHARLES SCRIBNER'S SONS

NEW YORK

CHICAGO

BOSTON

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**B**

## PREFACE TO THE FIRST EDITION

IN the present state of the science of sociology it is rash to venture beyond the monograph on some special topic, to discuss the subject as a whole. The present volume is not intended as a systematic reconstruction of the principles of sociology, even in outline. Its aim is rather practical. Several classes of students to-day are directing more and more attention to the science of society, with the purpose of finding a more scientific basis for their work. The minister would infuse religion into the social relations of everyday life, and seeks to understand society, which he would make Christian. Touched with a deep sense of human woe, "ethical" reformers find that material aid and education, and even friendship, cannot meet the wants of the individual, but that they must learn to know society, and work through society, in order to help the man. The effort to administer charity wisely; the effort to make criminals into men, and to prevent men from becoming criminals; the effort to develop a sounder municipal life in our cities, and a truer political sentiment in our nations—these are but some of the lines of work in which men to-day are driven to study the science of society, in order that they may not do harm where they would do good. Moreover, students of politics, of economics, of psychology and philosophy, of history, are turning more and more atten-

tion to the sociological basis of their work. It has been my aim to furnish a brief introduction to the subject, which would make plain to the reader something of its scope and importance, and, it may be, aid him in farther study. That the specialist in sociological investigation will find much here to advance the knowledge of the science, is not my expectation.

It has seemed to me unwise to burden the page with many foot-notes. To take the place of these, both in directing the reader to further material and in making general acknowledgment to scholars to whose works I have been indebted, I have added at the end of the book a bibliography, arranged in detail according to the chapters in the body of the work. I have received many suggestions in particular from Professor Giddings' papers; and regret that his *Principles of Sociology* only came into my hands when the present work was already in type. Finally, I desire to express my obligation to three friends and former colleagues—Professors Colby, J. K. Lord, and Wells, of Dartmouth College—for their help and encouragement.

ARTHUR FAIRBANKS.

YALE UNIVERSITY, April 22, 1896.

## PREFACE TO THE THIRD EDITION

THE present work was published originally with many misgivings, not as to the need of a volume on this subject, but as to the success with which the writer had met the existing need. The reception of the book has been very gratifying, and proves unquestionably the great interest which is being aroused in the study of social problems. The book was not originally intended for use as a text-book ; but in preparing a third edition, the fact that it has found a place in many colleges and universities has been constantly in my mind. The second edition was printed with only the slight verbal corrections which seemed most necessary ; in the present edition many changes have been made in order to secure greater simplicity and clearness, and one additional chapter has been written to fill out the original plan. The reader will, perhaps, miss a discussion of the sociological theories developed by Professor Giddings and Professor Baldwin, not to mention the work of European writers. To discuss these theories would have been a most welcome task, but it has seemed to me more fitting to hold to the original plan of the book, viz. : to furnish the beginner with an outline of the subject on which he can base his own further studies. Such a plan precluded any extended discussion of the theories which have been put forward to explain social phenomena ; I

need hardly add, however, that the reader will find the titles of the more important recent sociological works in the revised bibliography at the end of the volume.

I wish to express my gratitude to Professor I. A. Loos of this university for his suggestions both as to the text and the bibliography ; and I may fittingly take this opportunity to thank Mr. Totoki of the University of Tokyo, for the interest in this book which has led him to prepare and publish a translation of it in the Japanese language.

ARTHUR FAIRBANKS.

THE STATE UNIVERSITY OF IOWA,  
IOWA CITY, January 21, 1900.

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# INTRODUCTION TO SOCIOLOGY

## I

### THE SUBJECT-MATTER OF SOCIOLOGY

**What is a Society?—The Object to be Studied—Definition—A Society and Social Groups—Society and Social Classes—Importance of the Study of the Social Group—Neglect of the Social Factor in Study of the Individual—Individualistic Study of Society—Study of Society and Study of Man run Parallel—Individuals and Society are not Separate Things—Natural Sciences and the Study of Society.**

SOCIOLOGY is the name applied to a somewhat inchoate mass of materials which embodies our knowledge about society. Careful students and sentimental reformers alike profess devotion to the new science. Economics is to be a branch of sociology; theology is to be driven from the pulpit by the new religion of social reform; law and morals may be put on a true foundation, the state at last may learn its true function, and the family its true meaning, because this new science has been discovered toward the close of the nineteenth century. Its forms are as yet varied, and perhaps would suggest a series of pseudo-sciences instead of one genuine science. Spencer uses the term sociology to mean the study of social institutions in their origin and development; Letourneau applies it to the study of social beginnings, and it has been extended to cover a good deal of ethnology and anthropology; Comte, who has the honor of inventing the word *sociologie*, meant by it the goal and summation of all science as applied to the regulation of human society; in America the name

has been applied indifferently to any study of social conditions which aims to regenerate society. Such are some of the claims put forward by the devotees of this new science, and some of the various types which it has assumed. In view of all this confusion and perplexity, it must be the first work of the student to define the scope of this science, if such it be, and to determine its relation to other sciences already recognized as such. Accordingly, I propose first to define the object to be studied, viz., society or the social group, and to indicate the importance of such study; secondly, to discuss the relation of the general science of society to the special sciences dealing with particular classes of social phenomena; and, thirdly, to inquire whether the study of society as thus defined deserves the name of a science.<sup>1</sup>

**What is a Society?**—Sociology claims to be the science of society, and the question immediately arises: What is society, or a society, this object which is to be studied? To-day many writers talk freely of society, and mean by it, on one page, *humanity*; on the next, a *family*, or a *race*; on the next, *social intercourse*. Those writers who regard society as an organism are perhaps the most careless in this matter, and confuse the reader by including in said organism at one time the world as a whole, and again, without notice of change, some small group of men who have united for a definite purpose.

The question is not simply as to the meaning of a word, important as this may be; so long as the object to be studied by a science remains vague, that science cannot be expected to attain clearness and accuracy. Let us make it our first task to ascertain exactly the object that the sociologist proposes to study, and only then shall we be in a position to ask whether the method of sociology can be made scientific, and, on the other hand, whether sociology

<sup>1</sup> These prolegomena to the science of society should perhaps serve as an appendix rather than as an introduction. Certainly Part III. may better be read after the remainder of the volume.

has a definite place along with other sciences which propose to study society.

**Definition of a Society.**—A society may be defined as a group of men who are bound together in relations more or less permanent.<sup>1</sup> For scientific purposes men are grouped in classes which include those who are alike, and exclude others; such a group is not a society, for it exists only in the mind of the thinker. On the other hand the company in a railway car includes most diverse characters, but even so casual a relation may bind them into a sort of society. Persons in the same audience are a society when their minds are united even temporarily by a common interest in the speaker. The family perpetuating the same life for generations, is a society. A society is a group of persons sharing a common life for a longer or shorter time; but inasmuch as there is an important distinction between the smaller societies developed to perform a definite function, and the larger society in which these exist, I shall frequently call the former “social organs” or “social groups.” These intervene between the individual and the larger society to which he belongs: they constitute the framework or structure of that society; in the language of biology they may be called its organs.

Whatever distinctions may be drawn between the social group and the larger society in which the group exists, in principle the character of the two is the same. The limiting lines may be very definite, or they may be somewhat vague, but in each case the central fact is a common life in which members of the group or society share.

**A Society and Social Groups.**—The word “society” then may be applied to the larger body in which the social groups exist. A society differs from these smaller groups in that it is not called into existence to perform any definite function, for apparently it exists to be served rather than to serve. It does not always coincide with a city or

<sup>1</sup> Cf. Gumplowicz, *Grundriss der Sociologie*, p. 139 *sqq.* Eng. trans., p. 136 *sq.*

other local group, or with a nation, the political group ; the word covers more nearly the same ground as the term *people*. In general a society coincides with a type of culture. "Society" meant for the Jew, the Hebrew race ; for the Greek, those whom Greek culture had brought under its sway or had made to contribute immediately to its progress ; for the Roman, the Roman world—those who acknowledged the dominion of Rome. To-day "society," in the broad use of the term, means for us those who have yielded to the influence of Christian civilization ; and we seem to foresee the day when all the larger and more important ethnic groups may be regarded as parts of one society, because they share the same culture and the same civilization.

**Society and Social Classes.**—The object which sociology proposes to study is society as a whole, together with the smaller societies or social groups which are developed to perform special functions in the life of the larger whole. But while it is only the group as a society which properly comes within the sphere of sociology, it is evident that various types of social classes must be examined in order to understand the groups which may be called societies. Life in the same locality and identity of race are the basis of classes which all but inevitably become social groups sharing a common psychological life, so that these classes cannot be neglected by sociology. In similar manner the classes which are developed in an advanced state of society, classes according to rank, according to occupation, according to economic and moral condition, etc., must be considered by sociology because of their influence on the groups which may be distinctly recognized as societies. After this has been granted, the student should never forget that the real object of sociological study is not classes of men that are alike, but groups of men who have come to share a common life.

So important a subject as this has, of course, received some attention before the rise of a branch of science entirely devoted to the consideration of it. Even when the

historian has commanded the reader's imagination by selecting great men for his theme, the true student has recognized that it is the ideals of the nation which find expression in their lives. The study of leaders in thought and action deserves the name of history, not because these leaders are the only men worth studying, but because the study of their lives may let us see inside the real life of the nation. The real subject of history is the life of a people, the development of the groups which go to make up this life, and the way in which these groups act together to form the larger whole.

**Importance of the Study of the Social Group.**—The attempt to apply the doctrine of evolution to society and to the results of social life has shown the importance of the social group as an object of study. It is the group quite as much as the individual which is subject to the law of natural selection and survival of the fittest. Among savages these groups may be small and subject to change; still it is groups, rather than individuals, which compete with each other for the means of existence. The members of a group shield each other from the full effect of the natural laws of survival, so that the very existence of these laws has been questioned; but in the struggle of group with group they are seen operating in full force. The influences of climate and physical environment affect the size, activity, and energy of the group quite as much as they affect the individual life. Turning from primitive society to society highly civilized, we find that still the members of a group shield each other, while group struggles with group. The weakest child receives the most care in the family; the trades-union means that laborer stands by laborer; the great function of the nation is to protect its citizens from internal lawlessness and from external attack. Every social institution unites men good and bad into one social group, which stands or falls as a unit in the struggle with similar competitors. The laws of natural selection apply to the social group, and this is

therefore the important unit in the process of social evolution.

**Neglect of the Social Factor in Study of the Individual.**

—But while the study of the social group has been recognized as important and has been emphasized in some developments of modern thought, its full meaning has been generally neglected. Law, philosophy, and especially religion, have tended to exaggerate the importance of the individual as the social unit, and the vital connection between individuals has been overlooked. The example of psychology will illustrate the results of this atomistic study of individuals. We speak of the “old psychology,” but psychology, both old and new, has ordinarily stopped with the individual mind; the new psychology differs from the old in that it applies scientific methods to the study of mind as a physical organism in a physical environment; it does not emphasize the environment of mind by mind, and it is inclined to overlook the distinctively human faculties which are developed in this psychical environment. History tells us how psychologists have invented doctrines of innate ideas to cover what their study of the individual did not explain; how language and religion have been regarded alternately as the gift of God and as the invention of cunning men; how the highest ideals of the race, ideals of truth, of beauty, of goodness, have been at one time treated as intuitions implanted in the individual by an extramundane power, at another time entirely overlooked or denied. In a word, man has been stripped of the psychical powers which are his inheritance as a social being, and upon the naked skeleton of a mind thus obtained, psychologists have thrust what garments they would. The individual person exists in society, and any true study of the individual must recognize the dependence of his habits, his ideals, and all his intellectual activity, upon the psychical life of the group of which he is a member.

**Individualistic Study of Society.**—There may be some excuse for thinkers who have neglected the social factor in

their study of the individual, but I can see no shadow of excuse for the way in which individualistic ages, like the present, have sought to understand society without looking beyond the individuals which make up society. Two problems are proposed to the child, under the name of mathematics: If one acre will yield twenty bushels of wheat, how much will six acres yield? If a man can make one table a day, how many can ten men make? The vital difference between these two questions does not appear in the first chapters of the arithmetic. Economics has made much of the "economic man" in its attempt to explain one group of social phenomena, but it has become evident that an equipment of social instincts is not enough to make such a typical man the basis for a social science. The social organs for the production and distribution of wealth are more than mere aggregates of "economic men," and unless they are studied as forms of society, economics is a one-sided, if not a barren science. The politics which began with the freedom and equality of all men, and yet forgot that they were brothers, has done good service, but its fruits do not justify its claim to scientific truth.

Various types of social philosophy have failed, because their attention was centred on the individual. The theory of natural rights and natural law, and in like manner the social contract theory, suffered from this defect. They began with an abstraction, viz., individuals apart from society, and they ended with an abstraction, a "natural" or a "contractual" government. In contrast with these are the theories of the idealist philosophers, who would willingly make a place for society in their system. They have equipped the idealistic *individual* with countless social instincts and social notions, but even then they fail to explain society, for the problem is not fairly stated. And I am inclined to think that even those students who have most clearly recognized the organic character of society, have been unable to escape entirely from the habit of studying primarily the individual. For example, Mr. Spencer be-

gins his *Principles of Sociology* with an elaborate reconstruction of the primitive man, an abstraction even farther from reality than the economic man; and Mr. Ward, in his study of the dynamics of society, hardly recognizes social organs and activities at all, but devotes his attention to the individual as a potential member of society.

**Study of Society and Study of Man Run Parallel.**—It is not difficult to see that the study of human nature, of man as man, and the study of human society, run parallel, and should always complement each other. The student of physical nature posits molecules and atoms as the individual units in the realm of nature, and he seeks to explain the aggregate and these units in terms of each other. The atom studied by itself cannot explain the aggregate, for the atom is a mere abstraction never existing by itself. The forces at work in the crystal, or in the plant, are the forces which chemistry and physics have made most familiar to us; but chemistry and physics are not the whole of natural science, for the study of atom and molecule by themselves does not reveal the properties of their combinations. In the study of physical nature, it is clear (1) that the unit and the aggregate are not separate things, and so are not to be studied as separate things, but rather as interacting parts in one whole; and (2) that the properties of the combination cannot be fully ascertained by studying units which are formed by abstraction.

**Individual and Society are not Separate Things.**—It is equally true in the study of human nature that the individual and society are not separate things, so that neither can be fully understood when they are studied separately. It is easy to forget that the human individual, when separated from his mental and moral environment, is an unreal abstraction—a mere possibility of becoming a man. Farther, it is true that society is a composite whole, the properties of which cannot be fully ascertained by any study of the single person. In the animal, atoms and molecules in-

teract upon each other to produce new results, by reason of their organic relation, and the organic whole maintains a definite relation both to its component parts and to its environment. (a) In society, the units interact upon each other, and determine each other in new ways because of their relation. A man growing up in solitude would know some forms of pleasure and pain ; he could not understand all the phenomena of love and hate, of anger and pity, of sympathy and revenge, for these can only exist as man touches man in society. (b) Again, society as a whole maintains a definite relation to its constituent factors. Laws and moral ideals, custom and public opinion, shape the lives of individuals ; and in these lives they are born anew, to determine the character of the whole. (c) Finally, the social whole maintains an equilibrium in its environment, a unity in the midst of change, which might be termed its life. The church, the school, the factory, are not chance aggregates of men, but each realizes a common life, each unifies the common religious, or intellectual, or economic activity of those whom its influence touches. It is the conditions, the forms, and the laws of this common life, which sociology is to study.

**Natural Sciences and the Study of Society.**—Sociology, in the broad sense of the term, is the science which deals with social phenomena ; and it is in this sphere of social phenomena that the special features of human, in distinction from animal life, are to be found. Not that there is a sharp and absolute line dividing the facts of animal life from the facts of human life. The phenomena of association and even a rudimentary semblance of reasoning do appear among animals. Nevertheless, the science of animal life is primarily concerned with purely biological data, while the study of man deals with the facts of rational life. The attempt has been made to set the so-called sciences of man over against the natural sciences. Whether the study of society can ever be placed alongside the natural sciences and lay claim to equal validity, is a question

I propose to consider later. Certainly there is a group of studies concerned with social phenomena, studies which deal with man as a being that reasons, chooses, and seeks to realize ideals ; and the question now before us is whether sociology can vindicate for itself a definite place in this series of studies.

## II

### THE RELATION OF SOCIOLOGY TO OTHER SOCIAL SCIENCES

The Study of Social Phenomena—The Sociological Basis of Economic Theories—The Study of Language—Sociological Theories Underlie Linguistic Theories—Relation of Sociology to the Social Sciences—The Sphere of Sociology as a Science.

**The Study of Social Phenomena.**—Sociology is a relatively new claimant to recognition in the list of sciences, and it finds already in the field several others which profess to deal with much the same subject. Economics, politics, and a series of so-called comparative sciences, deal each with a particular class of social phenomena; while the student of history seeks to discover the relation of these different classes for one people and one age, and examines the development of a people from age to age. Sociology, defined as the science of social phenomena, includes all of these social sciences; in this general use of the term it is not a distinct science, but rather the name for a body of knowledge including several sciences. The more definite sphere of sociology as a science is indicated when we recognize that each of the sciences dealing with social phenomena involves a theory as to the nature of society, so that in order to proceed safely and correctly it must have a correct theory of society. One or two examples will make this plain.

**The Sociological Basis of Economic Theories.**—The most fully developed science of social phenomena is undoubtedly economics. Much of the progress made by it has been on the assumption of a particular theory of social organization, although perhaps the theory has sometimes

been unnecessarily emphasized. This theory, in its extreme form, abstracts from all other human attributes and postulates, as the economic man, a being ruled by one desire—the desire for wealth. Out of such units it puts together its social structure, and then attempts to outline a “mechanics” of this economic society. In such a society, combinations and separations, amity and hostility, are explained by one and the same principle, just as the formation of worlds and their present position in the heavens might be explained according to one principle by a “celestial mechanics.” Strange to say, the economic society thus outlined bore a remarkable resemblance to the industrial state of England during the early part of the present century, so that these prophets might claim honor in their own country, if not elsewhere. While this economic theory of society, like some other semi-mathematical abstractions, has served good purpose in isolating one class of phenomena and even making them subject to measurement, it is fortunate that economic science has not followed this theory any too closely. The varied needs, interests, and habits of men have never been completely ignored, and they have commanded increasing recognition. The rise of newer economic schools, as they would call themselves, has made it evident that if economics is to interpret industrial phenomena in any satisfactory manner, it must have some theory of society that is *broader* and *more concrete* than that which it has put forward in the past. The economic structure is really an abstraction from the general structure of society; a necessary and useful abstraction, but nevertheless it cannot be fully understood by itself. The economic group or organ is a social group or organ, with an economic end in view; and the principles of its existence and development can only be learned by a study of social organs in general. Economic progress is social progress viewed from one special standpoint; it should be studied as one phase of the evolution of society. In a word, sociology is more

fundamental than economics and the other sciences which deal with special classes of social phenomena. Naturally, it has arisen later than these sciences which handle more concrete problems, but they in turn are to become dependent on the general principles which it deduces. The general principles governing the life of men in society, are the basis on which economics will have to build its theory of the economic life of society.

**The Study of Language.**—The necessity of some theory as to the nature of society, and the importance of a correct theory, may be illustrated farther by the example of linguistics. Until recent times, the study of language consisted in the collection of masses of material from which it was difficult to make genuine deductions, because no true principle of arrangement existed. The effect of the idea of evolution, and the application of the comparative method, have wrought marvellous changes by introducing such a principle. Grammatical forms are studied now as an evolution, *i.e.*, later forms are treated as descendants of earlier forms. The lexicographer is no longer content with grouping the meanings of a word as may seem to him convenient. He desires to trace the “evolution” of different meanings from the simple meaning of a postulated or original root; here, again, evolution has meant nothing more than descent; the problem has been to trace words back to their “arboreal ancestor.”

**Sociological Theories underlie Linguistic Theories.**—The history and theory of language are indissolubly connected with the history and the psychological capacity of man. Language is a social product, it is a function of all psychical activity, so that its changes and its evolution are but one side of the evolution of society. Accordingly, different theories of social evolution are reflected in different theories of the development of language. Mr. Spencer teaches that social growth is subject to a law of differentiation and integration; forms of social life tend to separate, and new organs are arising to perform special functions for the

whole organism. In harmony with this theory, language should grow in definiteness and in complexity, for it is but one phase of social activity. Such a theory of the development of language prevailed widely, earlier in the century. Dr. Robinson, in the preface to his translation of Gesenius's *Hebrew Lexicon*, described it as follows :

“The historico-logical method of lexicography first investigates the primary and native significance of a word, and then deduces from it in logical order the subordinate meanings and shades of sense, as found in the usages of different ages and writers, which, in short, presents a logical and historical view of each word in all its varieties of significance and construction.”

The same principle prevailed in Passow's *Greek Lexicon*, and to a degree in the lexicon of Liddell and Scott, which was based on this.<sup>1</sup> Another thinker<sup>2</sup> explains social growth by the antagonism and amalgamation of elements originally heterogeneous ; one tribe reduces another to slavery ; the new group is more complex, for the tribe that was stronger has risen by subjecting the other to its own ends. Language would reflect such a process as this ; its complexity would be due to the antagonism and amalgamation of different elements, while its extension and unification would represent the end rather than the beginning of its development. The new *Hebrew Lexicon* of Siegfried and Stade expressly repudiates the principles on which its predecessors for half a century had been constructed :

“On principle we have avoided setting up any so-called ground-meaning of words. For we are of the opinion that in a language the development of meanings does not proceed from a splitting up of a general and comprehensive idea into special meanings which, so to speak, represent the parts of

<sup>1</sup> In fact, the preface to the first edition of Liddell and Scott blames Passow for paying too much attention to the context (especially in Homer) in determining the exact meaning of a word. Such a procedure is not “logico-historical.”

<sup>2</sup> Gumplowicz, *Der Rassenkampf*.

the general conception ; but rather that these special meanings arise by the transfer of a word with a special meaning to something else that is special, which appears similar to the former or is thought in connection with it. In our opinion, the general meanings represent weakened (*verblasste*) special meanings. Especially do we consider those general meanings, which in the last decades have decorated our Hebrew lexicons and commentaries, as products of modern thought, or, if you will, as phantoms, which never corresponded with anything real. And purposely, too, have we avoided giving the history of the development of meanings of the individual words through the various stages; for we are too far removed from that time to make such an attempt successfully."

The history of language may be our most important key to the development of culture, and the growth of the social organism ; but language can never be understood except as a function of the growing organism. Each theory as to the development of society has its counterpart in the particular science of linguistics.

**Relation of Sociology to the Social Sciences.**—The relation of sociology to other sciences dealing with society, which I have attempted to illustrate by the case of economics and of linguistics, may be briefly outlined as follows. Social phenomena are various and complex. Without pressing the figure too far, we may say that society is a very complex organism in the course of development. No one observer, and no one method, will suffice for its study. One series of social sciences will deal each with a special class of social phenomena, noting their rise, development, and present character. Politics, for example, discusses the phenomena of the state, and comparative religion the religious phenomena ; each science will include both a historical and a critical discussion of its phenomena. These may be regarded as the first series of social sciences. Again, different eras, "cross-sections" of this process of development, may be studied by themselves, in order to learn the relation of different classes of phenomena within such a

section, and to trace in detail the causes of change from a preceding section. History, and more definitely the history of civilization, is the inclusive name for the study of society in this second manner. Finally, special phases of this development, each of which touches various classes of phenomena, may be studied independently. The investigation of institutions such as the family and property hardly belongs to a science dealing with one class of social phenomena, for such an institution affects profoundly the structure of society itself, and all the different classes of phenomena which the first group of social sciences discuss.

In the broad use of the term, sociology might include all these various sciences which deal with social phenomena. But after this study of special classes of social phenomena, of sections and phases of this development, has been fairly begun, it becomes possible to study intelligently the general character and the general growth of the social "organism" as a whole. This latter study of general principles *logically* precedes the study of the social sciences, though chronologically it must follow them. It is my belief that such a "social biology" will work as profound changes in the social sciences, as the study of biology proper has wrought in the sciences dealing with plant and animal life.

**The Sphere of Sociology as a Science.**—This last analogy may serve to indicate with some distinctness the exact sphere of sociology, and the results which may be expected from such a study of society. Biology deals with the general phenomena of life, and the fundamental principles of life and growth; it discusses also the evolution of new forms of life, and the laws governing this process. The name might be used to embrace all the biological sciences, but it refers in particular to the common basis of these sciences. Similarly, sociology might be regarded as embracing all the sciences dealing with society, but it does not destroy the partial independence of any of these branches. In a way it includes economics, politics, etc.;

but instead of supplanting them, as Comte thought, its proper sphere is to lay the foundation for these particular social sciences. Defined from this standpoint, sociology will deal (1) with the general structure of society, its organs, and their functions ; and (2) with the laws governing social progress, or the evolution of new and more complex forms of social life. On this foundation the sciences dealing with special classes of social phenomena can build with a sure hand.

### III

#### CAN SOCIOLOGY BE REGARDED AS A SCIENCE ?

Sociology has not been Studied by Scientific Methods—Character of Social Phenomena—(1.) The Field of Sociology as a Science—(2.) The Method of Sociology as a Science—Special Methods of Particular Sciences—Analysis, Classification, Induction—(3.) The Nature of Social Phenomena—Human Society and Natural Law—Natural Law as “Jus Naturale”—Natural Law as Physical Law—Natural Law as Mechanical Law—Natural Law and a Mechanics of Self-interest—The so-called Moral Order of Society—The Scientific Study of Social Phenomena—Society as Part of the One World-process—Social Phenomena Distinctly Psychical in Character—The Science of Society and the Freedom of the Will.

#### **Sociology has not been Studied by Scientific Methods.**

—Granted that social phenomena constitute a set of facts the study of which is important, and that the study of special groups of social phenomena is incomplete unless supplemented by a study of the general principles of life in society, the question still remains whether the study of these branches can be prosecuted in a genuinely scientific manner. In its fundamental form this question is as important for economics or history as it is for sociology, inasmuch as it is concerned with the right to the name *science* rather than with the progress which any one of these studies has already made. So far as this last point is concerned, it may readily be granted that scarcely any of the study of society as a whole deserves to be called scientific. Ordinarily it has been a practical interest which has directed men's attention to this object, and the result of their study has been an embodiment of their desires and aspirations in the account of a No-man's land. And if the thinker felt metaphysically inclined, he has no doubt justified his pict-

ure by adding a deduction of it from his metaphysical principles. The apparent gravity of the evils to be remedied, the difficulty of checking results and of proving opinions erroneous, and in particular the absence of data on which correct conclusions could be based—these are only a few of the factors which have made the study of society unproductive.

**The Character of Social Phenomena.**—The answer to the question whether social phenomena can be studied in a truly scientific manner, depends on the character assigned to these phenomena. Are they a distinct set of facts, a definite field for definite study? Can they be approached by essentially the same methods by which knowledge in other lines has been advanced? And are they subject to law, part of a world order, or are they capricious events which can only be grasped as single occurrences? These separate questions as to the *field*, the *method*, and the *nature of the facts* must be considered separately.

**1. The Field of Sociology as a Science.**—The first question, then, is concerned with the field to be studied. Historically sciences have not begun by defining a certain field, dividing it up and proceeding to investigate it systematically; but scientific character can only be predicated of a study which gradually has found a distinct set of facts with which it could deal. Astrology became astronomy when it discarded the great mass of fable concerning the influence of the stars on human life, and confined itself to a study of the nature and relation of the heavenly bodies. As physics and chemistry have become the sciences which studied, the one the nature and relation of masses, the other the nature and relation of the qualitative elements of which masses are composed, so at length biology has been developed, a science of the living cell and of the relation of cells in living beings. The definite sphere of biology is no longer questioned, even though on the one hand cells are objects which physics and chemistry study from their own standpoint, and, on the other hand, such descriptive sciences

as botany and zoölogy consider living beings themselves from another point of view. That the facts of conscious life and in particular of human life, constitute a distinct field of study, as distinct and as important as the facts about physical masses, cannot be questioned. The social sciences, or the sciences concerned with man, deal with conscious beings and the relation of conscious beings, just as chemistry deals with atoms and the relation of atoms. The only important question is as to the relation of the fundamental social science to particular social sciences, and this question we have already investigated, with the following result: The study of any one branch of social phenomena presupposes a theory as to the character and relation of conscious beings; this general theory is not supplied by any particular social science, but it is the task of sociology in the narrower sense of the term to investigate this subject, and to formulate our knowledge of it. If the considerations brought forward in the second part of the introduction are correct, sociology has a definite field of study, and so far as this point is concerned it may become a science.

This definition of its field throws out much that has been written under the name of sociology. The great branches of Ethnology and Anthropology have been discussed as sociology;<sup>1</sup> and in particular the study of primitive man has been carried on under this name.<sup>2</sup> They are social sciences, but they are not concerned with primary facts as to the nature and relation of conscious beings. The study of such institutions as the state and the family has been called sociology. In so far as this study is purely historical, the use of the name might be questioned; but the study of the essential nature of these institutions deals with the relation of men, and forms an important part of sociology. On the other hand all the questions of practical reform which are treated under the name of sociology

<sup>1</sup> Spencer, *Descriptive Sociology*, 1873-1881.

<sup>2</sup> Letourneau, *La sociologie*.

do not form a part of the *science* of sociology in the strict sense of the term. The improvement of social conditions is by far the most important end of the science which studies social phenomena. It is, however, the work of a science to study facts and relations as they exist, and the introduction of practical questions before this foundation has been laid, is both disastrous to the advance of the science and futile so far as the practical questions themselves are concerned.<sup>1</sup>

**2. The Method of Sociology as a Science.**—The second topic to be considered is whether social phenomena can be studied by methods which deserve to be called scientific. It is not to be expected that the methods of one science can be transferred to another without change. Still there is a sharp line between the exact methods employed in the sciences, and the vague and uncertain lines that are followed by popular thought. One school of sociologists use as their main tool for dealing with social phenomena the analogy between a society and an animal organism. Apart from any question as to the validity of their results, it seems to me impossible to maintain that the use of general analogies can be regarded as a rigidly scientific method. Again, there seems to be truth in M. Tarde's objection<sup>2</sup> to a sociology which deals in general statements about masses of men. Undoubtedly we can point out some distinct characteristics of the French as a people, and it has not been difficult for writers to expatiate on the nature of the female sex; such generalities M. Tarde compares to a classification of flowers by their colors or by the number of their stamens. If the method of sociology has not been scientific, we may well ask: Is it possible to apply genuine scientific study to this class of phenomena?

**Special Methods of Particular Sciences.**—It is evident that certain forms of scientific method can have no gen-

<sup>1</sup> This question is discussed more fully in a note at the end of this section of the introduction, p. 31, *infra*.

<sup>2</sup> *Social Laws*, p. 48 sq.

eral application in sociology. In natural science the use of experiment has proved an invaluable tool; by varying the conditions of the same process, non-essential factors can be eliminated, and the relative importance of those that remain can be accurately estimated. The student of sociology is practically precluded from the scientific use of experiments, though he may gain much information from the experiments of rash social "reformers." He cannot isolate his phenomena in the laboratory, but he is forced to search the almost unlimited material at his disposal until he finds the different phases of the process he is studying in actual historical occurrences. Again, the method of measurement has a somewhat limited scope in sociology. A method of first importance in physics, in biology it almost disappears, and in sociology it finds a limited use in the form of *statistics*. The importance of the study of statistics can hardly be overestimated, and yet there are many social problems to which as yet it is quite inapplicable. In a word the special methods of other sciences appear in sociology; at the same time we are not justified in arguing that the study of social phenomena is, or is not, scientific by the fact that these special methods have only a limited application here.

**Analysis, Classification, Induction.**—The essential characteristic of scientific method is that it analyzes, and classifies, and by induction arrives at the general principles which underlie particular cases. Measurement and experiment are simply tools to this end. In so far as the student of social phenomena accurately analyzes the facts at his disposal, and arrives at fundamental principles with reference to the character and relations of conscious beings, the name *scientific* cannot be denied to his method. That he has been able to accomplish relatively so little in this direction is not discouraging when the newness of the science, and the complexity of the facts it studies, are taken into consideration. Every science, moreover, has been obliged to elaborate the particular form in which it

applies the general method of science, and this task is a slow and a difficult one ; accordingly, we need not be surprised that sociology is as yet in the tentative stage, and that the problems of life in society are attacked in very different ways.

In the consideration of the problem before us, viz., the scientific character of sociology, we have assumed thus far that there are certain general principles underlying the association of conscious beings. By social facts, we have meant not simply the isolated facts of history, but rather general facts as to the nature and relation of men. In other words we have assumed that social phenomena, like physical phenomena, exhibit constant elements which can be expressed as laws, and which constitute a part of the so-called "order of nature." For physical phenomena a similar assumption seems to be abundantly justified by its results ; for social phenomena the assumption has so often been disputed, that it cannot be made without some discussion of the problems that it raises.

**3. The Nature of Social Phenomena.**—The third question to be considered, then, has to do with the prevalence of natural law in human society. This question is by no means a simple one, for various interests are involved in it, and the discussion of it has been obscured in the past by great looseness in the use of terms. Students of social phenomena have regarded society now as a natural order, now as a moral order, so-called ; and both the advocates and the opponents of the naturalistic view have confused the subject by discussing different questions under one and the same name.

**Human Society and Natural Law.**—The phrase "natural order," when applied to society, properly means the interpretation of human society as part of the general order of nature ; and except for the continued failure to recognize it, we should hardly think it necessary to add that "nature" should be used to mean something more than physical, material, nature. When Aristotle discusses

the different types of state as he finds them, and attempts to trace the order of their development and the causes to which each is due ; when Montesquieu finds in the nature of each people the explanation of its government and of the character of its laws ; or when historians generally, following the course marked out by Lessing, have sought to go beyond the mere transcript of events and to explain them by causes,—it has been the constant presupposition that society is a part of the order of nature. Nor would the question seem complex except for the great variety of misconceptions to which it has given rise. I need only remind the reader of a few of these.

**Natural Law as “Jus Naturale.”**—Earliest, and perhaps first in importance, was the conception of a *jus naturale*, which was afterward so deeply modified by the Stoic conception of life. In this connection natural law came to mean law that was universally binding, simple, reasonable—the remaining fragments of the “law” of the golden age. To this theory, which influenced so profoundly the later developments of Roman law, may be traced the use of the word *natural* as equivalent both to *primitive* and to *ideal*. This current of thought was at its maximum in the eighteenth century, and in the person of Rousseau. Thinkers placed their ideal in the past, and assigning it universal authority they sought to institute the golden age once more by the very simple method of retrogression. In this state of nature men were free, for no tyrants had as yet risen to oppress them ; they were equal, for social differences had not yet had opportunity to arise and corrupt the simple life. Even to-day “natural” law suggests an absolute order based on principles of reason,<sup>1</sup> although this order may not be projected into the past. It still suggests that there is one definite “best” type, to which society ought to conform. When the word *natural* is used to mean that society is a part of nature, and so an object of scientific study, it is still necessary

<sup>1</sup> Maine, *Ancient Law*, p. 87.

to repudiate this old meaning that was once attached to it.

**Natural Law as Physical Law.**—Nor should the word *natural* be understood as referring particularly to physical nature. The attention paid to physical science during the present century, and the wonderful results with which this study has been rewarded, have tended to crowd out the sciences dealing with man, or to reduce them to physical sciences. Science, in the minds of many, has come to be equivalent to physical science, natural law to physical law; to such, the study of society as a natural order seems to mean the explanation of society from physical forces, as for example climate, without reference to psychical facts. There is a justifiable treatment of social phenomena from the physical standpoint, but writers who in so doing would neglect the psychical side of social life in their study of the physical, are guilty of deserting higher truth for what would be a lower truth if it were not put where it becomes error. It has been wisely remarked<sup>1</sup> that when the scientific concept “nature” is extended to include social facts, the meaning of this concept is also extended. The facts of social life we know as it were from inside, so that they cannot be placed on the same plane as facts in the external world of sense.<sup>2</sup>

**Natural Law as Mechanical Law.**—Connected with this interpretation of natural law as physical law, is the belief that in a natural order the course of events is *determined* without reference to any activity of mind. The mechanism of physical nature is what it is, and it does not inevitably suggest the presence of intelligence or of will; consequently a natural order of society is interpreted as a social order existing as it is, and independent of mind. It is assumed that fatalism is the out-

<sup>1</sup> Bernès, *Revue d'économie politique*, March, 1894.

<sup>2</sup> In treating society as a part of nature, and the laws of its activity as natural laws, I am far from endorsing the method of Quetelet and Buckle as the true way to study society.

come of naturalism, and in the social sciences this fatalism has been made the basis of a very emphatic *laissez-faire*, for natural order has been interpreted as meaning an order that is both necessary, and the best attainable. Beyond question, a natural order is one that cannot be changed by mere wishes, or reversed by some new bit of legislation. The natural laws of society are simply the modes of activity necessary to attain ends, they are not prescriptions of duty coming from a law-making power and changeable at the will of such a power. It is difficult to apply the words *good* and *bad* to the order of nature, nor is this order *necessary* in the ordinary use of the word ; it is necessary in that man cannot change it, good in that man can use it—the basis of social development, not the denial of all development. It is not fatalistic, for it is the basis required for intelligent activity, that by means of which a mind can accomplish its ends ; it certainly is not an order such that human society must remain as it is, such that a reformer is an absurdity, and a new invention a crime. A fixed order and fixed unchanging laws in the world of physical nature are, though men have been slow enough to learn it, the very foundation of human intelligence. Perhaps the most potent factor in all human progress has been the patient, earnest investigation of these laws, which has made the forces of nature subservient to human ends. The only secure basis for social progress lies in the recognition of natural law in the social world ; when such laws are sought out and discovered, then man can utilize them for his advancement. Natural laws, I repeat, do not assign duties, but they explain consequences—and the belief in a natural order is a belief that these consequences do follow the actions, in spite of any amount of wishing or legislating. The fatalism which the phrase has suggested both to the opponents and the advocates of this belief, is an unjustifiable addition of an element wholly foreign to it.

**Natural Law and a Mechanics of Self-interest.**—I will mention but one other wrong meaning which may be

attached to the phrase "natural order," viz., it has often suggested a social mechanics based on pure self-interest, or on some other equally simple motive. The truth is that the easiest way to form a mechanics of society, is to take one simple and universal motive, and neglect all other motives to action. This course has often been pursued, and most systems of social mechanics are open to the charge of unfair abstractness and one-sidedness. Such a charge becomes really serious only when these systems claim to be something other than they are, only when their advocates forget that they are partial, and are suited only for the partial purpose with which they were formed. When they come to be regarded as true and complete statements of social phenomena, then they are evidently false; and the conclusions which are drawn from them when so regarded, run the risk of being very pernicious. The study of society as a part of nature does not mean that the facts of social life are to be sacrificed to a convenient abstraction.

**The so-called Moral Order of Society.**—By reason of the errors which have been associated with the phrases "natural law" and "natural order," there has arisen a habit of finding the basis of society in a *moral* order as contrasted with a natural order.<sup>1</sup> The phrase "moral order," when used to denote this contrast, seems to me neither a clear nor a happy one. It has found its justification mainly as an attack on some of the erroneous views which had attached themselves to the conception of a natural order. For example, laying stress on the fact of progress, the advocates of this position have claimed that society could be made better in the future, as it has been made better in the past, even to the extent of a social revolution; and they have forgotten that in nature, too, there is progress—that we seem to find revolutions even in nature. Laying stress on the presence of mind as the very basis of social life, they have forgotten that mind also is a part

<sup>1</sup> V. Cohn, *System der Nationalökonomie*, I. 356 sqq.

of nature without which organic nature, at least, cannot be understood. They have said that civilization means the conquest of nature, and progress the gradual subjection of nature to human ends; that the characteristic feature of human society is not its obedience to natural law, but the fact that nature has been overcome; that natural freedom is a contradiction in terms, for freedom depends on a moral order. In bringing to light the errors which have lurked behind the words *natural order*, and in emphasizing the place of psychical life as the very essence of human society, the advocates of this view have done good service.

It is unnecessary to consider their position in greater detail, for I only wish to show that, as an antithesis to the idea of a natural order, the idea of society as a moral order is due to a misapprehension of what is meant by a natural order. Nothing has been brought forward by those who prefer the term moral, which is inconsistent with the "naturalistic" view in the true sense of the term.

**The Scientific Study of Social Phenomena.**—I reach the conclusion that the objections to the study of society as a part of nature do not hold good, if "nature" is rightly understood. In so far as social phenomena are subject to natural law, science can use essentially the same methods in dealing with them as in dealing with physical phenomena. Very much the same conclusion has been reached in the actual prosecution of the social sciences. History, politics, the study of institutions, have proceeded on the supposition that the phenomena studied by each, respectively, were subject to law, and the main work of these sciences has been to discover the natural sequence of events under law in their different fields. At the same time, the pre-supposition has often been overlooked or denied, and it is part of the work of sociology to determine the exact place of natural law in the social sciences.

**Society as Part of the One World-Process.**—Science and philosophy unite in making the postulate that the world is one. Necessary as this postulate seems to be, it cannot

be proved, for even the proof of the conservation of energy, the proof that the world is one definite mechanical system, presupposes it. Modern science starts from it, and finds that it has a single, somewhat distinct task, because this is one world, in all parts of which the same laws act in the same manner. The world is studied as one process; this study is science, and each single science is the study of some part of the world-process, or the study of it from some particular standpoint. I see no reason to deny that society is a part of this order of nature, the crowning glory of the world-process, which has only been attained after ages of preparation. In society, natural forces are at work, and they are subject to natural law, although these forces and this law have risen to a higher plane of manifestation than the physical. The science of society, and the various sciences of social phenomena, are sciences because they study phases of the world as it is—or rather as it is developing. The position that society is a part of nature, and so may be studied by means of scientific methods, is not one to be proved by deductive logic. It is simply the postulate on which alone social phenomena can be comprehended; but, when rightly understood, I think that habit will be the only obstacle to its acceptance.

**Social Phenomena are distinctly Psychological in Character.**

—In bringing such a conception before the reader, I think it necessary to point out once more the fact that the social phenomena, which I would include in nature, are distinctly psychological in their character. Man's mind is connected in a wonderful way with his brain; and, similarly, the psychological life of society has a physical basis in the race and its environment. In each case, the study of the physical is external and comparatively crude; the essential nature of the phenomena is evident only when they are studied as psychological in their character. The determining feature of a social group is its psychological life, in a broad sense of the term, its civilization; the different modes of social activity are so many forms of psychological activity; the development

of society is the evolution of reason. The natural order which sociology studies is in the realm of psychical life.

**The Science of Society and the Freedom of the Will.—**

When this position is thus understood, the main obstacle to its acceptance is the habit of holding a crude view of the freedom of the will. This is not the place to discuss such a vexed question, but perhaps I can indicate three lines along which the student of society will justify himself in assuming that society is a part of nature, and that social phenomena, including the phenomena of volition, are subject to law. (1) Although this position is inconsistent with the common belief in indeterminism, viz., the belief that the will is controlled by motives only in part, the student will remember that this common belief deserves to be called a popular theory rather than a practical belief, that it is at variance both with the carefully considered theories of the scientist and with the practical belief of all classes. A man may claim for himself the power to act with sovereign caprice, but even he seeks to influence his neighbors by rational motives, even he finds that there are laws applying to human action. (2) Farther, the student will perceive that the position here advocated is the very opposite of fatalism. It does not exclude mind from nature; it does not assert that some outside power determines a man's life for him; the very object proposed for study is the manner in which a mind works out its ends in its environment. From this standpoint reason is the power to realize ends; society wins the student's interest and claims his study because social life is the activity of reason working itself out in nature. (3) He will follow recent defenders of this position<sup>1</sup> in the recognition that freedom from lower impulses, the power to feel noble impulses and to achieve noble results, the sense of responsibility and of duty, are all of them social phenomena, which could not exist apart from society. He will see that true practical

<sup>1</sup> Riehl, *Der Philosophischer Kriticismus*, II. 2, §§ 216–280. (Eng. tr., *Theory of Science and Metaphysics*, p. 206 sqq.)

freedom is inconsistent with the popular theory of freedom. Such, I believe, are some of the lines along which the student of society will attempt to show that while the phenomena of volition are subject to law, still responsibility is not destroyed and morals are not overthrown.

#### NOTE ON SOCIAL SCIENCE AND SOCIAL PHILOSOPHY

It is often said that science deals with the facts given in experience, describing them, classifying them, and seeking in them general laws; while philosophy studies that which experience presupposes, the postulates which underlie it and the goals or ideals that ought to be realized in it. Science is empirical and objective; it studies that which is. Philosophy has a more subjective and a nobler task; it seeks the meaning for man of that which is, it seeks the ends which man ought to make real in his world. On the basis of this definition everybody is a philosopher, while the scientific man is a late and rare development on our planet; the world received philosophical interpretation long before there was any dawn of science. And it is easy to see that the emancipation of science from the metaphysical method must have been a slow task. The physical sciences succeeded in asserting this freedom first, and only in our own day have psychology and logic and ethics been able to secure any degree of freedom from metaphysics. It remains to be seen whether the science of sociology has passed the metaphysical stage; for a true philosophy of society can only arise on the basis of the science of society.

But in advocating the study of social relations as they exist, it is not my intention to cast any discredit either on the study of the ultimate principles which underlie human society, or on the study of the ends which may be realized in society. The scientific study of the evolution of society gives some clew to the next stage of social evolution, but it is hardly fair to call any such foreshadowed future state a social ideal. The science of society, in the narrow sense of the term which I have suggested, gives data by which I may pronounce the new form of society to be better or worse than those forms which have preceded; but it contains no "ought" and *in itself* it lays no duties on any state or any church to bring in the future. The science studying facts and laws is, however,

the source of our knowledge as to the results of action, so that it lies at the foundation of the individual's effort to discover true ideals and right rules of life in society. The study of the ends of which I ought to seek the realization in society, is all-important, or dangerous nonsense, according as it has or has not a broad foundation and a true method. The confusion of maxims of social action with the scientific study of society, together with the use of the crude beginnings of social science as programs for the renovation of society, has already cast great discredit on sociology. After the science of sociology has found solid basis in the study of social life as it is, the individual may depend on these results to guide his desires and his hopes. Rules of action and ideals which lack this foundation can have no permanent value.<sup>1</sup>

Just as the study of social ideals assumes its proper place on the basis of a careful science of society, so the meaning of social relations, and the ultimate explanation of that process of nature which the science studies, can only be reached on the same basis. For example, the scientist studies conscience as it is and as it has arisen; he shows that it is a social fact, existing only in and through social relations, and arising only through the intercourse of man with man and of group with group; to introduce the question of its essential validity, or its ultimate source, would interfere with the successful prosecution of his task. The scientist takes the same attitude toward the fundamental truths of mathematics and of logic, toward ideals of the beautiful, toward religious beliefs. In each case the first question to be considered is the scientific question as to the facts themselves, the question: What are the phenomena, and in what manner did they come into existence? and the investigation of this question is only confused and hindered when purely philosophic considerations are introduced into the discussion. The separation of these two modes of investigation is as important for philosophy and religion as it is for science. The scientist may assert that the ideas of time and space, norms of the beautiful, the conscience, are social products; the philosopher and the religious believer may answer, This is not only untrue but absurd. But unless the scientist has gone beyond his proper sphere, he only means that he finds in the study of social development a complete and satisfactory account of the manner in which these ideas arose; the philos-

<sup>1</sup> Cf. Wilson, "The Place of Social Philosophy," *Journal of Social Science*, xxxii., Nov., 1894.

opher and the believer are at liberty to interpret the meaning of these ideas as they find reason to. Let the two modes of investigation be kept separate, and the results of each will be of greatest importance to the other.

This careful limitation of the task of sociology has been made necessary by the large claims made upon it, and by the misunderstandings to which it has given rise. If students of social relations are to form true ideals of a better state of society, if they are to discover the real causes of abnormal social conditions, and if they are to be successful in modifying these causes for the better, then patient, critical, apparently unsympathetic investigation must first prepare the way. If the philosopher would penetrate the last secrets of the universe, and, reaching forward, would interpret the world in terms of a goal yet to be attained, he cannot afford to neglect any of the data which science can furnish. Science may seem to be sapping the roots of religion when it tells the story of creation and leaves out the Creator; and the "scientific theist," the Christian evolutionist, and the Christian sociologist hasten forward to the rescue of God. But the reign of natural law suggests a nobler conception of God than the belief in a semi-divine chance; and if evolution is the last word of science, it is a little more knowledge as to the way God works. Modern science is non-philosophical in order that the scientist may be a true philosopher; it is non-religious, in order that the scientist may have a true religious faith.

## IV

### THE DIVISIONS OF SOCIOLOGY

**Social Statics and Social Dynamics—Three Main Divisions: I. The Nature of a Society; II. Functions and “Organs” of Society; III. Social Development.**

**Social Statics and Social Dynamics.**—According to Comte the main divisions of sociology as a science correspond to the divisions of physics, *i.e.*, they will be Social Statics and Social Dynamics. Comte himself, however, used these terms to mean something very different from what they mean in physics: under Social Statics he included the study of functions and organs in the life of society at the present time, while Social Dynamics designated the history of society in the past, and the forecast of its future. Comte’s division has not been without influence in France; the divisions, however, are external to the nature of the subject, and the names are used quite inaccurately when applied as Comte himself intended, so that this method may be discarded without further discussion.

**Three Main Divisions.**—In the analysis of social phenomena three questions appear, to each of which a careful answer is necessary: (1) What is the general nature of a society, and how does it differ from any mere class of individual men? (2) What are the important forms of social activity, and the peculiar types of social groups which appear in each form? And (3), What is the nature of the process by which societies develop? The answers to these three questions will constitute the three main divisions under which the subject-matter of the science of sociology will be presented.

I. THE NATURE OF A SOCIETY.—The first question to which we desire an answer is the question as to the nature of the object to be studied. What is a society? The first, and perhaps the simplest, answers have been given by comparing a society to objects that are better understood. There is some analogy between a society and a simple contract, for in each case the unity of the group and the separate individuality of the members of the group may be clearly seen. Again there is an interesting analogy between a society and an animal organism; the comparison of the two brings out some facts as to the essential nature of a society, which it might be difficult to grasp without the use of this figure. Both these lines of investigation, however, are concerned with mere analogy, and no real science can be built up on such a foundation. Casting aside these helps which sociology has used in its infancy, we note first that the society or social group has a physical life of its own; it is in a physical environment, and the physical fact of heredity gives a definite character to the race which lives in that environment. And yet as the word *society* or *association* indicates, we recognize that the true unity of a social group is not reached by a study of the physical side alone; it is a psychical fact, and as such it depends on man's delight in the companionship of his fellows, and on his power to join his fellows in common activity. The phrase "social mind" is a convenient one to denote the psychical life which is gradually developed in the group, and in which lies the true unity of the group.

II. FUNCTIONS AND "ORGANS" OF SOCIETY.—The attempt has often been made to classify social groups empirically, and the results are most varied. In examining the nature of the social group, however, it becomes evident that the character of such groups corresponds to the different types of social activity, *i.e.*, that the classification of social groups will depend on the classification of the social activities. To classify the modes of social activity, and the

*stimuli* or causes of each mode of activity, is a comparatively simple task ; and from this standpoint we may classify also institutions, which are hardly more than habits of social activity, and the groups or organs which are developed in the course of these activities. Some of these groups require further study. The science of economics discusses the industrial organization of society. In particular, the family and the state are groups the study of which throws much light on the general structure of society, as well as on many problems which seem to open before society to-day. Finally, the student is in a position to determine the meaning of the individual personality from the standpoint of sociology, and to understand the place of the individual in social life and growth.

III. SOCIAL DEVELOPMENT.—The third great problem of sociology is the question of social evolution ; and this includes both a general description of the development of society and of the processes going on in this development, and also a discussion of the causes and laws which govern it. Viewed in a somewhat external way, the process of social evolution presents two general characteristics :—(a) the principle of continuity in the midst of change, and (b) what Mr. Spencer calls the law of progress, *i. e.*, the fact that social elements at first separate but not different in kind, gradually become less separate and at the same time essentially different in function and character. In the general course of evolution, analysis finds two processes, each of which has been put forward as a theory of development :—(a) the process of dispersion and differentiation, and (b) the process of agglomeration and gradual unification of social groups into larger and more complex unities. Each of these processes is found to be going on in the development of society, but neither of them can be treated as a theory which explains this development. Farther light on the matter is to be gained by a study of the principle of natural selection. This principle which furnishes the key to biological evolution, must be tested in the sphere of social

evolution. The units of social struggle must be determined, the types of struggle defined, its effect on those that survive and those that perish examined ; in particular the differences between the working of this law in the biological and in the social sphere deserve to be carefully noted. The biological principle as such does not explain social evolution ; but along this line, if anywhere, is to be found the key to the development of society.



# PART I

## CONCERNING THE NATURE OF A SOCIETY

### CHAPTER I

#### SOCIETY REGARDED AS A CONTRACT

The Analogy between a Society and a Contract—The Social Contract Theory is a Common-sense Theory—Political Influence of the Social Contract Theory—The Form of the Theory to be considered—The Nature of a Business Contract—The Contract Principle in Other Relations—Marriage as a Contract—The State as a Contract—The Authority of a Contract-state—The Principle of Contract Imperfect.

The Analogy between a Society and a Contract—*Truths*: 1. Society is an Organization Controlling its Members. 2 This Control is definitely Limited. 3. The Relation of Individual and Group.

*Errors*: The Contract Theory suggests some False Ideas of Society. 1. This Theory neglects Other Social Bonds. 2. It Emphasizes the Individual Unduly. It Treats the Individual as something Separate from Society.

**The Analogy between a Society and a Contract.**—The term “social contract,” made familiar in literature and in scientific thought by the great work of Rousseau, stands for one important theory of the nature of society. Stated baldly this theory may be outlined as follows: Man is a self-contained individual in his mind as he is in his body. As such he is by nature independent of other individuals, and free to exercise his powers in any way he chooses. He is known to us, however, only as a member of society; and this society surrounds him with all manner of restrictions, while at the same time it confers upon him privileges of

social intercourse and opens to him the possibility of co-operation in the pursuit of those ends which his own nature impels him to realize. On these presuppositions the facts of social and political life are most naturally explained after the analogy of a contract. When two parties enter upon a contract they agree to set certain limitations to their own activities, and to co-operate in the pursuit of ends which they think two can secure together better than either alone. So the relation of men in a society which imposes restrictions on the individual and opens new opportunities for his activity, is very naturally regarded as a social contract, a contract involving all the members of a given society. The restrictions, according to this explanation, are self-imposed, the opportunities are self-chosen and the bond of social union is essentially a matter of the individual will. Of course the writers who have propounded and advocated this theory do not mean that society originated in a distinct act of contract, or in a series of such acts; the so-called contract is a historical fiction, invented to explain the facts as they appear when society is made an object of investigation. The present chapter is not the place for a history of this theory nor for any extended examination of it; I propose rather so to set it before the reader and criticise it, that he may see something of its social importance.

**The Social Contract Theory is a Common-sense Theory.**

—Before going on to show how reasonable this theory is, and to point out some of the truths and some of the errors involved in it, I may fittingly call the attention of the reader to its general character and influence. And first it is pre-eminently a common-sense theory. Like the so-called common-sense philosophy it interprets the facts which it finds in terms of a simple working principle which does not tax the understanding of anyone. To bring all the meaning of the facts under this principle is indeed a task which is difficult if not impossible, but such an objection applies equally to the common-sense philosophy; and in the

case of each theory intelligibility scores a strong point in its favor. Moreover the main difficulty in the way of its acceptance, the *fiction* of a contract, is hardly a difficulty at all with the legal minds with which the theory has found favor. A legal fiction is but another name for a theory which is useful in correlating a group of facts, and such fictions play no small part in the science of law. Further, this theory appeals to the juristic mind in that it treats the *person* in a distinctly legal manner, viz., as a being endowed with rights and responsibilities which he can either retain for himself, or, within limitations, devolve upon others. The *person* of law is the person presupposed as entering into the social contract; and it certainly is a great advantage for a theory to start with a conception which has been worked out so successfully as the conception of a person has been in the science of law.

#### **Political Influence of the Social Contract Theory.—**

The student of society, again, will be encouraged to examine this theory with care because it has played so important a part in political history. “We hold these truths to be self-evident, that all men are created equal; that they are endowed by their Creator with certain unalienable rights; that among these are life, liberty, and the pursuit of happiness; that, to secure these rights, governments are instituted among men, deriving their just powers from the consent of the governed . . .”: —the introduction to the Declaration of Independence was framed by men steeped in the political thought of France, thought which followed the general lines of the theory we are considering. This theory starts with the assumption that men are endowed with natural rights, and that the state arises when men assign some of their rights to the corporate body of which they become members. The rights possessed by the state originally belonged to the individual, and though he may give them up, by nature they still belong to him. When once the state has come into existence and power is in the hands of its leaders, these may go on and restrict

more and more the rights which belong to the individual. They can even take away rights which are considered "unalienable," as in the practice of slavery. No wonder that oppressed peoples have found in this common-sense philosophy of society the watchword about which to rally in the effort for freedom. "Liberty, Equality, Fraternity:" equality is a second deduction from this theory, and fraternity a third: equality, for a "person" is an abstract concept without degrees in the eyes of the law; fraternity, because the assumed contract at the basis of society recognizes the likeness of men and their dependence on each other. It is a commonplace of history that this theory of social organization is the only theory that has profoundly influenced the life of nations; but the fact is not to be overlooked by the student of society just because it is a commonplace.

**The Form of the Theory to be Considered.**—The phrase "Social Contract" at once suggests Rousseau, but in examining the theory in question I shall not limit myself to his work. Indeed I shall rather avoid the phrase "social contract" for the reason that this theory was not presented in its pure form by Rousseau. He was far too keen a critic of society to think that all social phenomena could be brought under this one principle, while at the same time he was too much under the influence of the thought of his day to work it out completely and logically. He uses it rather as Plato<sup>1</sup> uses his construction of an ideal society in the Republic, to serve as a starting-point for his analysis of society. For English readers the form which the theory has received at the hands of Bentham, Mill, and Spencer, not to mention more recent writers, is a more natural as well as a more suggestive starting-point.

**The Nature of a Business Contract.**—In the more detailed examination of this theory the first question to be considered is concerned with the exact nature of the contract with which society is compared. A partnership in

<sup>1</sup> Plato, *Pol.* II.

business is a simple example of a contract. Two men agree to set aside some or all of their capital, their abilities, and their time, for the accomplishment of definite specified ends ; and to share the results of combined effort equally or in a definite ratio. On the one hand such a contract recognizes fully the individuality and responsibility of each of the parties who enter into it. The separate individuality of each party to the contract is presupposed by the right to make the contract, and it is enforced only on the supposition that he as an individual has a right to enter upon its obligations. Moreover the sphere of such a contract is definitely limited ; in definitely prescribing the ends for which it exists, it recognizes implicitly that the men who make it have a far broader life than it covers. On the other hand the partnership thus formed constitutes a new unity or individuality in the social world ; society in general recognizes it as such, and the law defines its rights and responsibilities. In this very simple instance the nature of a contract can be clearly seen :—It presupposes responsible individuals, and these persons retain their individuality, while at the same time they together form a new unity for society.

A larger business corporation, *e.g.*, a joint stock company, represents the principle on a larger scale. In this instance some contribute their time and ability, others their capital, others it may be the influence of their social position ; whatever they contribute, the essential thing is that a group of persons unite to attain some common end, and that the combination acts together for the sake of some good which is to come to each member. The size of the corporation may vary indefinitely ; the elements which enter into it—stockholder, director, manager, employee—vary exceedingly ; and the relation of each element to the whole is different. None of these things, however, affect the two fundamental characteristics, *viz.*, the fact that the group thus united is a new individual factor in the business world, and that its unity rests back on the free choice

of the individual persons who compose it. It is an association, a "society," which is based on the principle of contract.

**The Contract Principle in other Social Relations.—**

The same features which characterize a business contract may be detected in societies formed to accomplish some end which lies outside the business relations of men. Take for example the National Educational Association, or the American Association for the Advancement of Science—in the one instance a society formed to promote the science and art and practice of education within a given field, in the other an organization of scholars for mutual aid in increasing the knowledge of scientific truth. In each case the end is limited and definite, and outside this end the societies do not affect their members. The members are individuals who choose to unite their power in a limited degree to secure results in which each one is interested. In the educational world or in the broader intellectual world the unity thus formed is quite as important a factor as any individual in society. Such societies, then, have all the features of a business contract except that the particular end and motive are different. The fact that the end may be to increase knowledge, to develop a better mode of education, to reform evils existing in human society, or to create a higher public sentiment with reference to any phase of individual or social life, does affect the character of such an association, but it does not prevent the student from describing it as a form of contract.

At the same time the question may well be raised whether "contract" is a sufficiently broad term to cover all that such an association stands for. Even a business contract tends to develop a closer relation than is described in the terms of the contract; but if one examines such an association as a trade union, *e.g.*, the Brotherhood of Locomotive Engineers, the inadequacy of the term becomes very evident. Such a labor union has originally the character of a purposive association, a unity produced by the

organization of a group of individuals to accomplish a definite end ; and yet the bond which unites them is far more complex than this would indicate. Common sentiments with reference to their work and their employers, a common intellectual point of view which has been developed by their similar employment, common pleasures and common antipathies—all these do not enter into the nature of a contract, but they are a distinctive characteristic of the organizations under discussion.

**Marriage as a Contract.**—Again, marriage may be regarded as a contract. A man and a woman place certain restrictions on their individual activity and unite to secure common ends. While they remain two individuals with each his own responsibility, a new unity has been formed by this “contract” which is recognized as one by law and by society. And yet the family is far more than a business contract, the parties to which may separate and their individual life not be seriously affected, if it is affected at all, by the change. The life of the family is deep-rooted, involving all sides of human nature, and the fact that marriage is a legal contract is the least of the bonds which unite man and wife and child.

**The State as a Contract.**—Those who have proposed the social contract theory have had in mind especially the state. There are occasions when a state actually seems to be “made,” as a contract is made; for instance in 1776 it may well have seemed to the Continental Congress that the new federation was essentially a contract, that the state they founded was constructed by the choice of its citizens. Moreover, the general characteristics of a business contract appear in the case of the state. It consists of individuals who willingly accept some restrictions and who gain the right to corresponding privileges. Citizens do not lose their individuality in the state, rather they gain larger scope for the exercise of their individuality; while at the same time the state as a whole has a real being, which is recognized both by its citizens and by other states. Once

more, this element in the state is most evident in the more advanced states; in fact as the state has developed it has assumed more and more a character which places it in line with a contract. When Mr. Spencer compares the state to a joint stock company, he could not have in mind the primitive state the members of which—now hunters or farmers, now warriors—follow a petty chieftain much as sheep follow the one who chances to be ahead. “From status to contract” is the formula in which Sir Henry Maine seeks to condense the story of the evolution of human society; certainly it requires but little attention to see that social progress is marked by a continually increasing power of choice on the part of the members of society. In the United States a man wins his place in society and in the state for himself; he gains certain privileges and assumes corresponding duties, much as the man who enters into a partnership chooses the ends he will pursue and assumes voluntarily the duties and the privileges involved in a partnership with his neighbor. What the reader sees going on about him every day here, is true, progressively true, in every civilized state.

The analogy between a state and a “joint stock company,” then, is by no means superficial. Not only does it emphasize one of the most notable characteristics of developing civilization, but it furnishes a principle which has proved of considerable practical value in explaining the government of civilized states. A contract exists because the contracting parties believe it helps them to accomplish the objects they have in view. So, it has been said, government exists for the good of the governed; and on this basis its existence has been justified and the limits of its activity have been determined. According to this principle government is by nature no foreign power intruding on the individual; rather it is the expression of his own desires. No subtle analysis is required to point out the benefits which each class in the state derives from a stable government. Every reasonable man would prefer to live

under even a poor government rather than to risk the dangers of complete anarchy.<sup>1</sup> In so far as the state exists for the good of its citizens, it may be said to rest on voluntary choice, just as a contract depends on the choice of the contracting parties.

**The Authority of a Contract-State.**—Moreover, this very simple principle yields some clew to the extent to which a state may exercise its authority in the use of force. The repression of crime may be regarded as for the ultimate good of the criminal, not simply as a necessity for the protection of citizens generally. In the case of doubtful questions, such as compulsory education, the principle we are considering enables us to state the question in a convenient form, viz., Does compulsory education work for the good of the citizens as a body? Is it for the good of a family and ultimately for the good of the state that the responsibility for education be shifted from the child and his parents, and perhaps from the local community, and that the education be enforced by a higher authority? A long line of able men have elaborated this principle and proved that it has much practical utility.

**The Principle of Contract Imperfect.**—On the other hand the effort to explain the state as a contract does not cover all the facts. For example, it does not cover the facts of conquest and subjugation. Even though Hanover be incorporated into Prussia, or Alsace-Lorraine be made a part of the German empire—and even though the subjugated people be given a share in all the civil rights of their conquerors, it is a violent use of language to describe the result as a contract. Again, although it may sometimes seem as though states were made and unmade at will, such a view is superficial. This doctrine was tested and found wanting in the War of the Rebellion. The career of the first Napoleon rested on some such assumption, and it ended in fail-

<sup>1</sup>The case of Prince Krapotkin is an interesting exception to this statement.—Atlantic Monthly, 1899. *Autobiography of a Revolutionist*.

ure. A contract may be terminated ; but it is rebellion, if not treason, for a community to cut loose from the state of which it forms a part. And the state, like the family, becomes far broader than any contract. No side of human life is uninfluenced by the state ; it shelters young as well as old, it guards the interests of religion and morality as well as those of the business world. A man's relation to the state is something far more intimate, far more a part of himself than his share in any contract ; and the final proof of this statement is found when a man is called on to sacrifice even life itself in the service of the state.

**The Analogy between Society and a Contract.**—This theory, which regards social organization as the result of a quasi-contract, deserves careful attention and criticism both because of the truth it contains, and because of the influence it has exercised on the life of nations. The brief examination of it in the earlier part of the present chapter may be sufficient to show the student of society that it is an analogy, instead of a scientific statement of fact. Society is in many ways like a business contract, but the word *contract* must be stretched unduly to make it serve as an explanation of society. The question of words is relatively of small importance, but sociology as a science has suffered unreasonably because of the importance attached to such analogies. Analogy is useful in a developing science in so far as it provokes thought, but the method of analogy is essentially inexact.

**Truths:** 1. *Society is an Organization Controlling its Members.*—Among the truths which this analogy has clearly brought to the student's attention is the fact that any society, and in particular a state, is an organized unit which exercises control over its members. A contract means that the persons interested have agreed to stand together, making their individual actions subject (within certain limits) to the control of the organization they have formed. A Trade Union or a society like the Red Cross

exercises control over its members in a limited line, and the control varies widely with the relation of different classes to the society. For example, in the case of the Red Cross society, there are first the supporters who are responsible for such contributions as they have pledged, then the officials responsible to this constituency, the nurses, doctors, and other workers who are responsible to the officials that appointed them ;—the responsibility is but a general statement for the control of the society over each class, and it is just this responsibility and control which is made clear by the analogy of a business contract. The great fact of social authority, which this analogy suggests, is fundamental to the science of sociology.

2. *This Control is Definitely Limited.*—In the case of a contract we have seen that the partnership or business corporation thus formed has very clear-cut functions ; the association has no right to go outside the forms of activity distinctly specified in the articles of contract. So we may say of every social organization that its control or authority is bounded by definite limits which it ought not to transgress in the exercise of its functions. The Red Cross Society seeks to relieve human suffering in the great contingencies occasioned by war or persecution, and in war it cares specifically for the wounded and sick, not for the hungry. If it undertook to feed and clothe troops, its neutrality could not be preserved and its hold on its supporters would be weakened. So great a variety of functions devolve upon the family that it may seem very unlike a contract ; the support of a wife and reasonable care for her which the law enforces, are the least part of family life ; the family resembles a contract, however, in that the legal responsibility and authority of each member are definitely limited. The authority of the state is limited, not only by the written constitution, but also by the more or less definite principles in the minds of its citizens. Within its proper sphere absolutely no hindrance to the activity of the state is permitted ; there is another sphere which its citi-

zens call their "rights," and the state which crosses this line is threatened with destruction.

3. *The Relation of Individual and Group.*—The fact of control, and that a control definitely limited, is a characteristic of any society which the student is by no means likely to overlook. The really important truth which this analogy is an attempt to state, is that society is made up of men, of *persons* who set before themselves definite ends, and who choose the means to realize these ends. Society is a teleological phenomenon, not in the sense that it is itself the realized purpose whether of God or of the men who compose it, but in the sense that it comes into being only as men seek to carry out their own purposes in working together with their fellows. The fiction of a social contract only means that both the man who makes a contract, and the man who assumes the duties and privileges of society, are performing acts which exemplify the same side of human nature. We may speak of "animal societies," but we really mean that herds or flocks or "schools" show some of the characteristics which in the case of man are farther developed in societies. When antelope feed and trust a sentinel to warn them of their danger, or even when the bee-hive is organized on the principle of the division of labor, no *society* exists, because that very element which appears most explicitly in a contract is lacking; the antelope and the bee are not persons in the sense defined above. The study of animal societies so-called is very suggestive in this connection, for undoubtedly they are the forerunners of human societies and are connected with them by a common principle. Their essential difference from human societies, however, should never be lost sight of.

The real value of the contract theory may be stated in a single sentence. It is an analogy which brings out clearly that characteristic of a human society which perhaps is most important, viz., the fact that it is made up of persons who consciously seek to attain their own ends, to live their own life, in and through their relations with their fellow-men.

The Contract Theory suggests some False Ideas of Society.—In criticising the contract theory of society it is not so much my purpose to point out the inadequacy of the analogy, as the false ideas of society which it inculcates. It certainly is inadequate. For instance it is essential to a contract that the end to be accomplished and the functions to be exercised by the contracting parties, be definitely stated. But, as we have seen, societies ordinarily are more far-reaching than contracts, and their ends are *not* definitely stated. Moreover there is nothing compulsory in the formation of a contract proper, the parties to it are free to make it or not to make it; this is by no means true of the state, which controls all within its borders, and practically it is not true of many other relations in society.

1. *This Theory Neglects other Social Bonds.*—One inadequacy of this theory which leads directly to a false idea of society is to be found in the fact that it calls attention to only one of the bonds which unite men into social groups. Partners in business find that their work together day by day loses very soon the element of purpose with which it began, and becomes the habit of a common life together. They come to be united by ties of mutual respect, or it may be of affection; perhaps they share pleasures of recreation together; they appear together as a power in politics, and as a firm they make gifts to benevolent objects. In a word even a partnership tends to a fuller common life than the contract itself covers. Now what is true of a partnership is true to an infinitely greater extent of such societies as the family and the state. The contract, real or fictitious, covers a very small part of the common life for which they stand; to explain these societies as contracts is to emphasize one side of their nature at the expense of the other sides. The analogy is so defective as to be positively misleading.

2. *It Emphasizes the Individual Unduly.*—Again the contract analogy is misleading in that it unduly empha-

sizes the purpose and will of the individual. It is evident that contracts are made and ordinarily societies are not made,—that ordinarily contracts can be terminated and societies cannot be terminated. This very obvious distinction is in reality part of a larger truth, namely, that in business contracts the purposes of the contracting parties are the direct basis of their relation, while in the case of a society the purposes of its members are only indirectly the basis of their union. Buyers competing in the same market become members of one economic group, but it is the purpose to outdo each other, not the purpose to work harmoniously, which produces this result. It may be a purely selfish purpose, desire for better social position, or satisfaction in social pleasures, which urges a man on to cultivate social relations with his fellows. The state does seek to cultivate patriotism; but the citizen assumes his responsibilities ordinarily, not because of any direct purpose to co-operate with fellow-citizens in securing the advantages of good government. Rather it is the *indirect* result of purposes finding their immediate ends in the economic, social, or intellectual world, that a man is made irrevocably a citizen. Society in general, and many of the groups in society, are not the result of man's choice; they are the indirect product which attends his effort to attain other ends.

3. *It Treats the Individual as something Separate from Society.*—The real failure of this theory, however, the point where it breaks down completely, lies in its assumption that men's individual personality consists in their separateness from each other and from society. Society, from this standpoint, is like a mass of matter which physics regards as an aggregation of separate molecules. The parts of society can be *seen* to be separate wholes complete in themselves; and it is natural to assume that as the animal man is complete in himself, so the social man is complete in himself. Just this assumption has been fostered by a one-sided science of politics and a one-sided

economics ; it has been fostered in the school, and even in the church. The social-contract theory is the outcome and expression of this view. The broader and truer conceptions of society which have been again brought to the front during the nineteenth century, prove the inadequacy of such individualism. The first truth for the nascent science of sociology is, as we shall see, that man's personality lies in his connection with society—that the person is a product of social evolution.

In a period when so much emphasis is laid on the fact that society is a part of nature, if not of physical nature, a period, too, of which collectivism claims to be the watchword, the truths suggested by the phrase "social contract" deserve to be emphasized afresh. This analogy is imperfect, and the continued use of analogy is unscientific ; nevertheless the conception of an association formed by individuals to attain a definite end, is a most useful tool in the study of society.

## CHAPTER II

### SOCIETY REGARDED AS AN ORGANISM

The Analogy of a Biological Organism—Meaning of “Organic” as Applied to Society.

I. *The “Organic” Nature of the Social Unit.* 1. Complexity and Unity of Society. 2. The Unity of a Society is Dynamic rather than Static. 3. The Unity of a Society is Determined from Within—Its Growth is Determined from Within.

II. *Social Environment and Social Evolution.* 1. Society and its Environment: Its Physical Environment; Its Social Environment. 2. Each Organism has its place in Organic Evolution; Each Society has its place in Social Evolution.

Danger of the Biological Analogy—Its Value.

(Note on the Differences between a Society and a Biological Organism.)

**The Analogy of a Biological Organism.**—A second and more recent group of students of society have developed a science of sociology on the basis of a second analogy, the analogy between a society and a biological organism. The results of the study of society from this standpoint are the more important because they in a measure supplement the results of the analogy between a society and a contract. They are also important because this movement represents an effort to place sociology in line with the natural sciences, by introducing one principle according to which social phenomena are to be examined, classified, and explained.

Although a science of society based on biological principles is a product of the last half century, the comparison of society to an organism is by no means a new one; Plato and Aristotle made it familiar to their readers, and the writings of Paul and John have kept it before the Christian church. This statement of the nature of soci-

ety has the advantage of simplicity ; the analogy which it suggests is an exceedingly attractive one ; moreover such an explanation of society apparently solves some difficulties which beset the earnest student, by showing that many a fact which in itself seemed an imperfection or a blemish, had a really important place in the development of society as a whole. And it seems to furnish some clues to the social ideals which reformers of society may rightly aim to realize ; at any rate, social reformers of antithetic schools profess to find support, each for his own position, in the doctrines of biological sociology.

Excellent as this analogy appears at first sight, the effort to construct a whole science on the basis of a mere analogy properly awakens suspicion. The so-called sociology which has been produced by this process in Germany, is hardly more than the description of social phenomena in biological language, and the interpretation of them in terms of biological laws. It is neither biology nor sociology, and it can serve no scientific purpose. Mr. Herbert Spencer does not develop this analogy so minutely as the German writers just referred to, and in his hands it affords a means of portraying vividly some of the essential features of social life and social development. However, it remains an analogy, and such an analogy always tempts the writer to exaggerate apparent likeness. Social tissue, and social organs, and the social mind, are convenient phrases ; the question is whether they are true and the best expression for the truth.

In *Dr. Schäffle's* scheme, property is the passive factor, and the individual man the active factor, in the social substance. The family is the simplest vital unity or cell. The "social substance" consists of (1) simple connective tissue—unity of speech, belief, etc. ; and (2) differentiated tissue—institutions for protection, industry, etc. Society has a mind with sensitive and motive apparatus (*e.g.* the executive function of the state), with intellectual activity (schools, etc.), as well as æsthetic and ethical life.

*Mr. Spencer* points out that animals have a three-fold system of organs ; correspondingly, society has a nutritive system in its industrial organization, rulers and defenders constitute its nervous system, transportation and exchange its circulatory system. Animals (*a*) increase in size, (*b*) increase in differentiation of structure and function, while (*c*) no part can live in separation from the developed organism. Societies (*a*) increase in size both by internal multiplication and by union of groups ; (*b*) increasing differentiation is shown in the division of labor, and, as in the animal, the differentiated function gives rise to separate organs, and, finally, to a complex social apparatus ; and (*c*) separation from the developed organism is fatal.

**Meaning of "Organic," as Applied to Society.**—The question whether or not society is really a sort of biological organism is wont to receive undue emphasis to-day, by reason of the current discussion between adherents and opponents of a biological school of sociologists. The prior question, and, indeed, the only question of real importance, has to do with the truth which this analogy is intended to convey. To the most superficial observer, society has some degree of unity, and it is made up of lesser units or groups. Unquestionably the lesser units and the larger group are *organically* related ; the words "organ" and "organism" are not limited to biology, but they may be used in any sphere of investigation to denote a relation that is essential to the nature of the related objects instead of being merely extrinsic. An artistic product is distinguished from what fails to be artistic, in part by the fact that the different elements in it are part of one whole, that they are *organically* related to each other and to the whole. A sustained argument is made up of organically related parts ; the elements in a mathematical system are organically related ; a piece of literature may be called an organism when every feature of it is essentially, vitally, connected with the whole. It is necessary to insist on this broader meaning of the word "organic," in order that the basis of the bio-

logical analogy may be clearly grasped. The word "organism" has of course a more limited meaning in biology. And the comparison between a society and a biological organism is suggestive for sociology along two lines:—(1) as to the general character of the social unit, and (2) as to the relation of social units to each other and to their natural environment.

## I. THE "ORGANIC" NATURE OF THE SOCIAL UNIT

**1. Complexity and Unity of Society.**—As applied to a social group, this analogy suggests first that a society shows the same marvellous subjection of a complex structure to a single end that characterizes a plant or animal. The animal organism consists of cellular material which biology regards as one and the same in all its modifications, but this material assumes very different forms in the various parts and organs of the body. While each organ regarded by itself has a certain unity and independence, it is immediately connected with others in the same system or apparatus, and less closely with other parts of the same whole. The stomach has its own function, but this function is subordinated to the end or function of the whole digestive system, and this again is indissolubly associated with the functions of the other systems in the body. The farther analysis is carried, the more complex the structure of an organism appears, and at the same time the unity of the whole stands out so much the more distinctly. There is not simply an analogy in general structure between the social group and the animal organism; the complexity in which the unity finds expression is the same in both. A society consists of individuals who are essentially alike, although they become very different as they stand in different relations to the life of the whole. These individuals live their lives in groups—social, industrial, and political. Each little group has some independence, but it is immediately connected with other groups in the same (indus-

trial, or political, or intellectual) system, and this system again is co-ordinated with others in the complex life of society. In spite of the fact that the individual unit seems so simple and familiar, it is quite impossible to cover in any analysis all the complex life in which each bears its part ; but the fact that the common life has a unity of its own becomes more clear the more it is studied.

For instance, common political life, the unity of a nation, is not fully comprehended in the few powers that may be directly exercised by the central government. Each dependent commonwealth, county, and town represents to those whom it includes certain phases of the sovereignty of the whole. The energy and harmony of the state depend on the true vigor and vitality of each part, and of each citizen. At the same time, the political organization of society stands in closest relation with its social, industrial, and intellectual structure. A state is not constituted by the presence of military power, nor yet can industry flourish and intellectual culture arise without the presence of some authority able to maintain order and to protect from attack.

**2. The Unity of a Society is Dynamic rather than Static.**—Secondly, in its application to the unity of a social group, the word “organic” reminds the student that a society has not so much a structural unity as the unity of a process. In the biological organism, be it vegetable or animal, the cells are constantly changing, and the structure is permanent only in its general outlines. Each part spends itself in performing its function for the whole, and is constantly restored through the natural activity of the other parts in the performance of their own functions. The animal is one because the different organs are so delicately adjusted to each other that they work together as parts of one process, which process is the animal’s life. It is equally true of society that its structure is constantly changing, and that its real unity consists of the common life in which all the social activities bear a part. In every society the units are constantly changing, young men

come forward to take the place of the old. The institutions for accomplishing given ends change from age to age, and the general structure of society is always being slowly modified. For example, economic goods may be produced by the tribe, by the village, in the family, or in the factory ; in these different cases the structure of a society is profoundly different. The life and vigor of society depend upon change, but through all change a society preserves its real unity because its common life continues. Each social organ is spending itself in performing its function for the whole, and its energy is constantly restored as its members receive food and clothing, new satisfaction, and new incentive of every sort, because the other social organs are performing their proper functions. The larger society is one when all its parts depend on each other in one common life-process. The smaller social group, *e.g.*, a trade union, is one, not by reason of the particular organization it may form, but because its members share a common life.

The important corollary to the truth just stated, is that the different parts and activities of society stand in very close relations of interdependence. This interdependence often seems greater than in the case of animal life, where the loss of a foot or an eye may have no direct effect on the stomach, and even a part of the brain may be destroyed without any perceptible change in the other organs. The most familiar example of social interdependence is the economic life of society, with its balance of supply and demand, delicately adjusted and yet inexorable, controlling all the markets of the world and making the industrial world one. Injury to an economic class is immediately felt through all the economic world, and it has far-reaching results in the spheres of social, moral, and intellectual life. A new invention in America may cheapen the food-supply of Europe, or affect the percentage of crime in England. Similarly the health of a city is threatened by a single case of contagious disease, and a single crime widely advertised often produces a harvest of moral evil. Such a familiar

fact as the dynamic interdependence of the different parts of society hardly needs illustration. The use of the word "organic" in application to a society as the expression of this fact, is justified both because the organism is the most familiar example of this kind of interdependence, and because no analysis can adequately express all the complex relations which exist in the developed society.

### 3. The Unity of a Society is determined from within.

—The most striking difference between an organism, plant or animal, and any other object, is that the unity and the growth of an organism seem to be determined from within.<sup>1</sup> The unity of a hill or a rock depends on our own definition; the unity of a house rests back on the idea in the mind of the builder; but a plant includes so much as is subject to the single life-principle within. The word "organic" as applied to a society means, thirdly, that any given society includes so much as is subject to the life of that society. The unity of a people is not determined by life in the same geographical locality; the Englishman and the Spaniard are to be found in all parts of the globe. Nor does it depend necessarily on the unity of political life; United Germany is a recent fact, and political union hardly succeeds in uniting Norway and Sweden. The unity of a people is the unity of a common life. The same language, the same customs and traditions, a love for the same past, these are important factors in a common life, although they do not express it all. A people is truly one only when it has come to recognize its unity, to be proud of custom and tradition because these express its own past, to be loyal to the institutions of the present because these are the form of its present life. A people is one when it has developed a self-consciousness of its own; such a unity determined from within is fitly called "organic."

**The Growth of a Society is governed by an Internal Law.**—Moreover, the growth of a plant, or an animal, is governed by an internal law. The word "growth" is not

<sup>1</sup> Cf. Mackenzie, *Introduction to Social Philosophy*, chap. iii.

directly applied to increasing geological formation or to mechanical products; the factory extends as machinery is added and the old engines replaced by new, but it does not itself grow. The organism proper unfolds from within, in accordance with a type already determined in the germ, and growth is the development of this type, or character, when the germ is placed under favorable conditions. The clearest law of history is that a human society follows the same law of growth from within. Every age and every period of development sets the type for the succeeding period, determining its general character, if not the extent and rapidity of development. A church grows, not when it is extended over new territory, but when it absorbs and controls new peoples, by subjecting them to the power of its life; its growth is from within. The modern type of factory production may be traced from the inventions which made it possible, through various stages, to its present form, and it still has a future before it. All the economic and legal and political institutions that we prize, are parts of a process of growth; their authority is the outgrowth of the past, and their future form develops out of the present. One nation may conquer another in battle, but it remains to be seen whether the conquering people has in itself the genius to absorb the other people into its own life. Crises come in the life of every nation, when some great political change seems to be suddenly introduced, yet it is hardly necessary to look beneath the surface to see that the new external order is simply the truer expression of the common life which gradually has come to demand the new form. The bud has been slowly developing as it absorbed the plant-juices; and some morning the plant is open. The growth of a nation is determined from within; in the life of a people is to be found the law of its development.

## II. SOCIAL ENVIRONMENT AND SOCIAL EVOLUTION

**1. Society and its Environment.**—The second thought suggested by the word “organism” is that a society is not an independent entity, but develops as part of a larger process. The plant, or animal, is related to others which are included in the same species; it is related, less closely but none the less really, to other organisms in the great whole of organic nature; it depends most intimately on its physical environment: in these different ways it takes its place in that one great process which we call Nature—or the World.

**Its Physical Environment.**—A society depends on its environment no less intimately than do the organisms of biology. Physical environment does much to influence the character of a society by its influence on the persons who compose it; and, more directly still, physical environment affects society itself, determining the lines which social activity may follow, and stimulating or checking that activity. The broken coast of the Mediterranean brought very different peoples into comparatively close contact, and the resulting development of industry spread a democratic spirit in communities on the coast. Aryan tribes penetrating into Greece were necessarily broken into smaller groups, and the lack of communication between narrow valleys made the culture of one group less and less like that of the others. Where food is scarce, and widely scattered, as in Australia, only four or five or six persons can find maintenance together, and the size of the social group is immediately determined. In times and places of plenty the tribe increases with abnormal rapidity till perhaps emigration is necessary to provide food for all. In all phases of its activity a society is linked most closely with physical nature outside itself, and the same analysis which often makes it convenient to speak of an organism and its environment, has constantly led the student to speak of the environment—the physical environment—of society.

**Its Social Environment.**—If, in thought, any given society is isolated and set in contrast with its environment, the most important phase of this environment is its social side, the environment by human societies with which the given society stands in connection. The military strength of a society is determined by its social environment. In modern Europe enormous sums are spent that each nation may keep its relative place among its neighbors in reference to armament on land and sea. In all but the lowest stages of uncivilized life the same principle holds good: a tribe maintains its place among its neighbors by its fighting power, its numbers, or its strength of position; among weaker neighbors it may be split by dissension, or lose its vigor, without running the risk of annihilation. The tools, and much of the skill in meeting wants and desires, which a tribe possesses, are determined by social environment. The bow and arrow have a given area, the boomerang a more limited area; among tribes which use the one weapon a new tribe would adopt that, unless it brought with it a superior weapon which the other tribes might adopt. Customs have the same history. Forms of government, religious practices, rules of right action, and even the minutest details of custom in the simplest matters, are determined for the social group in large measure by its social surroundings. In all its social life a society is connected with adjacent societies, and as civilization develops this connection is extended indefinitely.

## **2. Each Organism has its place in Organic Evolution.**

—Secondly, the word “organic” suggests that the larger process to which each society belongs may be described as an evolution. In the animal and vegetable kingdoms the word “evolution” means that organisms may be arranged in a series which represents, more or less perfectly, the history of their development. The series converges as one goes backward, till hypothetically some simple form is reached, to which all the complex forms of life are traced back. In the earlier part of the series, there are presum-

ably some well-marked stages, while in the latter part there could hardly be stages of development which would be identical for forms so diverse as birds, fishes, and mammals. And the word "evolution" means that we have reason to believe that this series really represents what we know of the history of organic life. The reason for believing that life has followed such a course of development, is that we find it still subject to the same laws and following the same course. These biological laws may (in part) be determined as a matter of experiment, so that the student can actually see the process of evolution going on.

**Each Society has its place in Social Evolution.**—In like manner the complex forms of human societies now existing, may be traced to simpler antecedents, and arranged in a series. The complex judicial and legal institutions of modern society are said to begin with a few simple rules by which a dispute is to be settled. Different forms of industrial organization, from the barter of lowest savages down to the industrial life that now involves in one current all the civilized nations of the globe, may be arranged in a series representing the industrial evolution of society. It has often been assumed that such a series represents what we know of the history of the human race from some one simple beginning down to its present complex life. In any case it is a simple matter to point out some stages in the early history of a given division of the race, stages which, perhaps, arose independently in different places as the outcome of the same causes. Thus we speak of a stone age, and a bronze and iron age; of a hunting age, and a nomad age, and an age of agriculture. But here, as before, the real reason for believing that human society developed under definite laws from some simple beginnings, is that it is possible to trace the process for a little way and to determine some of these laws. Within the period covered by historic records, we see each present growing out of its past, we discover some of the causes for each change in the form of social life, the general trend of

the development becomes clear, and at least a few of the laws to which this social process is subject, may be determined. Any particular phase of social life can only be understood as part of the one great process of social development, and the larger process is best understood as an evolution of many complex forms out of a very few simple forms.

**Danger of the Biological Analogy.**—That so striking an analogy as the analogy between a society and an organism should lead to false conclusions, is by no means surprising. “A society is either organic or inorganic,” is Mr. Spencer’s dilemma;<sup>1</sup> and as the society is quite unlike inorganic matter, he concludes that it is not only organic but is itself an organism, and that it differs from the animal only as the animal differs from the plant. “Organisms grow; societies grow; therefore society is an organism”—the argument of the biological school of sociologists can be reduced to this simple form, and the fallacy which is evident in this statement is not avoided by the rich and varied forms in which the argument is often presented.<sup>2</sup>

**Value of the Biological Analogy.**—The analogy between a society and a biological organism is far from perfect, so that the actual help which sociology can derive from biology is little enough. No mere expansion of an analogy deserves the name of a science, as was said in the previous chapter, even if it extend through several volumes; moreover, this particular analogy has hindered the progress of sociology by the false and one-sided views which it has suggested. And yet, in spite of all that may be urged against it, the analogy continues to have real value as an effective way of stating important truths. The complex unity of the social structure can never be fully stated in any analysis, however far it be carried. The dynamic interdependence of the different social elements in one great process is

<sup>1</sup> H. Spencer, *Principles of Sociology*, pt. ii., chap. ii.

<sup>2</sup> A brief summary of the differences between a society and a biological organism is to be found in a note at the close of the present chapter.

like the interdependence of functions in the life of an animal, in the fact that it is never fully described in the abstract propositions of science. In a word, the general nature of a social unit and the character of its dependence on other units are best described by the use of this figure. And as the student goes on to study the social group from different standpoints, to classify and examine the different forms of social activity, and to seek the laws of social development, the "organic" character of a society is constantly to be kept in mind.

The word "organic" is used to describe :—

(I) The unity of a society.

- (1) This unity is made up of relations so complex that they cannot be completely analyzed.
- (2) The real unity lies not in the structure, but in the one process in which all the parts depend intimately on each other.
- (3) The unity and the development of a society are determined from within.

(II) The fact that each social element is part of a larger process.

- (1) Each society, large or small, depends on its environment, both physical and social.
- (2) Each social element and social function is understood only as part of a larger process, viz., the evolution of human society.

#### NOTE ON THE DIFFERENCES BETWEEN A SOCIETY AND A BIOLOGICAL ORGANISM<sup>1</sup>

The discussion of "Biological Sociology" does not fall within the scope of the present work, but it may be useful to summarize briefly the important differences between a society and an organism.

(1) The original elements of society are *more discrete* than the original elements in a biological organism. The higher

<sup>1</sup> Cf. De Greef, *Introduction à la Sociologie*, pt. i., chap. vi.

this organism, the more closely all the parts are bound together in subordination to the single life of the whole ; but as a society develops a higher and more intense life, the persons composing it acquire more and more individuality. In consequence of this, (a) parts of a society can live alone when separated ; a Robinson Crusoe on a " desert island " is possible when nature is reasonably lavish ; and (b) the loss of a considerable part is less dangerous to the whole.

(2) The form of the social group is *less fixed and permanent* than is the structure of an animal or plant. The organs of an animal belong to a few definite series, and their functions remain about the same. In a society, the number and variety of social "organs" go on increasing indefinitely ; and their particular structure and function do not continue the same. Consequently, (a) social growth is less closely limited by time and place than is the growth of an organism proper. Unlike the life of an animal, the life of a society tends normally to become more stable, its power to adapt itself to changed conditions increases, and much as the form of its expression may change, it is in reality continuous. And (b) changes in the life of a society may be more various, more important, and more rapid, than in the animal or plant. An economic crisis changes in a few days the whole face of the industrial world, an election changes the *personnel* of a government, and perhaps reverses its policy.

(3) In the social organism, the *interdependence* of the original elements and their aggregates becomes even closer than in the biological organism. Really, the limited independence of each part or organ in the animal is quite as remarkable as its dependence. In society, the industrial activity, for instance, responds at once to the least change in any of the factors that enter into it, and all the other forms of social activity are affected with that which is properly industrial. The interdependence of social functions and social groups is so great as to transform the whole process of evolution. There is a survival of the fittest man in the tribe, but each member is cared for by the tribe. The fittest tribe survives, but each tribe speedily adopts from its opponents their superior weapons and even their superior organization. The result of the extreme sensitiveness of each element in society to the state of each other element, is to overbalance any lack of union which might re-

sult from the more flexible and variable character of social units, and even to utilize that flexibility in behalf of a more intimate common life.

(4) The differences so far considered have been only relative, but the final distinction is qualitative and essential. In the animal, consciousness is an attribute of the whole organism. In a society, consciousness remains centred in the discrete individual elements. When men's thoughts come to move in the same channel, and a group learns its own unity, we speak of a "social consciousness"; but the phrase never means that a society has a brain or a consciousness apart from the consciousness of the men who compose it. The present difference becomes even more marked in the process of development, for the animal development has meant a concentration of the more important nervous elements, and a merging of their separate activity into the common activity of a single consciousness. In the lower stages of society bodies of men are more easily swayed by a single common thought or emotion—as when the mob first worshipped Paul and Barnabas as gods, and then drove them from the city. The development of society involves the development of individuality in each of its members, inasmuch as the growth of a larger common life is the condition of a truer and deeper self-consciousness. The history of industry is the history of increasing industrial liberty and increasing responsibility for the individual. The strong government rests on the sense of citizenship it has developed in the governed. In a word, the development of society is a development of persons; the "social consciousness" only exists in the discrete social elements which have become individual.

## CHAPTER III

### THE PHYSICAL BASIS OF SOCIETY : LOCALITY AND RACE

The Physical Basis of Life—The Physical Basis of Society—Physical Factors : Locality and Race.

I. *Effect of Locality.* 1. Effect of the Contour of the Earth's Surface : Contour determines (a) the Size of the Social Group, (b) the Isolation of Social Groups, and (c) the Lines of Social Movement. 2. Influence of Climate : Light, Temperature, Moisture. 3. Society is Modified by What it Uses : (a) Inorganic Materials, (b) Fauna, (c) Vegetation.

II. *Effect of Race.* The Principle of Heredity—Real and fictitious Blood-relationship—What is a Race ? (a) A Group of Men living Together ; (b) A Group of Common Stock ; (c) A large Group in which Resemblance of the Members is referred to Heredity.

Race Expansion : Theory of Population—Present Increase of Population in Europe—Increase of Population in Uncivilized Societies—Race Persistence.

**The Physical Basis of Life.**—Society has been called the third stage of the aggregation of matter. Such language is scarcely necessary to bring out clearly the fact that the life of society, like all other life, has a physical basis. Modern physiology attempts to show that all the phenomena of life, the sensitive and psychological as well as the purely vegetative, are simply new transformations and combinations of physical energy.<sup>1</sup> From this standpoint there is no peculiar life-force, nor is there any part or function of the animal that is regarded as beyond the reach of the physical sciences. All the energy received by the animal is appropriated from its physical environment, and returns when expended to the fixed fund of energy in

<sup>1</sup>*E.g.* Huxley, *Lay Sermons*, Essay vii. ; Claude Bernard, *Leçons sur les phénomènes de la vie*, p. 22, *sqq.*

the world. If science is ever to understand life completely, it will simply be the complete statement of the transformations of energy which make up the life-process.

**The Physical Basis of Society.**—Similarly, if there is to be a physical science of society, it will be necessary to show that all the distinctively social phenomena have a physical basis, and can be stated in terms of physical science as transformations of physical energy. Physical science admits no peculiar social force, and it does not hesitate to offer its explanation of energy and activity as they appear in the social world. This energy depends immediately on the capacity of the individuals of which the society is composed. Its character and amount are determined primarily by the individuals, secondly by the power of union between these individuals, and thirdly by the environment in which they are placed. This energy, too, is appropriated from the physical universe, and is returned to this when expended. No part or function of social life lacks this physical basis, the study of which is the proper sphere of physical science. The truth of this study of society from the physical standpoint may be admitted without at all overlooking the fact that this is not the whole story, even if it does cover the whole ground. Other lines of approach may yield new truth and shed new light on the matter, beyond what is accessible by the methods of pure physical science.<sup>1</sup>

**Physical Factors: Race and Locality.**—From the physical standpoint men may be grouped according to race

<sup>1</sup> In the study of society, it is important to guard against the notion that physical life and psychological life have no relation to each other. The same facts in nature may be studied from the standpoint of physical science and by its method; and they may be studied from the standpoint of psychology and history and by the methods of these sciences. The same double method is necessary, *e.g.* in the study of musical phenomena; the physics of sound offers its explanations of all the facts, while æsthetics studies the same facts from a different standpoint and judges them by a different standard. A clear statement of the critical view of the relation between physical and psychological phenomena may be found in Riehl, *Philosophischer Kriticismus*, II. 2, pp. 176, *sqq.* (Eng. tr., *Science and Metaphysics*, pp. 167, *sqq.*)

or according to locality. Physically, the life and growth of society are to be explained in terms of these two factors. A race is as it were a "social organism" or a part in some such organism; the locality is the physical environment in which this so-called organism develops. The two stand in reciprocal relation, just as the eye is related to light or the stomach to food. This relationship is so complex that it is ordinarily impossible to trace particular effects to particular causes. The discussion in regard to such a relatively simple matter as race color illustrates this. In general the darkest races are found in regions rather low and not far from the equator. Very wild guesses have been hazarded to account for the dark color; perhaps a fair sample of these is the theory that it is due to an excess of carbon in the system, and that this is caused by the quality of the air! Science is limited to the general statement, and can only prove that climate has a slight tendency to modify color.<sup>1</sup>

### I. EFFECT OF LOCALITY

"Inorganic nature, even the lowest and the least complex, is the matrix where are fertilized and developed the germs of all social forms and organisms, which . . . gradually rise . . . and develop out of the necessity of the physical medium from which they came."<sup>2</sup> Progress in civilization involves an increasing knowledge of the laws of nature and of the means of utilizing natural forces. Among the lowest races man's life seems to be an almost passive element, moulded by the natural forces of its environment. Mountains and seas are impassable; drought means famine, disease means death; no real resistance to the powers of nature is possible. Civilization does not change natural laws, but it enables man to use these laws. Man cannot rise above his environment, but,

<sup>1</sup> Waitz, *Anthropologie*, I. 38, *sqq.*

<sup>2</sup> De Greef, *Introduction à la Sociologie*, I. 50.

by means of the power that has been developed in society, he does rise by using the forces which at first had blocked his progress. The sea becomes his highway and mountains are tunnelled. Disease is grappled with, the means of sustaining life become more various and are more carefully husbanded, so that the average length of life has been constantly and materially lengthened.—These effects of climate, food, etc., are the more difficult to study because they are never simple but are modified by the constantly changing nature of man. The subject is an important part of sociology, because it is not the individual as such, but rather the society or group or race, which reacts to the influences of environment.

The factors of the physical environment of society may naturally be discussed under three heads:—(1) the effect of the contour of the earth's surface, (2) the effect of climate, and (3) the effect of the things directly utilized by man, both inorganic and organic. Under the first heading there fall influences which affect a social group as a whole; under the second and third, influences which directly affect individuals, and through them modify the character of the societies which they constitute.

**1. Effect of the Contour of the Earth's Surface.**—Two eminent geologists, B.v.Cotta and Zittel, have explained the most striking difference between the French and the German peoples as the result of the contour of their respective lands. Paris is almost in the centre of a large basin including more than half of France; by nature it is the political centre and the economic centre of all that region. Certainly this configuration of the country has been one factor in producing the historic unity of France. Not only has the unity of the nation remained for centuries unthreatened, but the life of the people is far more centralized than is the life of other European peoples. The industrial centre is Paris, and no other city has a commercial activity in any sense independent of its relation to Paris. There are no "states" in France, no "districts" in Central France,

which are distinct enough to be known to the outside world. Education, literature, and art, all centre in the capital; here the standard of æsthetic judgment is determined, and hither the artist or student must come to find recognition for his work.—The North German plain is the only considerable geological district in Germany; and each of the small districts has developed its own peculiar customs and industries, its own mode of thought, and its own ideals. It is impossible that the common life of the people, or its national life, should be so centralized as in France.<sup>1</sup> The attempt to explain a people by its land is almost sure to end in gross exaggeration, but this is due to a tendency of human nature, not to any weakness of the method.

(a) *Contour determines the Size of a Social Group.*—The physical configuration of the surface is an important factor in determining the size of the social group. It is one of the earliest dictums of the science of history that mountain-ranges separate, and rivers unite. Switzerland is a country of cantons; walls of rock separating narrow valleys prescribe definite and absolute limits to many of its villages. The population which any valley can sustain is determined; and if the town grows beyond a certain limit, emigration is necessary, or the standard of living is lowered. This dilemma is so clear when it is presented on a small scale that the thrifty peasant limits the number of his children in order that they may live without change of place in the same degree of comfort which he enjoys.—In the East the absence of barriers in the large river basins made possible their influence in human history. The rich plains of the Nile and the Euphrates naturally fostered large masses of men. Apart from the ease with which man's wants were supplied in the river valleys of warm countries, the physical fact of a considerable area sheltered from outside interference and easily traversed by water or by land, rendered such basins the natural seats of early despotic civilizations.

<sup>1</sup> Honegger, *Allgemeine Kulturgeschichte*, I. 182.

(b) *Contour determines the Isolation of a Social Group.*—Farther, the physical configuration determines in large measure the isolation of the social group. Traces of the oldest civilization in Europe are found in deep valleys of the Alps, which are so effectually separated that the people in one valley cannot easily understand the language of those in the next valley, and have an entirely different moral standard.<sup>1</sup> Bohemia is so surrounded by mountain-ranges that the culture of its people has been effectually isolated; the Czechs are surrounded on every side by Germans, but their unity and national life have not been materially affected even by political union with a German people. As an example of the other side of this fact, the geographical position of Greece and its opportunities for contact with other peoples, were a necessary condition of the development of Greek civilization. It is to be noted that every capital city in Europe is a port with direct access to the sea. Rome's power is not explained by referring to seven hills on the bank of a river, not far from its mouth, but it is evident enough that the rise and extension of this power depended largely on the natural facilities for intercourse with other nations.

(c) *Contour determines the Lines of Social Movement.*—Finally, the contour of the surface determines the lines of social movement. Physical forces always follow the lines of least resistance. This is true alike of the projectile's regular curve, and the lightning's jagged path. The primitive horde gradually forms beaten tracks about its abode. These tracks, and in fact all intercourse with other peoples, are determined by the easiest courses, and necessarily avoid all obstacles. Civilization and culture follow these same lines, for they can only go where social and economic intercourse have preceded. Caravans still traverse natural courses from Egypt into Palestine, and from Babylonia up to Syria. These ancient avenues of civilization, and even the direction which civilization should take,

<sup>1</sup> Marshall, *Principles of Economics*, I. p. 260, n. 1.

were determined by the contour of the earth's surface. War and conquest have always followed lines marked out for them beforehand. Ancient and modern migrations have been similarly directed. Sometimes the course of an ancient horde overrunning a part of Europe, can be followed in detail, and each deviation from a straight course is explained by natural obstacles, or by the physical strength of those already in possession of the soil.<sup>1</sup> To-day, emigration is from some crowded quarter to the spot which seems to offer opportunity for an easier and richer life. Every redistribution of the parts of society has its physical side, and, like any redistribution of matter, it follows the lines of least resistance. "The final and highest truths of the geographical sciences are included in the statement that the structure of the earth's surface, and the differences of climate dependent upon it, visibly rule the course of development for our race, and have determined the path for the changes of the seats of culture; so that a glance at the earth's surface permits us to see the course of human history as determined (or, one may say, purposed) from the beginning, in the distribution of land and water, of plains and heights."<sup>2</sup>

**2. Influence of Climate: Light, Temperature, and Moisture.**—The second group of external influences affecting the development of a race are denoted by the word "climate"; and (a) first among these climatic influences I would mention light. The length of day may vary but slightly the year through, or the whole summer may be a day and all the winter a night. This of course affects social life, and in itself makes the polar regions very unfavorable to the development of culture. Again, the absence of light from a tropical forest, as well as the absence of protection from the rays of the sun on desert sands, can but affect the life of the individual and the habits of the tribe.—(b) A second climatic factor is tempera-

<sup>1</sup> Humboldt, quoted by Honegger, *Kulturgeschichte*, I. 184.

<sup>2</sup> Peschel, *Geschichte der Erdkunde*, S. xv.

ture: its absolute height, its range of variations, and the rapidity of its variations. The average height of temperature has a two-fold effect: *direct*, in that life requires far more to sustain it in colder regions, and *indirect*, in that this nourishment is far more difficult to obtain in such regions. It requires comparatively little to sustain life in the Sandwich Islands, for instance, and the necessary fish and bananas cost little effort. The general effect of a decidedly low temperature on man or animals, is to decrease the stature perceptibly, and to check rapidity of development, both physical and psychical. Among the Esquimaux, as among those Peruvians who dwell at a great height above the sea, the average stature is decidedly below the normal. Near the equator children are more precocious than in the temperate zone, and it is in warm countries, *e.g.*, in Mexico, that the ratio of births to the population is greatest.<sup>1</sup> These influences affect society directly as well as through the individual. In the regions of extreme cold co-operation is necessary in order to obtain a livelihood, while at best the effort to secure subsistence absorbs all the energy that is developed, so that there is no opportunity for progress. Near the equator the high temperature does not favor the habit of work;<sup>2</sup> uniformity of temperature tends to make monotonous lives;<sup>3</sup> and with every want supplied, man is not obliged to co-operate with his fellows. The temperate zone, with moderate climate and considerable changes of temperature, proves most favorable for the development of man and of society. Such a climate makes many demands on men, and permits the development of the greatest energy to meet these demands. Here the individual may attain his highest development, but his progress is conditioned at every step by dependence on an advanced type of society.<sup>4</sup>—(c) A third climatic factor is

<sup>1</sup> Waitz, *Anthropologie*, I. 43, *sqq.* Heusinger, *Grundzüge d. vgl. Physiologie*, S. 211, *sqq.*

<sup>2</sup> Waitz, I. 395, *sqq.*

<sup>3</sup> Crawford, quoted by Honegger, I. 188.

<sup>4</sup> Cohn, *System der Nationalökonomie*, I. 218, A. 1.

the composition of the air, and in particular the amount of moisture it contains. A Greek proverb connects sluggishness and mental indifference with those who lived in the wet lowlands of Bœotia. Much of the African coast means disease and death to foreigners who are not accustomed to its malarial breezes. Rarity of the air, as well as its dryness, affects the throat and lungs; and doubtless this is one reason for the fact so often asserted, that mountain races possess more vigor than races that inhabit low, damp plains. Perhaps, however, the most important effect of moisture in the air is indirect, and is due to its influence on vegetation.

3. **Society is Modified by What it Uses:** (a) *Inorganic Materials*.—Thirdly, the character of society is modified by its locality, because the forms of matter and of life, which are directly utilized by men, vary so much in different parts of the earth. Animal and vegetable life depend immediately on the presence of water. Man may have reason to worship water as the principle of life, as in Greece or ancient Babylonia; or, as in North America, to regard it as the principle which hinders creation, when it suggests to him impassable forests or marshes. In any case, life and civilization depend upon its presence in suitable amount. Again, the distribution of minerals, especially the metals, has had a very important influence on the development of society. The discovery of the metals and of methods of utilizing them had such far-reaching effects that the phrase “iron age” or “bronze age” is still used to denote the new stage of culture which was introduced by the discovery and general use of the metals. The presence of a clay suitable for pottery is more common, but none the less important. To-day, the existence of mineral wealth and of coal determines the industry of a country. Still the direct influence of what the soil contains is far less than the indirect effect, which is due to the fact that the flora and the fauna of a district depend upon its soil.<sup>1</sup>

<sup>1</sup> Marshall, *Principles of Economics*, I. 329.

(b) *Effect of Fauna.*—Logically, the effect of vegetation would be considered before the effect of the fauna of a region, but as a matter of history, animal life has become a potent factor in civilization long before vegetable life. Doubtless roots, nuts, and in certain instances fruits, were the earliest food of man ; but the lowest races with which we are familiar have weapons of the chase as perhaps the only implements of civilization. A hunting people exists where there is game, and approximately in such numbers as the game of a given region will support. The domestication of animals is really the beginning of progress, and the first step in progress is always the most important. The constantly recurring want of a hunting people was relieved when a regular supply of milk was at hand, together with flesh when that was desired. A far larger number of individuals could be supported in the same region, when the animals that furnished food were regularly bred and pastured by man. A broader and more permanent social life was made possible when the food-supply was a bond of union instead of a centrifugal force, and when property in herds required union for its defence. The absence of animals suitable for domestication on the American continent is one reason for the low state of civilization indigenous there.

(c) *Effect of Vegetation.*—The vegetation of a country, real and possible, is the largest factor in determining the form of industrial life. The steppes of Asia naturally furnish food for flocks, and a nomadic people occupy them. Rich plains in the river valleys are utilized for agriculture. The discovery of the cereals suitable for food was hardly less important than the discovery of animals which could be domesticated ; and it marked an immense advance beyond the latter discovery, because it encouraged a settled life, and removed man still farther from subjection to the vicissitudes of nature. A given area devoted to agriculture will support a population many times greater than when it is devoted to grazing purposes. Moreover, agri-

culture not only encourages a settled life, but it almost demands a stable social organization. Cereal food is really the basis of civilization. The effect of the soil, as the most important factor in the industrial environment, is no less to-day than in the past. However we may interpret the so-called Law of Diminishing Returns, there is no question that a definite density of population is most favorable for utilizing the products of the soil, and that when the population rises above or falls below this degree of density, evil consequences ensue.<sup>1</sup> The movements of population also, both from old to new countries, and from the country to the city, are determined primarily by the opportunities for cultivating new soils and by the fact that barren soils are thereby thrown out of cultivation.

## II. EFFECT OF RACE

**The Principle of Heredity.**—Environment alone is but one factor in the physical life of society; it is equally necessary to study the correlative factor, the people that live in this environment. The word "race," in spite of its ambiguity, is commonly used to designate this factor; and in this use it means a group of men whose physical nature is enough alike to set them off over against other similar groups. By far the most important basis of this similarity is due to the principle of heredity. In children the physical peculiarities of parents and of earlier generations tend to reappear, and after all due allowance has been made for variations in the type and from the type, there still remains the fact that the type of a social group is perpetuated in the children of that group. It has already been pointed out that the influences of the same environment tend to make men alike. When there is added to this the fact that the type of a group tends to persist in its offspring, the likeness which marks the men of one group as a race is fully accounted for.

<sup>1</sup> See, for example, Marshall, *Economics*, I. 191, 505 (217).

**Real and Fictitious Blood-relationship.**—The primary fact, then, with reference to the race, is that its continuance, if not its origin, is a matter of blood-relationship. The physical character of the individuals composing the group is their inheritance from their parents; their individual energy is partly a matter of inheritance, partly a matter of training by parents and companions; while the habits, the needs, and the ends toward which action is ordinarily directed, are influenced but very slowly, if at all, by environment. These characteristics, which are grouped under the general name of heredity, may be called the internal factors correlative to the external influences of environment. Blood-relationship has a two-fold effect in the formation of social groups: (1) Descendants of the same ancestors have the same physical nature and a tendency to develop the same psychical characteristics, so that social relations arise more easily between them and can become more intimate than in the case of individuals not related by blood. (2) Children require care from the mother for a considerable period in order that they may survive at all; and the common life during this period naturally develops into a higher social life later.<sup>1</sup> With the development of the family, and the distinct recognition of the importance of the blood-tie, the effect of blood-relationship on the formation of social groups becomes far more important. The sense of relationship which originally is based on common blood, is soon extended to include all members of a tribe or village, in fact, the time comes when all sorts of relations are brought under the type of blood-relationship. For instance, in Greece, members of the same tribe or *phratry* assumed a blood-relationship in many instances broader than the facts warranted, and gave content to the idea by postulating an original ancestor from whom the whole tribe believed that they were descended. The result

<sup>1</sup> It is said that among animals those born of the same mother live together until there is some definite occasion for their separation. Espinas, *Sociétés animales*, p. 459 sq.

was, first, that the consciousness of tribal unity was developed, and secondly, that a more rigid tribal structure was devised on this basis. The extension of belief in blood-relationship did not end here. The connection between different villages or tribes, the members of which recognized a likeness to each other, was brought under the same conception; the Greeks classed themselves as Ionians, Dorians, and Æolians, and traced these sub-races back to three brothers, Ion, Dorus, and Æolus. So the Hebrews recognized a common descent from Adam, who was at the same time regarded as the ancestor of the whole human race.

**What is a Race?**—(a) *A Group of Men living together.* — The word “race” is unfortunate because it raises so many questions, about which there exists no unanimity of opinion. It certainly denotes the fact that men are bound together by something more, by something that lies deeper in their nature, than mere physical contiguity. But while this truth cannot be denied and we continue to use the word “race” to denote it, still ethnologists have come to no agreement as to what constitutes a race, and there is dispute even as to the extent of acknowledged races. Considered as a group of men subject to the influences of a common environment the lowest unit hardly deserves the name race at all. It is clear that under ordinary conditions men can only live in groups strong enough to protect themselves; the unarmed individual is no match for other animals, even if he is able to obtain food and to protect himself against inclemencies of the weather. Moreover, these groups must be small enough so that the members can work together, and not too large to find a supply of food in a comparatively limited area. Such a unit, which we may call the tribe, is the actual working unit of early society; in it is developed and perpetuated the culture by which it comes to be essentially different from other tribes. This semi-political unit may contain individuals of such different character and antecedents that they are to be classified

under different races, but in most cases a real likeness lies at the basis of the group, and is farther developed by the common life. On the other hand, the race, as the term is ordinarily used, will frequently extend beyond the tribe, for the tribe is definitely limited in number by its circumstances, while a prolific stock may speedily exceed these bounds, and make necessary a division of the tribe.

(b) *A Group of Common Stock.*—Within the tribe, the existence of which might be accounted for independently of relationship, there is always at work the force of heredity. We have already seen that while this force works directly within a limited sphere, its power is at length recognized and is extended by a fiction to the whole of the group. The tribe as a physical unit, which at first was held together by pressure from outside, comes to be one in blood, or at least in a fictitious blood-relationship. This second type of physical group may be much larger than the first, for, it is often extended beyond one locality to an entire region.

(c) *A Large Group in which Resemblance is explained by Heredity.*—The word "race," however, is more commonly used to denote a third fact, namely a class of men who in all essential points resemble each other. From this standpoint race unity is simply a matter of likeness, and the student may draw the line much as he chooses; it depends largely on his temperament whether he makes a few large races or numerous small races. As defined in this way the race has but little importance for sociology except in so far as the persistence of race characteristics is an important factor in the development of culture. Looking back over the course of history we naturally speak of the work of the Hebrew race, or of the Greek race, and we postulate a genius of the race as the correlate of the work which it has accomplished. In these instances, however, and generally when the race has accomplished some definite mission, the unity of the race is

no mere fiction of the scientist, but has come to be recognized by the race itself. A race which is conscious of itself becomes thereby an actual unit in society, and its institutions will bear the race-mark with increasing distinctness.

**Race Expansion : Theory of Population.**—It only remains to consider the facts of race persistence and race expansion, facts which are essentially of the same character whatever be the unit to which the name "race" is applied. The so-called doctrine of population is an attempt to state these facts. Speaking roughly, we may say that the growth of population is determined by the food-supply. As Malthus pointed out, plants as well as animals tend to reproduce themselves and multiply with extreme rapidity ; but the land available for wheat-culture is limited, and only a limited number of animals can find food, accordingly the available food-supply for man has only a limited increase from year to year. But man, like any other animal, tends to multiply far more rapidly than the food-supply would warrant, and unless this growth is hindered in other ways, famine and pestilence will prove a most effective check. There are a few races which seem to have become unprolific, so that they are actually dying out ; apart from these exceptional cases, every race known to us has the capacity of multiplying much faster than the food-supply increases ; and, as a matter of fact, the net increase is frequently far in advance of the increase in food-supply of a given region. Malthus claimed that the natural positive checks formerly effective—war, famine, infanticide, etc.—were becoming less and less operative, and that if society did not voluntarily limit the number added to it, misery would constantly increase, and the race would degenerate instead of making progress.

**Present Increase of Population in Europe.**—To-day Europe has a considerably larger population than its lands will support, as they are at present cultivated, and the present net increase of two and a half millions a year can-

not continue indefinitely to find support from other sources. The more careful study of statistics in recent years seems to show that Malthus's discussion of "natural," "positive" checks, was imperfect, and that, as a matter of fact, the net increase in population follows quite accurately the increased means of subsistence. According to figures quoted by Professor Marshall, from the *Statistical Journal* for 1885, the net increase per thousand is, in general, quite independent of the number of births per thousand. A few figures, selected from these tables, are sufficient to show the drift of the whole.

	Russia.	Hungary.	Saxony.	Bavaria.	Italy.	England.	Sweden.	France.
Births. ....	49.4	43.	42.4	39.5	36.8	35.1	30.2	25.4
Deaths ....	35.7	38.2	29.	30.6	29.1	21.4	18.9	23.8
Net increase ...	13.7	4.8	13.4	8.9	7.7	13.7	11.3	1.6

Apart from the exceptional case of France, these figures seem to show: (1) that the birth-rate of each people is more than sufficient to produce the number who can find subsistence under the conditions now actually existing; (2) that the death-rate rapidly increases with the larger birth-rate, so that the net increase corresponds closely to the increased means of sustaining human life; (3) that, in general, the larger increase in population does not correspond with an increase in misery and degradation. It is only in Russia that the rapid increase has proved to be a source of danger, and perhaps of decline.<sup>1</sup>

**Increase of Population in Uncivilized Societies.**—There can be no question that these facts, proved by statistics for modern Europe, are, in the main, true of primitive society. There has always been the same lavish supply of human life, the same pressure of population upon food-supply,

<sup>1</sup> A. Dumont, *Dépopulation et civilisation*, Paris, 1890, gives an interesting discussion of the special case of France, as well as further statistics with reference to the general problem. The main value of the work lies in its careful analysis of local statistics in France.

leading to rapid expansion with every new source of food ; and though we may not be able to explain it, this pressure of population upon food-supply has not, as a rule, been so close as to produce misery and degradation. The check to real over-population is very severe, but actual famine is generally due to vicissitude in the supply of food rather than to over-population. We may suppose that in primitive society, as in later times, population would vary only slightly while the sources of food remain the same ; that in places where the food-supply is very abundant, the population would rapidly increase, and that this expansion would result in emigration to districts less favored ; finally, that every new device or practice which makes the food-supply more abundant and more constant, would occasion a rapid increase in population.

**Race Persistence.**—There are thus two factors determining the life of society, when this is considered from the physical standpoint: the external factor of locality, and the internal factor of heredity. The influences of locality are very strong in determining the course of social movements and the character of social organization. In a new environment the individual develops differently, new modes of social activity arise, and the institutions that have originated under other circumstances may be profoundly modified. After all this has been said, the facts of race persistence remain and cannot be neglected. Two races may be crossed and become blended into one, as has been the case in Mexico, or the weaker race may gradually die away before the stronger. But the influences of locality alone have never been sufficient to assimilate two really different races ; in America the power of the same climate, the same language, and the same social institutions, has not yet proved sufficient by itself to obliterate former differences between Indo-European races. Without accepting the results of those writers who profess to be able to analyze the population of England or of France into numerous distinct ethnic elements, we cannot fail to see that the

effect of locality on the influences that are grouped under the name of heredity, is measured only by centuries or by tens of centuries, and that new and higher races are generally formed by the amalgamation of races originally distinct.

## CHAPTER IV

### ASSOCIATION: THE RELATION OF MEN IN SOCIETY

**The Social Group not merely Physical.** 1. Bonds of Feeling: Man not a Social Animal by Nature; Influences for and against Sociability; Sentiment as a Social Bond. 2. Bonds of Common Function; The Unity of a Biological Organ is a Unity of Function; The Unity of the Social Group a Unity of Function—Social Evolution involves Differentiation of Activities and of Groups—In this process the Bonds uniting Men become more Definite, Various, Permanent—Solidarity of the Family Increased in the new forms of Social Activity—Increase in extent of Expansive Social Groups.

1. Attractive Forces, based in Feeling. 2. Functional Bonds, due to Common Activity, a part of the Psychological character of the Individual.

Meaning of "Association." Conditions favoring Association. Influence on Association of Locality, of Race. Social and Psychological Factors favoring Association (Vocation, Rank, etc.).

**The Social Group not merely Physical.**—In the preceding chapter, the social group has been considered as a physical object determined by physical causes; but the unity of a social group is not fully explained by saying that it was "made so from outside," or that it was "born so." To stop here, is to let the lower truth take the place of the higher—a result that is fatal to all science. Chemistry and physics do not take the place of biology, though familiarity with these sciences is the necessary basis of any advance to a broader and more scientific biology. The physiology of the brain is the basis of a true psychology; it can never take the place of psychology and logic, but it is rather the condition of progress in these branches. Similarly the study of society from the physical side is only the basis of a study that is both broader and more direct. A

society is a group of men; as such it must be studied and explained, if sociology is to be more than an empty name.

**1. Bonds of Feeling: Man not naturally a Social Animal.**—Two theories, frequently advanced with reference to the relation of men in society, are suggested by the phrases, “man a social animal,” and “social cohesion.” The study of society has often begun and ended with the statement that man is a social animal, as though this were a fact too familiar to need discussion or criticism. Certainly civilization makes man pre-eminently the social animal (*ζῷον πολιτικόν*), but by nature he may be a very different being. The study of uncivilized races to-day shows clearly that this is possible; the lower type of Veddahs in Ceylon and of Hottentots in Africa live in scattered groups of two or three or four, with no more sociability than is found among gorillas. If man is not necessarily and universally a social being, the phrase demands investigation before it can be accepted as the whole philosophy of society.

**Influences for and against Sociability.**—In truth, both social and unsocial tendencies are at work in each stage of social development; some forces tending to draw men closer together in society, and others tending to break up the societies thus formed. In the world of any creature, those of its own kind are the most prominent objects, the beings about which sentiments of aversion or of pleasure are sure to cluster. In early stages of civilization jealousy appears at least as soon and as commonly as sympathy, and anger is by no means a product of civilization. The bitterest conflicts arise among those who are seeking the same thing, so that association itself leads to strife, and even in the effort to unite, men are driven farther apart. But oftentimes co-operation is the only means of obtaining any success; the individual alone cannot protect himself against attack, nor can he win from nature the means of subsistence. Under such circumstances the feeling of loneliness becomes unendurable, for it is associated with the

sense of imminent danger. The mere presence of other men produces a feeling of security and satisfaction. The various forces of an advanced civilization work in the same manner, strengthening the bonds that unite one group and weakening those that unite another. A few years ago, the workmen employed to unload vessels at the London Docks were chosen each morning from among scores of hungry men who fought with one another to secure the chance to work. This unsocializing influence was entirely reversed when two or three able leaders convinced the men that their ends were better gained by union; and now dock labor, like the older trades, is so organized that a common occupation binds the workers together.

In the long run, the necessities of man's position decide between the influences that strengthen social bonds and those that tend to destroy society. Ordinarily, man must be a social being in order to survive; for progress, social life is absolutely necessary. So far as primitive man is concerned, there is some reason for thinking that he was not of choice a gregarious animal, but that a certain low degree of social life was generally necessary for his survival. The process of natural selection clearly results in the development of a gregarious instinct, for those who do not learn to enjoy the presence of their fellows have to contend single-handed with hostile forces, both physical and human. And *progress* always presupposes the social instinct; a tribe makes progress by reason of its strength and its quickness to learn, and both strength and quickness to learn depend on the social instinct that binds a tribe together and keeps it in active relation with other tribes. Progress for the individual means a larger share in the developing common life, it presupposes the social man. Genuine progress of society demands increasing solidarity in the component social groups; bonds of feeling, not simply of function, must unite these groups. Cases where this does not occur are abnormal if not uncommon, and such groups carry in themselves the seeds of their own disruption.

**Sentiment as a Social Bond.**—The bond of sentiment that unites men in society may fairly be described as mutual delight in companionship with one another. Whatever be true of primitive man, there can be no question that to-day man is a social being, *i. e.*, in other words, that in whatever relation men find themselves, the pleasures of companionship tend to make that relation closer and more enduring. The mere fact of contiguity serves as such a starting-point for the bond of sentiment. Persons whose business brings them together cannot, if they would, keep their relation purely on the business plane. Neighbors in a large city fail to become acquainted with each other simply because the contiguity is physical and is not socially real—they may live in adjacent apartments, but under modern conditions this brings them no nearer together. On the other hand, when men are brought into touch with each other, even for the brief period of an ocean voyage, those who do not form new friendships are the exception. The final test of this principle is found in countries where marriage is arranged entirely by the parents, with little or no acquaintance beforehand between bride and bridegroom. It is said that in modern Athens, for example, the percentage of happy marriages between parties unacquainted with each other before marriage, is quite as large as in lands where the choice of a bride rests entirely with the future husband. The ultimate explanation is found in the fact that sentiment tends to unite persons who are brought into close contact with each other.

The presence of some likeness or some common interest which is recognized by people who are thrown together is, of course, a large additional factor in developing bonds of sentiment between them. When people from the same State meet in a distant locality, even so external a likeness and unity of interest at once tend to bind them together. And when a group of men share with each other any of the different forms of social activity which we shall examine later, the members of the group tend to develop a real

common life. Reciprocal pleasure in companionship performs a most important function in welding together classes of men into real societies. It is not merely nature's stamp of approval on the utility of companionship; it becomes an additional bond uniting men in society more firmly, and assisting in the gradual assimilation of heterogeneous factors.

Nor can we stop here in our discussion of sentiment as a social bond, for it is the direct source of activities which bring men into relations of interdependence. Pleasure in companionship involves readiness to give and to receive, though the different elements never receive quite the same emphasis in different types of relationship. It involves the readiness to give; to give one's time and interest in the service of others, to sympathize with their various emotions, to make allowance for their weaknesses, to recognize and admire what is excellent in them. On the other hand, it involves a readiness to receive. Enjoyment of service and adulation is a sentiment which plays no small part in the social and, indeed, in the political world; and in the higher types of friendship enjoyment of the service that love renders is as truly important as joy in serving. In all the different relations of society, in the family and the state, in education and in religion, even in business, the distinctly social character of man to-day is a dynamic bond of union.<sup>1</sup>

**2. Bonds of Common Function.**—"Social cohesion" is a second phrase sometimes used to describe the union of men in a social group. A phrase so convenient often serves instead of any investigation of the facts, and satisfies those who are content with a new word as an explanation; but it is just about as scientific as would be the phrase "biological cohesion." The parts of an animal are indeed bound together—they have a physical relation depending on proximity; but the whole question is *why* they are thus

<sup>1</sup> On the importance of sentiment as a social bond, cf. Novicow, *Les luttes entre sociétés humaines*, livre II. chap. vi.

bound together. The metaphor from physical science is peculiarly inapt, because it implies that the component elements are uniform, and that the law of their relation is very simple. In this sense it might be fair to speak of the cohesion of a flock of sheep; but so far as organized society is concerned, all that the metaphor suggests beyond the mere fact of a relation is false.

**The Biological Organ has a Unity of Function.**—Biology furnishes an analogy that is richer and much nearer the truth. The question as to the bonds which unite the molecules in an animal's lung or brain may receive two answers. Undoubtedly the union can be stated in terms of physical and chemical forces. Chemical affinity, physical cohesion, etc., determine the place and movement of each atom of matter. It is possible to ask the reason for the particular arrangement, and to get a more important if not a truer answer. Biology recognizes that the character of an organ is determined by its function, its parts are arranged as they are, and change as they do, because the organ performs a definite function in relation to the other parts of the organism. The real bond that unites the parts of a lung is the fact that each part shares in the function of the lung and contributes to the performance of that function. The parts form a whole because they work together. All that chemistry can contribute to the knowledge of the manner of the process, the biologist gladly welcomes; the fact of the process, and of the unity which it implies, he knows to begin with.

**A Social Group has a Unity of Function.**—The unity of a society also is functional, and not simple "cohesion." The social group is not determined by any single factor, nor does an enumeration of its different parts tell the whole truth. The group is one because it has a common life, because its members are united in the performance of a common function. Members of the family depend on each other, and together they serve a common end in the larger group. Persons of the same rank in the social scale per-

form much the same functions for society, so that they easily develop a common life and a direct interdependence. In the industrial world, or in the intellectual world, groups are determined in the same manner. Men hunt together or dig together, and the permanence of the common activity is the measure of the permanence of the group. Voluntarily or not, men of the same period unite in the search for truth, and the intellectual group is determined by the extent of the common intellectual activity. A share in the same activity, the performance of a common function, in itself unites individuals in functional groups. Performance of different functions with reference to a common whole tends to separate one social aggregate from another; yet at the same time it emphasizes the bonds that unite each part into a definite group, and it connects the groups into a compound whole.

**Differentiation of Activities and of Groups in Social Evolution.**—The study of social evolution sheds much light on the character of the bonds that consist in a common function. In the development of society new *needs* are constantly being developed; as they arise they are met by new *forms* of social activity; and the social "*organs*" which have been adjusted to one set of activities, must change to correspond with the more complex activities. In this process social groups are gradually made more definite and more stable, as the function in which their members unite is defined. A primitive group with no sharp line either circumscribing it or dividing its parts, is the basis of the family and the state. A confused idea of blood-relationship grows clearer and more definite until at length it assumes the form best adapted to secure permanence. Separation of the industrial and military forms of activity in the state causes a separation into industrial and military classes. The function of a group, at first so vague, is gradually defined, and in consequence the group itself is more sharply defined from other groups. In a word, the study of social evolution makes it clear that a definite form of

social activity' and a definite group of men engaged in that activity arise simultaneously; that is to say, the social group is determined from within, and the bond which unites its members is their share in the particular activity.

**Social Bonds become more Definite, Various, Permanent.**—The differentiation of social functions and social groups results in making the bonds that unite men in a common activity more definite, more various, and more permanent. In the primitive "horde" no clearly defined bonds united the members. The group was largely determined from without, and the only internal bond was due to those influences which are usually ascribed to heredity. The beginnings of a political and industrial organization meant more definite bonds uniting men in society, because it meant more definite functions in the performance of which men were associated. The industrial and the social and the political forms of activity were gradually separated, until each individual had his economic position in society, his social position, and his political position. In each form of activity he was united with a class of associates, and these associates were not quite the same in any two cases. In each new form of activity he gained new power, and, at the same time, he became more dependent on society; power and dependence alike are signs of the common life of which he has come to be a part. Each new form of activity is a new and stronger bond uniting him with his fellows. To-day the economic forms of social activity are so complex that they almost defy analysis, and it is only possible to describe the most important varieties. Finally the differentiation of social functions and social groups makes social ties more permanent. A man is bound to his neighbors in a hundred ways instead of one, and if the social structure is weak in one spot, strength elsewhere is likely to prevent its overthrow. The natural sentiment which led to a marriage may disappear; but respect for public opinion, or the legal difficulties of divorce, or the difficulty of meeting the needs

of life alone, may any one of them suffice to prevent the breaking up of the family.

**Solidarity of the Family Increased.**—The further results of social evolution have affected the functional bonds, and the groups which were united by these bonds, differently in accordance with the character of the social group. In contrast with other social groups that expand as they develop, the family is by nature a closed group, and the whole process of evolution tends to emphasize this characteristic. Wherever the family has been expanded, it has lost its essential character and has failed to perform its function properly. The evolution of the social bonds is none the less evident in the case of the family, and in the process of evolution the character of this social bond appears very clearly. The family has become more sharply defined and more permanent with each advance of culture ; in particular it has been solidified as the forms of activity into which it has entered have become more various and more definite. The bond once easily sundered, became far stronger when the family entered as a definite unit into industrial activity, for economic solidarity was a stronger bond than the merely domestic or social union. And as the members of the family share the same intellectual life, thus forming one body intellectually ; as they become distinctly one in the eyes of the law and in their relation to the state ; as they enter together into new and higher forms of moral and religious life, the solidarity of the family is indefinitely increased. A common share in new forms of life means that new bonds unite the members of a group, and that by these bonds the closed group is solidified and made more permanent.

**Expansive Social Groups Increase in Extent.**—The results of evolution on social groups, and the bonds that unite them, may be more apparent, though certainly not more important, in the case of expansive groups. Here the new complexity has full opportunity to show itself in uniting men into new groups as they perform the new activities.

As the life of a given set of men grows more complex, the inner structure of the group shares the complexity; wherever it is possible the new complex life reaches out beyond a given group, and social ties connect larger and larger numbers in a society. The size of a society depends ultimately on the extent to which its common life may reach, and on the permanence which the common life is adapted to produce. Increasing complexity of social life requires a constantly expanding social structure, and binds together the different parts of this structure as they arise. A complex social life requires an increasingly stable social structure, and makes the structure stable by the great variety of bonds uniting each part with many other parts. The most apparent result of the larger common life and of the new bonds by which it unites individuals, is the rapid increase in the extent of the society thus formed.

**1. Attractive Forces, based in Feeling.**—The word *association*, which is ordinarily used to express the relation of men in society, has hardly been justified by the discussion thus far. We have seen that man is or becomes, in some measure, a social creature, and that he learns to enjoy more and more the very presence of companions. This pleasure is often independent of any mutual services, though it is almost sure to arise in connection with such services. Man is not wholly unlike the gregarious animals; society is bound together directly by bonds of feeling that may be described as attractive forces.

In regard to these forces, it may be observed, first, that they are due to the character of the individuals in society, and that they increase or decrease as these individuals become "more social" or "less social." Even when such abstraction is made the direct end, it is hardly possible to study these bonds apart from the men they hold together, for they form a part of the life of individuals. And secondly these bonds, due to pleasure in companionship, are not primarily physical in character, but rather psychical.

The social and the unsocial man cannot be immediately distinguished by any physical difference, and there is little reason to believe that these traits are transmitted from father to son by heredity. Men enjoy the society of others when they have been trained to enjoy it; social life then is a product of culture. Pleasure in society is the result of men's relation to a social and a moral environment, not of their relation to the physical environment. Delight in companionship is a psychical fact; it is a function of the individual's psychical life. The true name for the union of men in society is association.

**2. Functional Bonds, due to Common Activity.**—A biological metaphor has proved useful in describing the general character of a social group. Society is so far an organism that its unity is determined by its life, and the unity of each part is determined by its function in the life of the whole. The social group is one because it acts together; the true unity of society is functional.

Here, again, it is clear that the change from an unsocial to a social state is simply a change in the individuals forming the new group. No new power has appeared above and outside these men to make them work together and to restrain their selfish tendencies. They have learned to depend on each other; as a body they can accomplish what is impossible for any one to accomplish alone. The individual is so changed that he can only live in a complex group. The social bonds, due to a common activity, are functions of the individual life. Secondly, it may be said of the bonds due to a share in the social activity, as of the bonds due to pleasure in the presence of others, that they are primarily psychical in their character. In fact, as man becomes a social being, it is not so much his body that is changed, as it is the world in which he lives. This was an animal's world in which many things were to be feared, and a few were to be utilized to satisfy appetite. It becomes a human world, in which the important facts

are not things, but men, and life is made up of man's relations to his fellows. Even the very things in nature are changing, as men gain a larger scientific horizon, and as they find new means of utilizing the gifts of nature. The world in which a man lives is the psychical world in which he has been brought up; this world of experience is a social fact, developed in society, and practically the same for the same social group. The development of social life is a psychical process; man, in company with his fellows, is developing a faculty of reason.

**Meaning of "Association."**—The word *association* naturally refers to the psychical relation of well-marked psychical units. The scientific study of society does not change this idea, but simply develops it. A man's delight in the presence of other men is no mere animal gregariousness; it is the delight of mind in contact with mind. Individuals choose this social life because it alone affords pleasure that can be called human. The more important bonds due to a share in the common activity are never fully described by any terms from biology. This common activity means the development and activity of reason; its character is essentially psychical. Moreover, its development is the development of individuals, and the common activity is the conscious effort of men to realize ends which they consciously propose to themselves.

**Conditions favoring Association.**—The preceding chapter discussed the physical basis of social life, and it remains to suggest the relation between this physical basis and the psychical life which is developed from it. This is simply a question as to the conditions favoring the development of association. Complex society shows two sets of influences at work, influences tending directly to aggregation and assimilation, and influences tending to separate and differentiate social elements. Each of these sets of influences in its own way favors the growth of association. This is clear enough in the case of the assimilating influences; men in the same locality come to share

the same culture, society tends to become one, and its members enter into more and more intimate relations. The same effect, only within a more limited area, is produced by differentiating influences. The relation between employer and employed involves certain hostile elements which have been greatly emphasized in the present century. The direct effect of this is to bring the members of each class into closer relation with other members of the same class. The attitude of common hostility on the part of a class not only adds a new bond of considerable power, but it has a far more important function in developing more essential bonds of union which have remained unrealized or even unrecognized. Every form of social struggle, from war between nations to economic competition, religious strife, or intellectual ambition, has its effect in welding a larger or smaller class into closer association.

**Influence of Locality on Association.**—The distinctly physical facts of race and locality exercise both positive and negative influences on the development of association. In the first place, locality tends to assimilate races and types of culture. Language is a good example of this. Two languages may be spoken in the same locality for a limited period, but, sooner or later, one drives out the other, or a new language is formed, uniting both constituents. Where two religions have been thrown together, or two sets of moral habits, the result has been the same: one has driven out the other after being more or less modified by it. Life in the same locality means the same schools for the children, the same laws and government for the parents. Even climatic influences tend to develop the same habits. Where two races live together, intermarriage is inevitable, and a new race is the product of the two components. The differentiating influences of locality are mainly due to differences of climate. While the immediate effect of climate in uniting one set of people as over against another set is inconsiderable, some of the antago-

nism between the temperaments of different peoples may be traced to this source.

**Influence of Race on Association.**—Blood-relationship, real or imagined, lies at the very basis of union in society. Economic relations, political unity, even language itself, are developed in the group which regards itself as a race ; some religions have become universal, but each religion is in origin the product of a race. Receiving a similar physical nature from common ancestors, and sharing the psychical life which is their most valuable inheritance, members of the same race have by nature the strongest bonds of union, and union of any sort tends to develop closer psychical relationship. Only at certain periods in the history of the world have a race and a society become so far identical, that strangers who have come to share the culture of the society are at length regarded as members of the race. In a word, the physical group underlies the psychical group ; identity of race favors association.

**Social and Psychical Factors favoring Association.**—The physical conditions favoring association, viz., race and locality, are by far the most important ; but as society develops, there are certain social and intellectual conditions which have such an important influence on association, that they cannot be overlooked.

These are roughly classified in the following table :

<b>Social factors :</b>	<b>Rank, e.g.</b> Rulers and ruled ; slaves.
	Nobility, bourgeoisie, peasants.
	<b>Vocation, e.g.</b> Artisans, carpenters, metal-workers.
	Merchants, wholesale, retail.
	Intellectual pursuits, etc.
<b>Psychical factors :</b>	<b>Thought and language.</b>
	Beliefs and science.
	Temperament, morals, art.
	Religion.

The most important bonds uniting men are the bonds of a common function, of a share in some common activity. So it needs no proof that identity of vocation, to whatever this may be due, is a very important influence favoring association. Men are led to choose their vocation quite generally by some particular taste or habit of mind, so that it is common to find a certain identity of temperament among those pursuing the same calling. The same work, and the pursuit of work along with companions, also tend to produce a similar habit of mind within a given group. But this bond, due to similarity, is only half the story. Those in the same trade are united in the performance of the same function for society. The work of carpenters may leave them a considerable degree of independence, while men must unite in large factories to produce guns or carriages successfully. And yet, however great their apparent independence, each class of workers is directly united in the performance of its common function for society.

Nor is it difficult to see that those belonging to the same rank in society are naturally brought into association, whatever may be the principle by which rank is determined. Wherever society is somewhat stable, members of the same rank in society have received from their parents a physical nature peculiar to the class. Then they are trained in the same habits of thought and action. Quite generally they have access only to particular callings, and indeed they have tastes suited only to these callings. Besides these conditions strongly favoring association, it is often possible to point out some general function for the service of society, in which members of the same rank are directly united.

We have seen already that those who are thrown together, naturally tend to have the same language, the same range of thoughts, the same scientific view of the world, the same æsthetic, moral, and religious needs. Here it is only necessary to point out the fact that the converse of this is

equally true. Identity of language, similarity of thoughts, habits, and needs, are conditions strongly favoring the development of association. Such identity and similarity are not only products of association ; they are the most important factors in determining the farther development of association.

## CHAPTER V

### THE SOCIAL MIND

**The Solidarity of a Society or Social Group—The Psychological Life of the Social Group.** 1. Language and Thought common to the Members of a Social Group; Beliefs, Practical Knowledge, Methods of Investigation and of Proof, common to the Social Group. 2. Habits and Virtues peculiar to each Social Group; Judgment of action by Conscience a Social Fact; Ends of Action and Ideals common to the Group. 3. Types of Feeling mark the Social Group. 4. Self-consciousness of the Social Group, of the Volitional Group.

**The Unity of the Social Mind and of the Individual Mind—“Social Mind” a Concrete Phrase—Relation of the Social Mind and Individual Minds—The Social Mind Exists in and through the Individual Minds Composing It—The Social Mind the Product of Association.**

(Note on the Science of Society and the Sciences of Man—Sociology and History, especially the History of Civilization—Sociology and the Genesis of Psychological Processes—Attention, Comparison, Generalization, etc., from the Standpoint of Sociology—Sociology and Logic and Ethics.)

“The laws according to which the psychological activity of the individual is awakened and developed, may be called psychology. There must be similar laws also for the whole nation. The nation, as well as the individual man, is one being.”—HUMBOLDT, *Ges. Werke*, IV. 427.

**The Solidarity of a Society or Social Group.**—The first aim of sociology is to understand the character of the object with which it has to deal—the society or social group. In the preceding chapters it has been shown that this group may be studied as a contract, or as an organism; that its character is, in a measure, determined by physical causes, but that, in its essential nature, it is truly an as-

sociation of persons whose feelings and activities bring them together in the common social structure. A social group is made one by the pleasure its members find in each other's companionship, and by the necessity of union in order that the group may perform its proper function. The solidarity which is primarily due to those causes and which is constantly reinforced by the same causes, gains a much wider range and takes a deeper hold than was indicated in the discussion of the principles of association. The proof then offered that the sources of social unity are psychical forces, was somewhat negative in character. It remains to be shown that the solidarity of a society embraces all phases of the psychical life that it develops; that the social life of man is, in truth, the unfolding of reason; that the unity of the social group is the unity of a social mind.

**The Psychical Life of the Social Group.**—In any highly developed organism it is possible to study the life of the whole in its effect on the separate elements of which it is composed. In the case of society the temptation to adopt this course has proved almost irresistible. The characteristics of the new life developed in the group, and the results gradually produced by this common life, are deposited in the individual mind; the leaders of thought and activity are, of necessity, individuals; the highest and most striking product of society is the personality which man feels to be himself. It is no wonder that logic and ethics, history and economics, are studied from the standpoint of the individual, while the social character of the truth thus studied is only vaguely indicated by an occasional reference to environment. Yet it is not difficult to see that all the distinctive characteristics of man as man are social products, both in their origin and in their form.

**1. Language and Thought common to the Members of a Social Group.**—In the first place, intellectual possessions and capacity and activities belong to the group as a whole. For instance, language is never the invention of

a single man, nor can any man claim it as a private possession. This is plain enough in the case of different peoples, and attention is frequently called to the fact that the popular dialect of a district is the peculiar property of that district; but we may go further and say that each clearly marked social class, each trade group, and even each family, has its own language. So the range of thoughts possessed and used by any group is limited, and characterizes one group in distinction from another. The teacher impresses his mind on the school, the father on the family, and the family or school becomes an intellectual group by itself. In religious matters, the range of thought in the denomination and in the individual church is limited. The words "soul," "revelation," "divine justice," have very different meanings for different bodies of religious thinkers, but within a given church the meaning of each is practically the same. In a word, these ideas are the property of a social group. Only members of the same group can really understand each other. The same truth holds good of different ages. The philosophical, or scientific, or religious ideas of one age differ essentially from those of another; the thoughts of any age are not directly and completely intelligible to an earlier or a later age.

**Common Beliefs.**—The primary beliefs which are generally accepted, and from which the thinker must start, are, in like manner, the property of the group. Philosophical scepticism appears in certain ages, and affects particular classes. The belief of the peasant class in Europe as to matters physical and spiritual, mundane and heavenly, may be formulated without special difficulty; and it differs no less from the belief of the same class in some other type of civilization, than from the belief of the educated class in Europe. Changes in these beliefs sweep over a whole country at times, as in the case of the appearance and disappearance of witchcraft in New England. Even in the mind of a trained thinker the evidence in favor of a given proposition rarely has the same weight as the statement that

it is accepted by a class of minds which commands his respect.

**Common Practical Knowledge.**—The common possessions of a group and of an age include in particular the practical knowledge, the tools, and the methods of attaining the ends desired. Students of primitive society speak of a stone age and a bronze age; more limited periods are distinguished by the special forms of utensils, their decoration, and the skill shown in their manufacture. As civilization advances, utensils vary more rapidly from age to age, and more widely in different groups in the same age. Weapons, tools, and utensils are the property of the social group, and no individual possession. So the methods of agriculture and of hunting, of preparing food and partaking of it, of preparing and wearing clothing, are indeed followed by the individual, but they are the possessions of the age and the social group in which he finds himself.

**Common Methods of Investigation and of Proof.**—Finally, intellectual activity may be predicated of the group with quite as much truth as of the individual. Each age and each people—one might even say each class—has its own way of going at a problem that demands solution. There are *a priori* methods and empirical methods; one age demands metaphysical proof; to-day we take pride in studying everything “inductively”; one group uses concrete symbols, and another abstract ideas, as its instruments of investigation. These methods and the activity which finds expression through them, are characteristic of social groups. Even the standard of truth varies with the social group. Many ages and peoples have regarded the miracle as the best possible proof of things supernatural; to-day some classes find in the account of miracles a stumbling-block to their faith. The proof of the metaphysical system often is only its own perfectness and beauty, but such systems have not lacked for followers. Tradition has been another standard of truth, physical authority yet another. None of these various standards of truth have

belonged to individuals as such ; in fact it is by the very nature of things impossible that the test of what truth is, should belong to an individual. A proposition is said to be true when it commands assent, when it can be "proved" ; and these words "assent" and "proof" mean assent by a group of men and proof that satisfies a group of men.

## 2. Habits and Virtues Common to each Social Group.—

Secondly, it is reasonable to assert that the social group has volitional characteristics, such as are commonly regarded as distinctive of the individual. Habits are the possession of an age and a class quite as truly as of a particular man. For instance, each social class in a given nation, at a given time, has common habits as to its food. The bill of fare does not vary much from family to family; sauerkraut, beef, Indian corn, stand for particular peoples in the mind of every reader. The table furnishings, number and time of meals, table manners, change as one turns from class to class, rather than from family to family. Habits of family organization, of marriage and divorce, mark one country and one age. Habits of social intercourse, such as the time and manner of calls, the character of social gatherings, the mode of entertainment and topics of conversation at such gatherings, the extent and character of the groups that have social intercourse with each other: all these are determined by the habits of the class and age in question. In particular, habits of virtue are the property of the group. It is part of the history of ethics, as yet largely unwritten, to show that the virtues men prize and cultivate have varied from age to age, in different nations, and even in different families. It is an evident fact that truth-telling, generosity, patience, pertinacity, justice, receive very different emphasis in different families; the habits of virtue vary in these families, and the persons who go out from them ordinarily carry with them the virtues of the group in which their character has been formed. The history of virtues, like the history of other habits, can only be written from the standpoint of the group, never from

the standpoint of the individual; this fact alone justifies the statement that habits belong to the group.

**Judgment of Action by Conscience a Social Fact.—**

And not merely the history of virtues, but the judgment of action as well, conscience itself, is a social fact. Whatever the origin of conscience, it is to-day the application of the group's standard to the action of the members of the group. "By the law is the knowledge of sin" is nothing but a statement of the fact that the sanction of the law of the state, or of the precept of the church, or of public opinion, is the power that wakens conscience. The child's conscience is just as truly a family product as his power to use language. Whole races seem to us to lack conscience, either because we cannot understand the content of right and wrong which is enforced, or oftentimes because the common life and culture of the group are so little developed that the feeble germ of a future conscience cannot as yet be detected.<sup>1</sup> The religious man hears God's voice in the commands of duty as he hears it in the revelation of truth, but both the command or revelation and the power to apprehend them come through his share in social life.

**Common Ends of Action and Ideals.—**The earliest ethical reflection has generally taken the form of a search for the highest good; and this is natural, for a man's first conscious effort to regulate his own life is the effort to attain some definite end. The immediate concrete end of action is evidently a social fact. No boy cultivates skill in playing marbles when his companions disdain it; a man seeks to run his loom well, or tell a story well, because these accomplishments are prized by the group of which he is a member. And the great ends which are gradually being worked out in society, often unconsciously so far as

<sup>1</sup> Many efforts of a rather absurd character have been made to deduce conscience from other factors of the individual's psychical life; the real reason for their failure is to be found in the fact that conscience is not developed by the interaction of a group of feelings and ideas, be they ever so altruistic, but rather by the interaction of gradually developing personalities.

the members of society are concerned, can never be the property of a single person. It is true that they find their highest realization in the persons of individuals, but only because such individuals are the genuine product of society. Spencer distinguishes military societies and industrial societies; others have added to this list an ethical type, now supposed to be in process of realization. In each case the type is a social product. To take the church as a particular example, each denomination to-day has a special type of religious experience which it seeks to cultivate among its members, and when one's view is extended beyond the present century, these types vary even more widely. Members of a church have a similar religious life, because that type is the social product and the social possession of their church.

**3. Types of Feeling mark the Social Group.**—Thirdly, the group may truly be said to have its own emotional life. Nothing develops the sense of individuality so distinctly as the feeling of joy or sorrow, of satisfaction, or of eager desire, which man calls most peculiarly his own; yet even these are not his individual possession. He develops the capacity for them by his intercourse with his fellows; the immediate occasion of any particular feeling is quite generally found in some particular relation to the human world of which he is a part; and, whatever its occasion, each new feeling has a tendency to communicate itself to all that come in contact with it. The communication of feeling, of course, takes place most readily when a body of persons is subject to the same exciting cause of feeling. Empty benches do not inspire an orator, and what is even more true, they do not inspire the scattered members of the audience. The revivalist preacher gets his audience to sing together, and the wave of common feeling will respond to appeals of another character. Enthusiasm is a social product, just as coals burn together. Common types of feeling have come to mark each age and each nation. There was an age of chivalry, an age called the New Birth,

the Renaissance, and there has been many an age marked by doubt and despair. A nation, too, may be described by its tone of feeling—the French people are called witty, gay, and careless, having much spirit, and little power of perseverance ; North Germany is said to be marked by a melancholy dreaminess, and by great energy and devotion when the people are once roused. Such characterizations are likely to contain quite as much falsehood as truth ; yet the fact is recognized by every traveller, that the types of feeling in the peoples among whom he goes are different enough from what he has been accustomed to at home.

**4. Self-consciousness of the Social Group.**—The final characteristic of the individual's mental life is his self-consciousness ; he learns to feel the unity of himself, as over against the unity of his world. In some cases, the social group is wholly without this recognition of a common mental life. Those who speak the same language are hardly likely to perceive that they share a mental life in common ; the consciousness of it only arises when a man meets those with whom he can converse freely, after passing some time in lands where only a strange language is heard. The different industrial classes and social classes in a city only recognize the common life of the class, when this life is emphasized by contrast with some other type, or by conflict of class with class. The recognition of a common life and of common ends in life is the true basis of the unity of a social group ; until this takes place, the unity is a possibility to be realized, the common life is only incipient. Sometimes physical separateness suggests the fact that a group has a unity of its own. Children feel that the family has a common life, just because the life of the home is separated at so many points from all the rest of the world. Or pleasure in a certain set of companions may emphasize the unity of that particular set ; as when a school develops a common life that is not limited to the intellectual side. Frequently pressure from outside throws men together, and makes them feel that their only interests are such as

are common to the whole class. The efforts of laborers to secure what they regard as their rights, unite them by firm bonds into a "union," and favor the belief that the individual has no interest apart from the class. Thus a somewhat exaggerated self-consciousness is developed under pressure. Hostile relations to other groups of men have been no small factor in the production even of distinct "races."<sup>1</sup> Men may be born alike, but ordinarily they must be taught this likeness before they recognize it; and pressure from outside is necessary to produce a compact union. Finally, it may be said that every society formed with the purpose of encouraging and developing some form of common life, presupposes recognition of a common life already existing. A church is formed by persons who have recognized that they already share a common religious life; the friends of "law and order" form a society because such a union aids them to act together, but doubtless they have long been conscious that they shared with each other common thoughts, feelings, and purposes.

The recognition that the group is by nature a unity is more distinct, however, when some element of purpose underlies the union. When a society is definitely formed to carry out a definite purpose, its members are separated from the rest of the world, their common life is emphasized as the basis of this separateness, and this is done by their choice. Under these circumstances, the consciousness of a common life, a common self, sometimes becomes quite as vivid as the individual's self-consciousness. The industrial corporation develops the common life and the consciousness of it along narrow lines; the family, at the opposite extreme, develops a common life along the whole range of human interests, and the self-consciousness of such a group may easily eclipse the individual self-consciousness of hus-

<sup>1</sup> The effect of war in uniting the different factors of an incipient nation has been often remarked in the case of the United States in the Revolution of 1776, and in the case of Germany during the Franco-Prussian War.

band or wife. Every great crusade against ignorance, corruption, or evil of any form, every earnest effort to realize high ideals in the world, demands union among those who would carry it forward; the voluntary group thus formed cannot fail to have a vivid consciousness of its common life.

**The Unity of the Social Mind and of the Individual Mind.**—The question as to the unity of the social mind becomes clearer when the nature of the unity of the individual mind is considered. The mind of the individual is, indeed, the function of a particular physical structure; but its true unity is rather psychical than physical. The mind is a unity because all thoughts, feelings, acts, are referred to a common subject in self-consciousness; the consciousness of this subject is gradually developed, thoughts and feelings are gradually organized, voluntary acts are brought more under the influence of a definite ideal, until at length the unity of a person stands out clearly in all the complexity of mental life. It may, of course, be possible to find a sort of physical unity of the social group; the question is unimportant, for the real unity of mind is not a physical but a psychical matter. Such a psychical unity is developed in the social group, though the development is gradual, and takes place in different degrees. Wherever a group is subject to influences developing its common life, the common thoughts and beliefs and feelings are gradually organized into a complex unity, more definite ideas control the active life of the group, and the consciousness of the essential unity of the whole at length pervades the life of each member. A society is no mere conglomerate of men that are alike, no mere association which men may share or leave at will; the solidarity of the social group which has been indefinitely and imperfectly described by the word "organic," finds its true explanation in the psychical life of the group.

**"Social Mind" a Concrete Phrase.**—"Social mind" and "Zeitgeist" are phrases easy to use, particularly easy

to use without any definite meaning. In the first part of this chapter I have simply attempted to give a definite concrete meaning to the former phrase, so that it could be profitably used to describe the psychological character of the social group. The different groups which go to make up society in a given nation or a given race, are determined in various ways ; physical contiguity or desire for companionship may have been the original deciding factor ; but the real unity of each group consists in the common mental life which is gradually acquired. This is the true statement of the essential nature of a society. A group of men becomes really one as a common mental life is developed among them ; they learn to call themselves one when at length they recognize this common mental life.

At this point the question naturally arises whether it is advantageous for society that this unity express itself in the outward form of some institution. The cry is often raised that our age spends its energy in conventions, associations, and the like, while the ends which really demand our effort are obscured by the machinery for accomplishing them. Undoubtedly the machine is often the form which takes the place of real life ; too easily it becomes an end in itself, and so can no longer justify its own existence. Still those who raise this cry may forget that the forms of social activity are really becoming more widely differentiated in this age than in the ages that have preceded it, and institutions are necessary to the new forms of common life. In spite of all the dangers that attend institutions, where a genuine common life demands an outward organization as the means for realizing its ends, the utility of such an organization can hardly be doubted.

**Relation of the Social Mind and the Individual Mind.—**The only recognition of the dependence of the individual mind on the social medium which appears in current thought, is indicated by the word "environment." The doctrine of environment simply recognizes the fact of this dependence on the social medium, without going on to study its meaning either for the individual or for society.

Animal life involves a series of changes in correspondence with the changing circumstances in which it is placed; these circumstances are called its environment, and accordingly it is correct to say that its life is in large measure determined by its environment. The metaphor from biology has only a partial truth, when it is applied to minds in their relation to the social medium. Its truth consists in the fact of constant vital dependence which marks this relation; its error is that it always seems to separate the individual from that of which he really forms a part. In biology this error is unimportant, for social relations are the least essential part of the influences which effect the physical life of an organism. When, however, the figure is transferred to the psychological sphere, the error is unduly exaggerated; the environment which is by far the most potent to mould the developing mind, is just that common psychological life of which the individual is a constituent factor.<sup>1</sup> Indeed, the psychological environment is nothing but a series of such minds, and the whole question to be solved is the principle of their relation.

The common life of a social group is essentially the union of the ideas, the wills, and the feelings of men who have been thrown together in the attainment of common ends. Such a union arises as the result of a psychological change of individuals composing the group, so that perhaps it is fair to say that it consists of the common features in the mental life of these individuals. Psychological life is no secretion of a single man's brain; psychological life means that different minds are working together in the same activity, and this psychological life is a common life of the group. The factors of the social group are indeed distinct, and their real independence increases as it has a larger field with the increasing psychological life; the mental life of the group exists in and through its members. In a word, the social mind has no existence outside the minds of the members of the group, and these individuals have no real mental life, except as

<sup>1</sup> *Zeitschrift für Völkerpsychologie*, III. 53.

they enter into the common life of which they form a part. In carrying out this doctrine, it is of course important to bear in mind that the group in which the individual finds and develops his psychical life, is ordinarily not simple, but very complex, and that this relation is in large measure independent of time.

**The Social Mind the Product of Association.**—In conclusion, I desire to remind the reader that the social mind with all its powers is the product of association. As individuals enter into the psychical relation described by this word, psychical life is developed at the same time for the members of the group and for the group as a whole. Accordingly, everything that favors a more active interrelation of nascent minds, favors equally the development of the social mind which is the essence of society. When groups or individuals, with different training, are brought into contact with each other, the conditions of progress are fulfilled, for progress is the broadening and deepening of common life. In the complex relations of modern society there exists the best basis for mental achievement which the world has as yet produced, for this complex life means the constant and energetic inter-activity of factors by nature very different.

#### THE SCIENCE OF SOCIETY, AND THE SCIENCES OF MAN.

The fundamental importance of the science of society is most clearly seen from the standpoint of the present chapter. In general terms, the close relation of sociology, psychology, and history, could be outlined in the introduction. At this point, the character of the interrelation of the sciences is made more definite, and the contribution of sociology to their progress can be more distinctly outlined.

**Sociology and History, especially the History of Civilization.**—The study of the social mind, the mind of the social group, has already made it evident that a true science of history will deal with groups rather than with individuals. It is true enough that the great man, the leader in historical changes, is the heightened example of the type of a class; motives and influ-

ences may be more easily detected by studying such an example, and forces at work in history may thus be presented to the student with greater vividness. The fact remains that the real source of political changes is to be found in the life of the nation, and of the classes composing the nation ; and the thorough student of history must be equipped with what sociology has to teach as to the nature of the social group. The more limited history of civilization deals solely with the social group ; and, in fact, its whole aim is to give a record of the growing content of the social mind, together with the causes of the growth. It starts with the recognition of the social mind, and its success is conditioned by a knowledge of the nature of this mind. It studies the developing civilization of a particular group, and here, too, its success depends on a knowledge of the laws that govern the development of social groups in all their various aspects.

**Sociology and the Genesis of Psychical Processes.**—Volumes have been written to show how the complex processes of the developed mind have been evolved out of some simple process which can be explained in terms of simple nervous action in the brain. Sometimes the child's development has been made the basis of this study ; more commonly it has proceeded on hypothetical grounds ; the end remains the same, *viz.*, to explain the evolution of complex psychical processes out of simple elements. Much of this labor would have been spared, or, at any rate, it would have been turned into a profitable channel, if the student had recognized that this evolution is not a feature of the individual mind, but of the social mind. The individual mind receives these capacities as a gift from its social environment ; more exactly, it develops these capacities by sharing more and more completely in the social mind of which it is destined to form a part. The manner in which it develops these capacities and processes may or may not imitate the manner in which they were originally acquired ; in any case, the true place to solve the problems of psychogenesis is in the history of the social mind, and not in the history of the individual mind. Even the theory of natural selection, with all the new light it has shed on this matter, does not permit the student to lose sight of the social group. Races, or groups of men, rather than individual men, are the units to the survival of which progress in due ; and in this process the social mind which enables the group best to meet the conditions of life, is favored and developed.

Attention and Comparison, Generalization, etc.—A glance at races in different stages of development is sufficient to show that the interest in particular objects, and the power to concentrate attention upon particular objects, vary greatly. This interest and power the individual shares with the group, and the factors at work in its development can only be understood by a study of the group-life. In a word, the power of abstraction and attention is the result of association. As men and groups of men with different training and education are brought into living relation with each other, the same objects come to be regarded from different sides, until their individuality stands out with greater distinctness. Each member of the new group brings an interest in a slightly different set of things, so that the range of interest for the group is enlarged. Finally, natural selection tends to perpetuate each genuine acquirement in breadth of interest, and particularly in the power to apprehend individual things with greater distinctness and definiteness. The evolution of the power of attention is to be studied in the social mind, for it originates here.—Similarly the power of generalization and classification is a social product, not to be explained by any study of the individual mind. Different stages of civilization show this power in very different degrees. The savage can count up to five, or, perhaps, ten. The Australians, it is said, have a rich vocabulary of words for birds and fishes, but no general word for bird or fish. Some tribes of North American Indians had different words for “my father” and “your father,” not having reached so abstract a word as “father.” This habit of mind, like the habit of attention to particular objects, the individual gets from society by taking the place open to him in the mental life of the group. It is in the social mind that its genesis is to be studied, for it is a product of association. The very desire to communicate with one’s fellows, and the evolution of language to which this desire leads, have a great influence in training the mind to neglect unimportant differences, and to seize on the deeper likeness. By the thought-intercourse of different social factors, a scientific idea of the world is gradually formed and filled out; in this process the individual’s powers are ever being quickened and developed. The contact and amalgamation of different groups, whatever quickens intercourse, will thus have its effect on the development of the psychical powers. Here, again, natural selection tends to perpetuate real acquirements, for a higher

and truer idea of the world enables a tribe better to cope with the physical and psychical world in which it has to win a place for itself. Memory, too ; the power of judgment by which worth is assigned to the parts of one's world ; the power of choice ; these, and all men's psychical powers are developed in society, and so their genesis must be studied in society.

**Sociology and Logic and Ethics.**—Finally, the study of fundamental principles and the study of norms and ideals have much to gain from the study of the social mind. Experience presupposes some *a priori* conceptions or principles, and without these it is entirely impossible to understand it. While it is true that these principles which underlie experience, are not developed in experience, it is no less true that the knowledge of them has been acquired gradually ; this process is to be studied in the history of the social mind. The existence of such a thing as universally valid experience, and of universal principles which underlie this experience, is perhaps the clearest evidence of the function of the social mind. A fact is true when it commends itself not merely to one, but to every mind which has the same evidence before it, and the same power of judging. Truth means that the social mind, at a certain stage of development, accepts some ideas and beliefs as absolutely valid ; the principles underlying experience work in and through the social mind, and truth is the stamp of agreement with these principles which is set on facts by the social mind.

It is equally true that norms and ideals exist in the social mind, and work through it. These do not yet have universal validity, but, we say, they *ought* to be universally true. Duty is imposed by the social mind ; an action is right, and is required, when the social mind sets on it the stamp of agreement with the norms and ideals which characterize this phase of society. To say that a truth comes from the social mind, is not to condemn it but to give the immediate explanation of it.

Further example is unnecessary to show that the sciences dealing with man are concerned fundamentally with the social mind. The partial neglect of this fact, in certain periods, has led to the false statement of problems, and false methods of investigation.

## PART II

### *FUNCTIONS AND "ORGANS" OF SOCIETY*

#### CHAPTER VI

##### CAUSES OF SOCIAL ACTIVITY

**Social Groups depend on Social Activities—Social Force *versus* Stimuli to Social Activity—Needs of the Individual stimulate Social Activities—Classification of Social Stimuli.**

- I. *Essential Stimuli.*** 1. Need of Food as a Stimulus to Social Activity—Need of Protection against Cold and Wet—Fire as a Socializer—Need of Food and Clothing as an Economic Stimuli—Fundamental Character of these Needs—Their wide Range. 2. Need of Protection against Fellow-men as a Social Stimulus—This Need varies with the Position of the Individual or Tribe—The early State, as meeting this Need—Need of Protection in Developed Civilization—Increasing Need of Protection within the State. 3. Emotions as Causes of Social Activity: (a) Self-regarding Emotions in Primitive Society, in Developed Society; (b) General Sympathetic Emotions; (c) Sympathetic Emotions directed toward particular Individuals—Broad reach of Emotions as the basis of Family Life.
- II. *Non-essential or Derived Social Stimuli.*** 1. The Love of the Beautiful leads to Social Activity. 2. Intellectual Needs lead to Social Activity; so do Moral and Religious needs—Conclusion.

**Social Groups Depend on Social Activities.**—Social groups, as has already been shown, are properly functional in character, *i.e.*, the groups are distinct from each other, and have an existence of their own, because the members of them have formed the habit of acting together. Accordingly, it is necessary to study the different modes of social activity, and the causes of this activity, before it is pos-

sible to understand the peculiar character of the social groups thus formed. Moreover, the classification of social activities and social groups depends on a correct conception of the different stimuli which produce these activities. Those writers who have recognized this dynamic character of society have generally discussed the topics of the present chapter under the title "social forces"; I prefer to speak of "social stimuli," and in choosing a different term I may properly point out the misconception which I believe is involved in the use of the former one.

**Social force** properly denotes the energy of a social group. This force is essentially the same in kind, and is to be determined in the same way, for each of the different kinds of social groups. A political group is strong to contend with other groups, political or economic or moral, when the elements which compose it are strong, and when these different elements can work harmoniously together. The energy of an economic corporation, or of a school of thought in the intellectual world, is to be determined in the same manner. In other words, the force or energy of a social group is something wholly independent of the kind of group; and while the study of the force of social bodies is very important, it sheds no light on the real nature of the different kinds of social groups, or on the structure of the society which they form. Social forces do not exist, but only social force, and the study of this force belongs to the study of the general composition of a social group. Finally, social force is to be predicated of the group as a whole; social stimuli act upon individuals, and may be called social only because they lead to social activity.

#### **Needs of the Individual stimulate the Social Activities.**

—All social activity may be traced back to motives felt by the individual; and the nature of the activity, as well as its intensity, is determined by the stimulus from which it springs. While social force is purely quantitative, the stimuli to social activity are first of all qualitative, and are

distinguished by their different qualities. Inasmuch as all social activity finds its starting-point and stimulus in the individual, the present chapter will be a study of man's desires and emotions as social stimuli. The life of society is so bound up with the life of the units which compose it, that a study of the individual's motives to action leads directly to the different forms of activity which characterize society.

**Classification of Social Stimuli.**—In general, the stimuli to social activity may be classified as original and derived. The first class includes those needs and emotions which are practically universal, and which do not depend on a developed state of society for their existence. The derived stimuli include such needs and emotions as imply a somewhat advanced state of society, and only arise in the course of social development. The first class will include (*a*) the need of food and clothing, to which the sensations of hunger and of cold give rise, (*b*) the need of protection against one's fellow-men, which is accompanied by the feeling of fear; and (*c*) the need of companionship, and the emotions associated with the social relations of men. The activity due to these stimuli will vary exceedingly in the course of social development, but these needs of men as individuals remain the basis of all life in society. The second class may be called derived stimuli, for social life itself develops new desires, and these in turn lead to higher forms of social activity. Under this head may be included æsthetic desires, intellectual needs, the need of moral approval, and, finally, the need of religious communion.

## I. ESSENTIAL STIMULI

**1. Need of Food and of Warmth.**—The need of food is the original spur to social activity, and the last to lose its force. It was undoubtedly true of primitive men, as it is true of wolves and vultures, that they joined in the pursuit of food whenever the great results thus obtained compen-

sated for the difficulty of getting along together. Roots may be grubbed up and fruits gathered in their season by scattered individuals, but there are few animals which man could capture unarmed and alone. Tools can only be evolved and transmitted in society, and every permanent gain in the battle for sustenance must have been due to combined activity. The domestication of animals and the cultivation of grains were possible only when man had learned to depend on his neighbor for constant aid as well as for protection. The need of food in regular supply and in sufficient variety has always led to associated activity, for it could only be satisfied by such associated activity. The same need has always continued to be a factor in social progress, because the more highly developed society is, the better it is able to meet the economic needs of its members.

*Need of Protection against Cold and Wet.*—The need of protection against cold and wet is hardly less important than the need of food, in its effect on social activity and on social progress. The common form of clothing among the more primitive tribes is the skin of an animal, and in order to obtain it, several individuals have joined in the hunt. The rude cloth, which in many places succeeded fur as a garment, was both a social invention and a social product. Again, men come to need a dwelling, though caves and trees may serve the purpose for a while. The form of this dwelling is gradually perfected in society, and transmitted in social tradition. Generally the dwelling is put up by the group, requiring associated activity to produce it. Moreover the house is not inhabited by one man alone, but by a family or a group of families. Thus the need of protection against cold and wet tends to bring a group into closer and more enduring relations, until these units have sufficient solidarity to become factors in some permanent larger group. The dwelling has also an important influence on the character of the social group, in that it is the beginning of privacy. Neither virtue nor

the individuality which virtue implies is possible when men live together without means of seclusion. This means of seclusion the dwelling furnishes, so that it may fittingly be called the beginning of civilization.

*Fire as a Socializer.*—Man is the only animal so far as known which uses fire. Fire is important in satisfying both man's need of suitable food and his need of protection against cold. In this latter capacity it serves the same purpose as the dwelling-house in bringing men together, and teaching them to enjoy each other's society. Its warmth is genial, in that it renders those who gather about it genial toward each other and fond of each other's society. For every age the hearth is the symbol of the home. Somewhat difficult to obtain and to preserve, fire is distinctly a social possession, and those who would enjoy it must remain members of society.

*Needs of Food and Clothing as an Economic Stimulus.*—With the beginning of a proper economic activity, the need of food and of protection against cold and wet became even more potent factors in producing an active social life. This economic activity generally began with the introduction of slavery. Warriors preserved their captives when they produced more food than they carried on their bones. The economic needs which formerly had been satisfied by labor or by plunder, now led to the introduction of that great institution which has been the starting-point of human culture.<sup>1</sup> When once slavery became general, masters had the possibility of leisure for other forms of activity, and the complex fabric of truly human society began to arise. The same needs which led to the introduction of slavery contributed to sustain it. The master provided his slaves with food and clothing, they gathered about his hearth as members of his household, he possessed the fire where they found protection against the cold. Thus the patriarchal household was secure and stable because in its life master and slave alike found these fundamental needs satisfied,

<sup>1</sup> Article "Slavery," in *Encyclopædia Britannica*.

*These Needs the Basis of Society.*—In the whole course of industrial progress these original needs of man have remained the strongest and most universally potent, and to-day they are still fundamental. In regions naturally barren, or where social conditions have made it difficult to secure sustenance, the higher forms of society have never prospered. Only when men are fed and warmed have they any leisure or interest for higher social activities. And those who deal with the degenerate classes learn to appreciate the force of these needs as spurs to progress. The most hopeless cases are those which practically have no standard of living and are ready to accept whatever fortune brings. The first work of the man who would help such individuals is to make them feel new needs, to make them dissatisfied with having nothing, that, in the effort for something, the habit of effort may be formed.

Upon these fundamental stimuli depends the whole industrial fabric. They are as potent to rouse men to activity when each individual performs some slight part in preparing goods for the world-market, as when a savage provides the food of a savage for himself and his family. Stupendous economic institutions have been called into being, the whole world has become one vast society for the production and interchange of goods, and the stimuli which have given rise to the whole and still keep it in motion are these simple needs of man's physical nature. The economic structure is as universal as these needs—practically no one can separate himself from it and live. And it will appear later that this structure is the basis of the higher forms of social life. Political life and the state have arisen in the effort to defend property as well as life. The economic struggle for existence has fairly become an intellectual struggle, and mind is developed in the effort to maintain a position in the economic world. Moral rules and æsthetic ideals are not independent of economic life, but rather its offspring.

*Wide Range of Activity Stimulated by these Needs.*— Thus with the development of society the power of these needs becomes greater, the activity occasioned by them grows more varied, and the range of this activity is increased. The savage eats when he has game, and takes no thought of another meal in the future ; hunger comes over him, and once more he feels an impulse stimulating him to activity. The civilized man constantly feels the power of these stimuli, and all his life is governed with reference to the satisfaction of these needs as they recur. And in complex society these needs are no longer satisfied by what will merely sustain life and protect the body from extremes of temperature. Society has created a higher “standard of living,” as it is called, and this determines the food and the clothing that are needed. The number of courses absolutely necessary for dinner depends on rank in society ; fashion decides what clothing is required ; the dwelling-house is not for protection but for “comfort.” Under the altered conditions the activity stimulated by these needs changes its entire character. In order to supply the new needs more activity is necessary, and activity in a far greater and more complex social organization. They can only be satisfied in a stable organization, so that as they become more complex men hesitate more and more before lending countenance to schemes subversive of the existing social order. Finally, the ideals associated with the “standard of living” have an important influence in shaping other forms of social activity than the economic.

**2. Need of Protection against Fellow-men.**—The second original social stimulus is the need of protection against one’s fellow-beings. In all stages of society, but particularly in the lower, hostile influences surround man. An animal must have some means of defence, either strength to fight or speed to run away. Man lives in many quarters of the globe where neither his speed nor his strength of arm can protect him from his foes. He must rely on some higher means of defence or perish ; and it is only as men fight in

groups, and with the reason that is developed and transmitted by mutual intercourse, that they can hope to subdue to themselves the beasts of the field. But the worst foe of man is man himself. Under peculiar circumstances, some savage races have lived in such small and fluid groups that, on the whole, they have succeeded in avoiding each other. Ordinarily this is impossible, and man has found protection from his fellows by uniting with his fellows. We find the same process as in the formation of physical units; the component parts form temporary and ever-changing alliances in their ceaseless competitions with each other. For man protection means defensive strength; and the need of this leads to union, to the beginnings of a common life that may become political. Such groups, with strength to defend the individual, are a necessity, and expulsion from the tribe often amounts to a sentence of death. This need of protection manifests itself in the emotional life as fear, and the fear of hostility has come to include as a part of its very being the instinct for union, so that nothing is so potent as fear to kindle delight in the presence of others. Many savage tribes only unite in the presence of a common danger, and fear is always a potent force in developing functional bonds of union.

*This Need Varies with the Position of the Individual or Tribe.*—The degree of strength (defensive or offensive) which a tribe is likely to attain, is in large measure determined by the demands made on it. The phrase, "balance of power," has a certain physical significance in the politics of savage tribes; to find a place among strong tribes, a tribe must itself be strong, else it cannot preserve its independence. Nor is a tribe likely to develop great strength among weak neighbors; where pressure from outside is lacking, an empire may break up through the very repulsion of its parts, so soon as the military power which constructed it grows weak. Thus the form in which this need of protection is met, is determined by natural selection. Strength is developed according to the

need, and the tribe that fails to develop it goes to the wall.

*The Early State Meets this Need.*—The rude political body thus formed as a protection for life, is a most important social unit. It is the germ of the state, and under the protection of its growing power we may expect to find the beginnings of true economic life, and the more rapid advancement of social and psychical life. In this group the individual finds, in the first place, protection from outside, a little world in which ordinarily he can live at peace; and such peace is the first condition of progress. Secondly, he is obliged to cultivate a *modus vivendi* with his fellows who are members of the same little world. Here we find the beginnings of property; men agree to respect certain possessions of their neighbors. Here also are to be found the beginnings of law and rights, and perhaps the beginnings of ethics.

*Need of Protection in Developed Civilization.*—With the development of society, the function of protection from outside attack becomes even more important than at first, for the higher stages of culture depend absolutely upon such a shelter as is afforded by the state. A higher civilization has so much more at stake, as it rises from lower stages, that those who prize it will sacrifice correspondingly more to shelter it. Undoubtedly the cost of government is excessively great to-day, but comparatively few murmurs are heard against this. The debt of civilization to the state takes form in the sentiment of patriotism, which is gradually developed as the strongest support of the state, a sentiment the strength of which we only know when some danger impends.

At the same time the state continues to protect a man from his neighbors, for it is this need of protection which keeps in motion the whole apparatus of law, both legislative and judiciary. Here the stimulus has increased both in range and in intensity. It is stronger to-day, for there is more to be protected. In primitive society it is a day's work

only that may be stolen ; while now the accumulations of generations are to be protected by law. Its range is largely increased. The chief of a primitive tribe only gives advice which may aid in the settlement of disputes, and a man has hardly any rights which his neighbor is bound to respect. The individual's rights, with the liberties and the duties which they imply, are even to-day increasing rapidly in the highest civilizations we know ; and there is a corresponding increase in what society may undertake in securing to the individual his rights. Apart from all question as to the proper fields of state activity, the functions of the police and of the courts in the mere exercise of protection are many-fold greater than they were two or three centuries ago in civilized Europe.<sup>1</sup> In a word, we may say that the whole range of political activity goes back for its fundamental stimulus to the simple need of protection.

**3. Emotions as Causes of Social Activity.**—This is not the place to trace in detail the emotions which at all times have determined man's attitude toward his fellows. But while these emotions have not resulted in definite social institutions, their influence has been felt as an aid or a hindrance in all forms of activity, and in the development of all kinds of institutions. From the stand-point of sociological investigation, they may naturally be divided into two classes: the self-regarding, such as envy and anger ; and those which centre on others—sympathy, friendship, and love.

(a) *Self-regarding Emotions.*—The conditions of primitive society favored the development of self-regarding emotions, and did not supply the checks which in later times have restrained their operation. Egoism is a universal attribute among savage races, and in many countries the strained effort to procure sustenance does not permit man to forget himself. Anger, not being subject to the restraints of later times, seems to be only destructive of justice ; but anger becomes revenge, and, historically, re-

<sup>1</sup> Leroy-Beaulieu, *The Modern State*, Bk. III. ch. ii.

venge is the strong tap-root of what is to become justice. Envy and rivalry generally seem to be destructive of the slow-growing habits of civilization. Envy of another's prosperity is a motive to slay him, until the prosperous man comes to fear even the envy of the gods. Rivalry between two tribes has often prolonged their feuds until both were crippled. And yet the activity produced by these stimuli has frequently been the very thing necessary for progress; for unless this or some other equally potent force had roused men from the inertia of the savage, and had broken the habit which had become a barrier to progress, a tribe would have fallen a victim to the very progress it had made in the past.

As civilization has advanced, the destructive effects of anger and revenge have been in a measure controlled. Justice is supposed to have passed beyond the stage of vigilance committees and lynching. None the less, the arm of justice still depends on a righteous anger to stimulate its action, and it is only the coward who does not resent an insult. To-day rivalry and ambition are forces mighty to determine the lives of men and the course of society. Business life and political life are ruled by the desire for personal advancement; scholars and artists pursue knowledge and art for their own personal ends; and too often the highest forms of activity are marred by most petty jealousies. At the same time the necessary spur to activity is found in the desire to succeed, and to succeed better than one's neighbors. In this way the love of acquisition, vanity and the love of display, the love of praise, the whole list of self-regarding emotions, are stimuli to social activity; and the current of social life is directed by the feelings of individuals.

(b) *General Sympathetic Emotions.*—It is unnecessary to dwell on the importance of sympathy and the love of companionship as stimuli to social activity. In their lowest form they are manifested as sympathetic fear and sympathetic pleasure; a group of men share the fear of

one, or the glad state of one is infectious and determines the mood of all. The faculty of imitation is related to this form of sympathy; we all have a tendency to act out what we think and what we see others doing, so that modes of action as well as feelings tend to spread through the group.<sup>1</sup> Important as this instinctive sympathy is in uniting the primitive group and rendering it homogeneous, it is very far from the distinctly human love of companionship. The higher forms of friendship depend on personality, and personality is developed in society. The lower love of companionship manifests itself to-day in the club, and in many of the forms of activity known as "polite society." Friendship and love are higher developments of this emotion, and unite smaller groups in a closer, more permanent, union.

(c) *Sympathetic Emotions directed toward particular Individuals.*—Besides these general sympathetic emotions there are others, more or less closely associated with the sexual instinct, which have as their object particular individuals. This class of emotions is by far the most direct stimulus to social activity, for it results in the family. The extent of the social group thus formed varies widely, and its character changes with its extent. Among animals, as well as among men, it includes the offspring, for the young must be protected and fed. Finally the family clan may include all who believe they are descended from common parentage. The clan based on blood-relationship has quite generally preceded the tribe as the conserver of culture and the administrator of justice, so that the tie of blood has opened the way for various and most important social activities.

The emotions connected with the sexual instinct are but the starting-point for the unity of the family, for the individuals who are thus brought together enter into new and broader relations. The family proper constitutes a unit

<sup>1</sup> The early stages of the development of sympathetic emotions may easily be studied in young children.

in which the different members perform different functions for the good of the whole. The "parental instinct," fostered by dependent children, increases indefinitely the power of the stimuli to economic and political activity already considered. The new relations of the family are the most powerful stimulus impelling man to look beyond the present and to provide for emergencies in the future; and they are also a stimulus impelling him to look above the present. The family develops the habit of providence and the habit of progress. In every stage of social development family interests are the strongest stimulus to activity for the good of others. Brother is ready to die for brother, or the mother for her child, long before duties to man as man are recognized. Nor is there ever any stronger motive to the sacrifice of self for another than the love that is developed in the family. As civilization advances, family life gains in power as a stimulus to social activities. Everything pure and noble centres in the home, and the relations of the family are the truest stimulus to the higher forms of activity, viz., intellectual, moral, and religious activities.

## II. NON-ESSENTIAL OR DERIVED SOCIAL STIMULI

The non-essential or derived stimuli to social activity differ from those already discussed in that they are not so universal, and that their very existence as well as their power seems to be due in large measure to civilization itself. They exist only for men and for societies which have developed the faculty of reason. In a highly developed state of society they may far exceed the lower stimuli in power, and even become the basis of society.

**1. The Love of the Beautiful leads to Social Activity.**—The æsthetic desires of man, his love of the beautiful which is satisfied only by the perception of beautiful things, are important stimuli to social activity. The sense of the beautiful is developed in society, and re-

mains a social possession. The desire to express ideals in forms of sense, and to make beautiful objects, leads to much social activity. The creation of ideals requires a knowledge of the deepest problems of life, and obliges the artist to be in a large sense a social man. He must be in touch with life, or his work will not be living. While the stimulus comes from the apprehension of truth, the form in which the ideal is seen and in which it may be expressed, is no private possession; and the effort to create what is beautiful leads to peculiar types of social activity and of social classes.

The power to appreciate beautiful objects also stimulates social activity. Beautiful paintings and the products of plastic art cannot be fully known except by those who go over the civilized world to see them face to face. Yet to-day the dissemination of accurate reproductions has become a very important industry in itself. The drama is written for an audience to share. Music shows its real power when it makes a thousand hearers as one man, and takes full possession of this soul. A share in the same ideals, whatever be the form of their expression, produces new intimacies among individuals, and new social groups are directly formed as the result.

The reaction of this love of the beautiful on the stimuli already considered cannot be overlooked. These desires presuppose the satisfaction of the lower needs before they have a real opportunity to assert their power. Accordingly, the cultivation of these higher needs is the most vital stimulus to satisfy lower needs, and, as it were, to set them aside. Two results have been noted from the attempt to introduce art education among the lower classes in England.<sup>1</sup> In the first place, even moderate success has resulted in a most powerful stimulus to shake off habits of poverty and inertia. Men who could make time for the satisfaction of higher needs, received just the necessary encouragement to do this. And, secondly, the recognition

<sup>1</sup> Cf. Bosanquet, *Essays and Addresses*, London, 1891, pp. 25 *sqq.*

of the ideal in forms of sense has in some cases, even as Plato suggests, opened men's eyes for the higher truth in some of its other forms. Any genuine love of the beautiful modifies the whole of life.

### 2. Intellectual Needs lead to Social Activity.—

Men's intellectual needs are no small factor in determining the character and intensity of social life. The strength of these needs is shown by the institutions for the propagation of truth, by institutions for investigation, and by the intellectual intercourse to which they give rise. The first class of institutions include the school, the platform, and the press. They exist simply to satisfy man's need of truth, and of a mind developed to know the truth. We believe that the youth should start in life with a certain mental equipment; and the needs thus developed are a constant stimulus to intellectual intercourse, else they would be hardly worth developing. The second class of institutions express this need in a yet stronger form. The scholar studies for himself, because the spirit within him can only be satisfied by a constantly enlarging view of truth. And he studies for society; the intellectual world awaits the communication of his discoveries. Every advance in literature or in science widens man's interests, and strengthens his need of truth. Society, in both the lower and higher forms of its activity, is profoundly affected by this stimulus.

### 3. Moral and Religious Needs Lead to Social Activity.

—It is unnecessary to treat in detail the need of moral approval and the need of moral association, or the need of religious communion, as stimuli to social activity. In some finely constituted minds the sense of right and duty seems to be the only spring of activity. Apparently they can dispense with the stimulus due to any lower need, and even with the support to be drawn from communion with a higher power. The friendship based on love for the same moral ideals is one of the highest, purest, forms of friendship. The power of moral ideals to stimu-

late and control social life, is shown almost as clearly in the lower as in the higher stages of society. So man's need of religious communion with God, and religious association with his fellows, has always brought men together in common worship of God. The power of this motive is evident only when all the influence of culture and all the authority of the state have been exerted to prevent its normal expression in religious activity. But when it is allowed to develop in normal religious life, the institutions to which it gives rise and their influence on every side of social life, are a constant evidence of its social importance. Like the moral ideal, but with a more personal appeal, the religious need claims the right to take possession of all the others and stamp its impress on them. It so governs and controls the whole of life, that the history of religion may almost claim to be the history of society.

**Conclusion.**—To these various stimuli affecting the individuals who compose society, are due the life and activity of society. Two things are clear as the result of this discussion. First, the life of society centres in individuals; and these two factors, society and the individual, can only be understood by studying them as interacting factors. Secondly, the different forms of social activity, and the different social aggregates arising in each form, should be classified according to the simple stimuli to which each form of activity is due.

## CHAPTER VII

### THE MODES OF SOCIAL ACTIVITY

**Variety of Social Phenomena—Genetic Principle of Classification—Its Meaning, its Value, and its Application.**

- I. *Economic Mode of Social Activity.* Rise of Economic Activity—The three phases: (a) Circulation, (b) Consumption, (c) Production—Rise of Groups and Institutions in Economic Activity—Relation of Economic Activity to Other Forms of Social Life.
- II. *“Social” Activity of Society.* Character of “Social” Groups—Custom the Fundamental Type of all Social Authority—Relation of “Social” Activity to other Forms of Social Life.
- III. *Political Activity of Society.* Political Life and other forms of Social Activity.
- IV. *Psychical Activity of Society.* 1. Æsthetic Stimuli. 2. Intellectual Activity and Institutions. 3. Moral Activity and Institutions. 4. Religious Activity and Institutions—Relation of Psychical Activity to other forms of Social Activity—Conclusion.

**Variety of Social Phenomena.**—The student desiring to understand the complex life of society and the lines of its development, finds himself in difficulty at the outset, because of the confused variety of phenomena that present themselves to him. The first work of the new science of society, the classification of social phenomena, has not yet been done with any success. Earlier writers spoke of family, church, and state as the fundamental social units; for Comte, the individual, the family, and “society” are the social organs; and Spencer would classify social activities and institutions according to the three “systems of organs” found in the higher animals. Frequently such classifications have involved the logical error of division according to more than one principle; but, apart from logical blunders, students of society have conspicuously

failed to agree on any one classification, and the failure (to agree on some common foundation) has proved almost fatal to any real progress in the science.

**The Genetic Principle of Classification.**—The scientific value of a true classification lies not so much in its convenience, or in its function as the basis of any successful union among students—important though these undoubtedly are—as in the fact that it represents in itself the fundamental relations of the phenomena under consideration. Almost any sort of classification serves the former purposes to some extent, but the theory of evolution has wrought a great change in the logic of natural science, by demonstrating that there is one really natural method of classification. If organisms of different species have sprung from one common stock, the genetic relation between them, wherever it can be discovered, determines the true, the natural classification. The evolution of social activities and social institutions bears some resemblance to the evolution of organisms; and if complex social phenomena can be traced back to a few simple sources, it will give the key to the genetic classification which a science seeks.<sup>1</sup>

In the last chapter it was shown that man's needs and emotions were the causes of social activity, and that these stimuli to social life were comparatively simple and easily classified. Following this clew, we can give without hesitation the classification of social activities according to the stimuli from which they spring, in the following four groups: (1) Economic, (2) "Social" (including domestic), (3) Political (and legal), (4) Psychical. Social groups arise in the performance of definite social activities, and the most important bond of union consists of their common function (Chap. IV.), consequently the principle for the classification of social activities is at the same time the

<sup>1</sup> I have discussed the question of the classification of social phenomena more fully in an article in the *Bibliotheca Sacra* for January, 1896.

principle for the classification of social groups. And social institutions, as I hope to show in the present and the following chapters, are in reality *habits* of some phase of social activity; their influence extends far beyond the activities in which they arise, but they are classified according to the same principle as the forms of social activity. Finally, the complex forms of social activity can more easily be reduced to the simple forms from which they are derived, when the student is guided by the principle that has just been stated. In this way we can hope to reach a classification of social phenomena that is final (for the present state of our knowledge), a classification that will prove the basis for common study of social life and the starting-point for a more complete understanding of social life.

## I. THE ECONOMIC MODE OF SOCIAL ACTIVITY

The fundamental mode of social activity is the *economic* or industrial. The need of food, which man shares with the animal; the need of protection against cold and wet, on which life itself depends; and all the various modifications of these simple needs, which were considered in the preceding chapter, are the sources of this activity. It is as universal as are the simple needs of human nature, though its influence on other forms of social life is no doubt very different in crabbed northern climates from what it is in prolific lands near the equator. In the lowest forms of society which we can conceive—if indeed we can call them societies—these needs cannot lead to any definite and lasting social activity. They are indeed present in full power; but each individual or social group satisfies them as best it may; one eats the food he gets, and wears the skins he has prepared, but the economic form of social life hardly exists as yet. There is no *value*, for exchange has not begun; no wealth, for there is no motive to collect food or furs beyond what are necessary for the immediate future; true social life, really human life, exists only in germ.

When circulation intervenes between the production of what satisfies want, and its immediate consumption, it is possible to speak of a true economic activity of society. The simple bond of exchange unites men, at first rarely and for a brief moment, then more regularly and more permanently, in a common activity for the satisfaction of economic needs. The stimulus still acts on individuals, but it leads them to work together, till all that each one does must be considered from the social standpoint as part of the industrial activity of society. The needs are still universal, and the resulting social activity affects the whole of society. No one escapes from it, for no one is free from the need of food and clothing; no one can really isolate himself from the *social* activity that meets these needs, for the industrial activity of a society is modified by the attitude of each individual toward it. The social activity resulting from economic needs, then, is coextensive with society, and every individual has his place in the economic or industrial life of some society.

**Three Phases of Economic Activity.**—The economic mode of social activity develops simultaneously in three phases, which are commonly known as production, circulation, and consumption. The special science dealing with economic phenomena naturally considers these phases in the above order. It studies the production of goods, and traces them from their economic origin to their economic end.

The science of society is concerned not with goods but with persons, so that it treats the subject in a different order. In the history of culture wealth begins with exchange, not with production; it is the circulation of commodities which first unites individuals or groups in a common activity that deserves the name economic. For sociology circulation is the fundamental fact; consumption, or the working of the economic motives, the second fact to be considered; chronologically as well as logically, production is to be considered last.

(a) *Circulation*.—Circulation is based on the fact that men are different; different in their nature and capacities, and different in their surroundings. The needs of any one are more easily met when several unite, each to supply what he is best able, of what is required. Historically the supply of such a mineral as salt, or the possession of a good fishing-ground, or some other abundant source of food, commonly furnished the motive to meet the want of other things by exchange. So soon as society was stable enough to permit the development of further differences in skill, the range of exchange was much widened. Exchange, the circulation of goods, determines the limits of an economic society, and the structure of any economic group is mainly due to this phase of its common activity.

(b) *Consumption*.—The second phase of economic activity is ordinarily called “consumption.” The “consumption of goods” means for the economist the obtaining of goods from a market, and the devoting of them to the satisfaction of the want or desire which they were intended to satisfy. The importance of this branch of economics to the sociologist is due to the fact that here is the point where the economic stimuli find their application in producing economic activity. Economically it is the “desire to consume” that leads men to exchange what they possess, to produce for the purpose of exchange, and thus to obtain what they need. The study of the needs men feel, and the degree to which they feel them, is the direct key to an understanding of the particular forms of economic activity.

(c) *Production*.—Thirdly, economic activity is to be studied from the stand-point of production. Production for a market is the direct result of the utility of exchange; men undertake to meet a market demand when that is the surest way of meeting their own needs. The possibility of the development of exchange, and of economic consumption, lies just here; as production for a market develops and controls industrial life, circulation increases its range, and economic solidarity results from the increasing depen-

dence of each individual on the society in which he lives his industrial life. The production of the goods men use is so much more of an affair than the exchange of goods or their consumption, that naturally the organization of society for production, the so-called industrial organization in the stricter sense of the term, sets its mark on all economic activity, and indeed on all the life of society.

**Economic Groups and Institutions.**—The three phases of economic activity must be considered in another chapter more in detail, in order to understand their development and their social importance. At this point I desire to emphasize the fact that the economic activity of society caused by these stimuli embraces all of society, because the needs in question exist for every individual. Economic activity appears in three phases, and in each phase particular groups are formed to perform particular functions—in each phase special institutions arise to meet special ends. The economic group, like other social groups, is to be understood only from the stand-point of its function in the universal economic life of a society. The economic institution is in reality a *habit* of economic activity, and it accomplishes even more in facilitating and extending this activity than do the habits of the individual man in increasing his power to accomplish what he desires.

**Economic Activity and other Forms of Social Life.**—The economic life of society proceeds from a few definite sources, and continues to depend on springs of activity that are not difficult to analyze. It can be studied by itself, as is proved by the existence of a science of economics. And yet it does not exist by itself; it is so closely interlinked with the “social” and domestic organization of society, that neither the “social” nor the economic organization of society can be truly explained when they are studied alone. Political influences favor or hinder economic development; the state rests back on the industrial life that a people has developed. Psychological life arises as an offshoot of the common life by which man’s simplest needs

are met, and at length supplies new motive and wiser direction to economic activity. In a word, economic activity springs from definite motives, and so it may be studied by itself ; but these motives are so interlaced with a variety of other motives in the man himself, that no one form of the activity in which he engages can be said to exist independently or can be understood independently.

## II. THE "SOCIAL" ACTIVITY OF SOCIETY

The second general stand-point from which the activity of society may be studied, deserves the name *social* in a special sense. All of society, as we have seen, falls into economic classes and has an economic life ; similarly all of society falls into social classes, classes for closer social intercourse, and such intercourse constitutes its distinctly social life. This social life also has its own peculiar stimuli, namely, the emotions and interests which draw a man to one neighbor, and repel him from another. The domestic life which results from these emotions together with the emotions associated with the sexual and the parental instinct, is but one form of the general social life of the community. Commonly, the family life is not directly included in what is known as "society," for in the close union of home life, members of the family easily lose that peculiar stimulus which comes from the contact of minds that contribute something new and fresh to each other in conversation. In broader social intercourse the mind is forcibly lifted out of common ruts, and quickened by new ideas and new points of view : the desire for this new life gives rise to the distinctly social activity of society, and in this activity social groups are formed and social institutions arise.

**The character of "Social" Groups.**—While there is usually some likeness to begin with among those who join in social intercourse, their associated life can but result in a growing assimilation. The social group is a nursery of

common habits, and it is these habits or customs which distinguish the group with increasing clearness from other allied groups. The common customs constitute the character of the group ; they may become the key of admission, since those who have the habits which distinguish a particular class are easily received into the common activity of that class. Herein lies the value of rules of etiquette and ceremonial forms, for unless such forms facilitate social intercourse and bind men together in social classes, they are worse than useless.<sup>1</sup> A more important characteristic of these social groups are the social ideals, ideals of politeness, of accessibility, liberality, and respect for others, which are developed in this social intercourse ; “*noblesse oblige.*” Of these social groups the family is the only one which has become really definite and fixed, and to the discussion of this it is necessary to devote a following chapter. The social club, and all the “associations” and “societies” of the present day, utilize the social desires, but frequently their main end is not distinctly social. The classes in what is generally known as society, or polite society, are the real groups for “social” life ; and the “social scale” is one name for the social structure of a community.

**Custom the Fundamental Type of all Social Authority.**—The customs and conventions which mark the social group may be described as habits of activity in the social organism. They are a social fact, though their point of application is the individual. The rise and fall of custom, and the authority of custom, constitute the most important question of social evolution ; it concerns the very nature of the group which becomes the proper unit of society. In developed society the line is not always sharply drawn between social duties and excellencies on the one

<sup>1</sup> The absolute sway that is often accorded to the forms of politeness, etiquette, and fashion, produces a tendency to revolt from them. The critic finds it easy to show that many of these forms are artificial and temporary, nevertheless a deeper study is almost sure to discover that they are based on some real need of society.

hand, and moral duties and ideals on the other. Religious requirements, moral rules, laws enforced by the state, and customs enforced by social sanction, have sprung from the same root; the differentiation of these requirements and their respective sanctions has not been fully accomplished even yet. The character of social life, and the material or content of custom, are undoubtedly determined by the degree of civilization. Social life may, perhaps, begin as a mere animal gregariousness; with the reign of physical force, a rude political character may distinguish social life; where economic interests are foremost, social intercourse will bear an industrial stamp; the school and the press mean that social intercourse has risen to the intellectual plane, and the church, that such intercourse may rise to the religious plane.

**Relation of "Social" Activity to other Forms of Social Life.**—The relation of the distinctly social activity of the community to its psychological life is clear from the preceding paragraph; the intellectual, and the moral, and the religious life of a community are largely specializations of this social life. Accordingly, where a genuine social life is vigorous and intense, conditions favor the development of the psychological life. In like manner, this social activity lies at the basis of political activity. The race, *i.e.*, those who regard themselves as related to each other by reason of their common language, common customs, etc., is a social development; and the nation always tends to become coincident with the race. The rules enforced by the power of the state are not different in kind, and often they do not differ in origin, from the rules of custom which "society" enforces by its own peculiar sanction. Social activity is as universal and as fundamental as economic activity. Association in industrial pursuits both presupposes the faculty of association, and largely assists in developing this faculty. Social customs are a great bulwark of industry to render the industrial world stable; social classes and industrial classes so far correspond, that

the two relations work together in harmony to produce a fuller and richer common life within the group.

### III. POLITICAL ACTIVITY OF SOCIETY

The third form of social activity, according to the above classification, is the *political*. The stimulus to which this form of activity is due, is the fear of hostile powers which accompanies a man's need of protection. This stimulus has assumed a double form. It includes first the need of protection for the political group as a whole, and leads to the organization of society in such wise as to protect the tribe or the state from incursion or attack by other political groups. It includes also the need of protection within the tribe itself, and this leads to the recognition of such individual rights, and the development of such restraining laws, as best conduce to the unity and strength of the whole body. Accordingly, the political activity of society is the constant readjustment of the government to new internal conditions, and the adjustment of the state's military and diplomatic service to new external conditions. The various and complex forms which this activity assumes, centre in one all-embracing institution, the state. This topic is so important that the discussion of it is deferred to a separate chapter; and, inasmuch as political activity and political structure really form one subject, they will be discussed together. At this point it only remains to speak of the relation of the political activity of society to the other forms of social activity.

#### **Political Life and Other Modes of Social Activity.—**

In a sense, the political life of a society may be regarded as the outcome of all the various forms of social activity, and the focus in which they meet. The nation has often seemed the most perfect social unit, and sociology has been described as a political science, or even as *the* political science. In time past, the industrial market has frequently coincided with the nation; the idea of humanity has been

limited by the confines of the race and the nation, so that social life, and all the higher psychical life, were but phases of the people's national life. A state is no longer coincident with society, but industry continues to depend on the state for the protection of those who engage in it; common political interests are a powerful factor in the social world; while the protection of a strong government is necessary for the higher developments of psychical life, and the type of government always reacts on the character of the moral and intellectual life.

#### IV. PSYCHICAL ACTIVITY OF SOCIETY

1. *Æsthetic*.—Finally, the activity of society may be studied as a psychical activity. The stimuli to which this activity is due—æsthetic, intellectual, moral, and religious needs—have been described in the preceding chapter as the non-essential or derived stimuli. The love of the beautiful and the desire to enjoy beautiful things produce the æsthetic activity of society, the activity which arises in connection with the production and the appreciation of beautiful things. It gives rise to institutions such as the schools of art, in which a master's habits descend to his pupils and perhaps open the way for new creative masters; schools in which lovers of beauty are trained to see the beautiful in particular forms and under particular conditions. These institutions are simply habitual ways in which the master creates, and his followers appreciate, the expression of beauty. They are social habits.

2. *Intellectual Activity and Institutions*.—Similarly, the need of intellectual intercourse, and the desire to know the truth, are the stimuli to the intellectual activity of society. This intellectual activity follows habitual modes, and thus gives rise to the institutions for intellectual intercourse which were mentioned in the last chapter. The platform and the press are such institutions for the spread of truth, while the university is intended to be an institu-

tion for research. But the intellectual activity of society is by no means limited to institutions of this sort, for it enters as one element into all social intercourse. Indeed, differences in the degree and character of intellectual training, are one of the most important factors in the differentiation of social classes.

The perception of beauty and the desire for truth are social facts. The truth that has been attained and that finds expression in science and philosophy, and in art, does not belong to any one individual, but to society. Not only the desire to know the truth, but the very power to recognize what is true, is developed in society and is a social possession. A Raphael and a Beethoven perceived the beautiful which their ages sought to grasp, and brought it to expression. Bacon and Newton and Faraday had that creative genius which could formulate the scientific truth to which their respective ages were advancing. The intellect does indeed centre in the individual, but individuality itself develops as a product of the intellectual activity of society.

**3. Moral Activity and Moral "Institutions."**—The psychical activity of society includes also the moral life which springs from the need of moral approval and moral association. This moral life expresses itself first in the form of certain rules, which have been differentiated from the customs that mark the social groups. Custom is enforced by the group and within the group, as the distinguishing characteristic of this body. A custom becomes a moral rule when it is regarded as universally binding, as necessary to society as a whole, and so enforced by society as a whole. The observance of this custom is a duty, and anyone who neglects it is condemned by society. It goes without saying that this transformation of custom into conscious rule is a gradual process, in which men of fine sense discern the right before their fellows, and can but slowly extend and purify the rules of right action. This process is the slowly developing moral life of society, and the "in-

stitutions" which arise in connection with it are known as duties. The moral life expresses itself also in moral ideals. Ideals are a social fact; though they are recreated in the individual mind, they properly belong to the group in which the individual lives. Noble intellects are trained by society to perceive the high ends which give to life its meaning, and through them these ideals are developed; they are produced in society, as well as a social possession. We cannot forget that morality centres in the individual and aims to control his life; nor should we forget that morality is a form of social life, a habit of the social group.

**4. Religious Activity and Institutions in Society.**—Almost universally in human society men have felt the need of communion with a god, and this has led to a religious activity of society. Although in the earlier stages of society this psychical need is associated with the physical need of protection, the time comes in the history of religion when new rules of right and new ideals (closely associated with moral rules and moral ideals) arise through the introduction of a new factor, relation to God. The social nature of these rules and ideals is evident from the redistributions of society which they have always caused. The history of religion discusses the institutions of sacrifice and purification, of churches and priesthoods, through which this religious activity has found expression. These institutions are the particular forms assumed by this kind of social activity; they are habits which characterize social groups, and give rise to social groups. Religion centres in the individual, and stands for the relation of an individual to his god; but the character of this relation is determined by society, and preserved in society. Neither religious reformers nor students of religious thought have failed to see the importance of religious fellowship in arousing and developing the individual's sense of relation to God. The religious life finds its normal expression in the church and, at least in theory, no social group is so closely knit together

as is the church in its common love and common worship of God ; nor does any form of social activity claim such a comprehensive authority over all of life. Those who reduce the church to the place of a voluntary association, fail to see either its religious or its social meaning.<sup>1</sup>

To avoid any misapprehension, I may remind the reader of the definition of the *science* of sociology ; as a science sociology studies processes, and explains the manner in which forms of psychical life arise in society, but it is not concerned with the origin or ultimate meaning of what it explains. So it studies religion and explains the manner in which it arises, but it neither denies nor affirms the real existence of God.

**Relation of Psychical Activity to other Forms of Social Activity.**—Inasmuch as the true unity of society is psychical rather than physical, it is evident that all forms of social activity find their goal and their true explanation in the distinctly psychical activity of society. An industrial class becomes a society only when its members come to share the same psychical life ; directly, such a development of psychical bonds tends to make the industrial class more stable, until sometimes its fixedness stands in the way of progress ; indirectly, the development of these higher forms of activity brings more potent stimuli to bear on the economic life, and lends to the economic structure of society that general stability which gradually unites those who share the same type of higher civilization. And with the progress of civilization, social and political life come to feel the same influences. The groups which are united in these forms of activity are at length determined rather by psychical differences than by any external law ; the social and the political structure become at the same time

<sup>1</sup> The smaller religious groups, called sects or denominations, too, are real social groups. Artificial distinctions do exist, based on minor and non-essential differences of interpretation or ritual, but those distinctions based on different religious experience or on apprehension of a different side of truth, mark off groups, necessarily separate, and cannot be annulled by any council.

more complex and more stable by the growth of higher bonds of union ; while the presence of the highest ends and the highest motives may place the lower forms of social life on an entirely new plane.

**Conclusion.**—With reference to all the modes of social activity discussed in the present chapter, it is important to bear in mind two points: (1) Each of these modes of activity is due to stimuli acting on the individual mind, and each finds its expression in individuals ; and (2) they are distinctly forms of *social* activity, in which men are united in social groups or societies, while institutions are simply social habits arising in connection with these forms of activity.

## CHAPTER VIII

### THE INDUSTRIAL ORGANIZATION OF SOCIETY

Production the most important of the three Factors in determining Industrial Organization—Early Industrial Life—The Stone Age; the Bronze and Iron Ages—Social Importance of the Development of Tools—Early Differentiation of Industrial Functions—Source of Food as marking Stages in Development—The Hunting Stage, the Nomad Stage, and the Agricultural Stage—Influence of each on Social Life—Increase in the Differentiation of Labor.

I. *Exchange and the gradual Development of the Market.* 1. Institution of Money. 2. Institutions of Transportation—War, and the Development of Circulation—Effect of Circulation on other Modes of Social Activity.

II. *Consumption.* The “Economic Man”—Man’s Needs change in Content, in Imperativeness, and in Variety — Physical Needs determine Economic Life—The Institution of Property—Social Importance of Property.

III. *Production.* Relation to Circulation, to Consumption—The Institutions of Production—Slavery, Feudalism, the Household Unit, the Factory System—Influence of Industrial Organization on other Modes of Social Life.

The Ideal of the Economic Group—Influence of this Ideal on Social Life—Fundamental Character of the Economic Mode of Social Activity.

**Production the most Important Factor in Determining Industrial Organization.**—The economic activity of society has been defined as the activity due to man’s fundamental physical needs, the need of food and of clothing. Economic life develops, as we have seen, in the three phases of circulation, consumption, and production; and the discussion of industrial organization and industrial institutions naturally follows this threefold division. It is the more necessary to treat industrial organization from this

threefold stand-point, for the three phases of activity do not develop simultaneously, nor do they have a co-ordinate influence on other modes of social activity. In general, the forms of production are so important as to determine the general character of the industrial organization. The history of labor is part of the study of production ; tools and machinery are the instruments of production ; the stages of industrial development are marked by the development of methods and implements of production. At the same time, production can hardly be termed a form of economic activity till circulation intervenes and goods are produced for a market ; and the motive for production is always found in the desire to “ consume.”

**Early Industrial Life of Man.**—*The Stone Age.*—The history of man’s nascent industrial life has ordinarily been written either as an account of the stone, and the bronze, and the iron ages—according to the material of which implements are made—or as an account of the hunting and fishing stage, the nomad stage, and the industrial stage of economic development—according to the main source of food. The former may be termed the archæological, the latter the ethnological, stand-point for the study of primitive man. The earliest prehistoric traces of man, found in many parts of the earth, are the stone implements which he used. The rude stone club, the chipped flint that served as spear-head or as knife, are to be dated back to geologic ages, when the climate and the flora and fauna of the temperate zone were very different from what they are at present. The gradual development of the club into the hammer, the hatchet, and the adze ; of the chipped flint into the sharpened arrow-head, the polished knife, and chisel ; of the hollowed stone into the bowl, and at length into the mill for grinding corn :—the gradual development of these stone implements can be traced in the fragments that have come down to us, and it throws much light on the dawning reason which absolutely separated man from the other animals.

*The Bronze and Iron Ages.*—The use of metal, bronze, or iron, marks another distinct stage in the early forms of industry. The metal knife or sword, the metal hatchet, are far superior to the best instruments of stone; and the bowl or cup of beaten metal would come to be always used, were it not for the invention of pottery which partly took the place of metal. No sharp line separates the bronze and the iron ages; but when iron could be smelted and worked with reasonable ease, the possibilities of metal tools were much increased and their cost diminished.

**Social Importance of Development of Tools.**—The social importance of tools lies in two directions. First, tools increase the range and variety, and consequently the regularity, of the food-supply. The use of the bone fish-hook and of the net means a new source of food; the arrow from a bow is surer and swifter than the best spear or lance; fire gains much more general use in the preparation of food, when water can be boiled in pottery or metal vessels. Secondly, tools enable man better to secure himself against attacks of hostile beasts and hostile men. Almost every tool is also a weapon; and the tool-making, tool-using animal is in the end superior to the animal that has itself the greater strength or speed. It is not so much the security of the individual, as the security of small societies, that is gained by the use of better weapons. The small group secures a measure of permanence by its ability to defend itself against the world, and the foundations of political and industrial society are laid.

**Early Differentiation of Industrial Functions.**—The development of tools has this further effect on the beginnings of industrial organization, that it encourages the differentiation of industrial activity. The original difference between the sexes has always remained the basis of social differentiation; but even this difference was made more marked by tools which busied the husband abroad, or gave wider range to what the wife should do at home. Again, not every man could make tools that required skill,

and some would use one implement better than another. At length the small group of tool-users, the tribe or the village unit, would be a more compact unit because the different members depended on each other for the satisfaction of the common economic needs.

**Source of Food marks Stages in Development.** — The ethnologist is wont to view early economic history in a slightly different light. He finds the more backward races of mankind depending on different sources of food. Some depend for food on game, others on their flocks and herds, others still on their yearly crops. Agriculture surely implies a higher social life than is ordinarily found among hunting or nomad peoples, and the custom has arisen of referring to the three means of satisfying economic needs as three stages of economic development. The view is only in part correct, but it suggests the very great importance of the source of food (and clothing) in determining the industrial organization of a society.

1. *The Hunting Stage.*—In different parts of the American continent are found tribes that depend mainly on game for food, all the way from the lowest savagery up to the very verge of civilization. The effect on social life of this mode of subsistence varies, of course, with the abundance and regularity of the supply of game, but in general it produces societies of much the same type. The size of the group is necessarily limited, except where waters bring large shoals of fish within easy reach. Ordinarily, only a very scanty population could be supported; and in cases where a tribe became large, it all but fell apart of itself as its members travelled far in search of food. And these economic conditions did not especially favor the intercourse of different tribes, for the presence of the hunter in the domain of another tribe inevitably suggests trespass. Again, this form of "industry" favored strongly an unsettled life. A fixed village was possible, and even common in some parts of the western half of the continent, but more commonly the so-called Indian village was a sort of

rendezvous where they settled at certain seasons of the year. In consequence of the roving life, the basis of the state was simply and only the ties of blood and custom, and the higher forms of social life had little or no opportunity of development. The manner of life of the successful hunter encouraged the virtues and excellencies of the individual. His own power to read nature and understand animals, his own cunning in outwitting them, his own endurance in their pursuit, these made the hunter an independent man by nature. Independence and individuality, thus developed, affected the whole range of social life, and made the state entirely democratic in its character.

2. *The Nomad Stage.*—On the American continent examples of nomad life are rare, because there were so few animals suited for domestication. But in Asia and in many parts of Africa, not only the dog and the hen, but soon cattle and goats and sheep were domesticated, and furnished man with a far more abundant and more regular supply of food than could be secured by hunting. The Hebrew accounts of shepherds in Palestine perhaps furnish the most familiar picture of the nomad life. Used for keeping flocks, the same area produced much more food for man than when used for hunting, so that the population of nomad races became correspondingly denser than in the case of races living on game alone. This mode of life did not favor the individualism of the hunter's life, for no one man could keep cattle alone to good advantage. Groups of moderate size, which could care for their common herds and protect them together, were naturally best suited to this kind of life. So we find now the small clan, now the large family, living on the products of the herd that they owned and kept in common. The necessity of protection for property demanded a much more highly developed political life; and as different clans lived in closer proximity, the intercourse between them would commonly be more active. Before the cultivation of grass as a crop, however, the life of nomad peoples was unsettled, since

they must wander in search of food for their herds ; so that, in spite of the more developed social life, the same obstacle to a high development of culture continued to exist.

3. *The Agricultural Stage.*—Returning again to North America, we find that tobacco and “Indian corn” were widely cultivated by tribes that still depended largely on game for food, while in Africa and Asia both hunting and nomad races turned to agriculture for a better supply of food. Cattle could be maintained better, and in larger numbers, when the natural supply of grass was increased by artificial care. The cereals were stored for long periods more easily than any other form of food, and thus they served to tide over times when otherwise famine would prevail. Moreover, agriculture permitted a far denser population than could have been maintained before, and people could live in closer quarters. Agriculture generally deserves to be regarded as the beginning of civilization. It required a settled life, and permitted life in considerable towns ; it required such political life as would grant ample protection to large areas of crops in the fields ; it was most successful when such social differentiation existed as permitted the utilization of slave labor to prosecute the cultivation of the soil with regularity and persistence. In a word, civilized life was necessary for the pursuit of agriculture, and it furnished strong motives to higher civilization.

**Increase in the Differentiation of Labor.**—The most marked feature of the change from the hunting stage and the nomad stage to the agricultural stage, is the great increase in the differentiation of labor. In the hunting stage all men are theoretically equal, though differences of age, strength, and skill actually introduce some differences in their pursuits. The nomad life encourages the formation of small groups, in which one person is master, if not owner, while others care for the flocks and the products of the flocks under his direction. In such a large family, household, or clan, the skill of one as carpenter or tent-maker, of another in preparing the rude utensils of their

simple life, of others in other lines, would be utilized under the direction of the master, even while all united in the regular business of caring for the flocks. With the development of agriculture, and the consequent increase in size of the social group, the occasional differentiation of function develops into a true differentiation of the laborers. When agriculture was no longer a sporadic method of eking out the food-supply, but the normal and regular source of food, the village community arose as the natural form of social organization. These communities, which mark the point to which the social life of civilized states can be traced back with any assurance, consisted of groups of families or clans, each of which was organized much like the group of nomad life, though on a smaller scale. Each family, or clan, cultivated its share of the fields of the community under the direction of its head—but the heads of families were subject in turn to the chief of the village, and oftentimes further differences of rank existed. The work of the house carpenter, and the cartwright, and the smith, was frequently the lot of particular individuals, who were in part supported from the fruit of the others' labor; and while all the women might spin and weave, such arts as dyeing and special ornamentation would often be carried on by one or two in behalf of the whole community. Some men, loosening their connection with any one community, would engage in commerce, bringing precious metals and jewels, fancy cloths, important minerals like salt, etc., from place to place. Such seems to have been the industrial organization of the early community, which developed into the town or city and the larger state.

#### I. EXCHANGE AND THE GRADUAL DEVELOPMENT OF THE MARKET

The further study of industrial organization, industrial institutions, and their social importance, necessarily follows the threefold division according to which industrial

activity develops. Beginning, therefore, with the subject of circulation or exchange, we recall the fact that this is really the beginning of the particular form of social activity which deserves the name "economic." It is the idea of exchange, and somewhat regular exchange, which characterizes economic activity as such. The general type of the early merchant still exists in the case of adventurers who set forth, it may be, into the wilds of Africa; they provide themselves with gay cloths and other products of civilization that please the savage, hold a sort of market as they reach some savage tribe, and return at length with the stores of ivory and spices and perhaps slaves which they have gained by barter. As soon as visits of this sort come to be expected with any regularity, so that the savage prepares a stock of goods for the trader, genuine economic activity has begun on the basis of an occasional market. The next step toward a higher development of exchange is when a market, or fair, is held regularly at some definite place to which both buyers and sellers come. The Church feasts of the Middle Ages furnished such regular occasions for exchange, and gave the name "*Messe*" to the fairs that originated at times when mass was celebrated. The influence of the great annual fairs, at which all wholesale and most of the retail trade was conducted, has hardly disappeared in England, and is still very important on the continent. Gradually the advantage of regular posts of trade, open and accessible at all times, has been recognized; and the "shop" or "store" has taken the place of recurring markets as the ordinary method of exchange. For sociology, the important facts with reference to exchange are (1) that the progress of trade by the tentative but persistent efforts of individuals is an example of the method of development found in any line of social activity; and (2) that the simple physical needs of the individual have exercised a most potent influence in opening avenues for the higher forms of social life, and in bringing different social groups into contact with each other.

**1. The Institution of Money.**—In the process of exchange, two institutions arise which are very important objects of study for the science which deals with economic phenomena in detail. The first of these is the institution of money. Exchange is immensely facilitated by the use of some recognized standard of value. What the standard is, of course depends largely on the relative convenience of the different possible objects; but it takes its place as the standard of value by a sort of social agreement. It is *money* when it is recognized and received as money. When a good standard of value comes into use, the sphere of exchange is indefinitely extended; parties more distant from each other can enter into commercial relations; and the goods exchanged need not be limited by the present wants of the parties. In fact the standard of value of civilization penetrates into the distant parts of the earth almost as soon as rum itself. The effect of this unity of the commercial world upon the higher forms of social life can hardly be estimated. Identity of ideas and of tastes is preceded by identity of money. Moreover the student of sociology should not forget that it is the social stamp, the general approval of society, which is the final source of validity, whatever be the material of money or the power which issues it.

**2. Institutions of Transportation.**—The second class of institutions arising in the process of exchange have to do with transportation. The amount of goods exchanged at any given times, and the possible range of a market, depend on the facility with which goods are transported. According to Proudhon, “to draw a loaded cart on the natural soil requires one-quarter or one-fifth the energy necessary to carry the weight in question; on good roads in ordinary condition, only .08 of this amount of energy is necessary; on oak rails the figure is reduced to .022; finally, on steel rails in good condition it is only .005 or .003 of the original amount; . . . the increase in distance carried, in rapidity and regularity of transportation,

can hardly be estimated." Along with this apparatus for the transportation of merchandise, there has grown up an apparatus for the rapid transportation of intelligence, which is hardly less important in its effect on commerce. The post, which was originally a military affair, has come to serve primarily an economic purpose. The condition of any important market is made known all over the globe as quickly as in distant parts of the same city, and the London buyer does not have any considerable advantage in time over the New York buyer, when goods are offered for sale in London. Finally, the institutions for the transportation of money have kept pace with the means of transmitting intelligence. Orders on private or government banks, which are received as readily as gold, are transmitted by mail or by telegraph, and the process of circulation is complete. For the purposes of business, space and time are all but annihilated, and the world is made in reality a single market. All these means of transportation depend on an increasingly stable society, and react on society to make it stable; they develop a community of interest, and a breadth of interest, which otherwise would be impossible.

**War and the Development of Circulation.**—War has been the most important external factor in the origin and development of circulation, and this influence has been exerted in two ways. In the first place the earliest collections of goods to be distributed, or exchanged, consisted of the booty which a successful band of marauders brought home with them. Military leaders and their followers would desire to exchange the products of war, such as slaves, for the products of peace. And secondly war brought different tribes of people into contact with each other, and opened highways of communication between them. The world is enlarged, and men learn that their wants and the wants of their neighbors can be met most easily by exchange. For a strong man, to take a thing may seem the easiest way to get it; but the first and perhaps the longest

step in progress, is the recognition that this course is destructive, while fair interchange of goods benefits all the parties concerned. Violence breaks a path for progress, and commerce follows in the track of war.

**Effect of Circulation on Other Modes of Social Activity.**

—The first and most important effect of circulation, or the exchange of commodities, on the other modes of social activity, is the well-known fact that the circulation of goods always favors the interaction of minds. Intellectual intercourse in its various forms follows commercial intercourse, so that the development of commerce is the immediate precursor of progress. In the settlement of a new country, the school and the church and the court follow the pioneers of trade. In an older country the lack of good means of communication results in stagnation; custom continues without change, and the past becomes a barrier to progress instead of the basis of advance.<sup>1</sup> The second effect of a widening commerce on other forms of social life, is the enlargement of the social world along other lines than the purely commercial. The "world" in which we live, the social lines which bound that part of the race to which we feel akin, the psychical life of which we feel ourselves an integral part, the political world in which our state has its proper sphere of activity, all of these are enlarged with the enlargement of the commercial world. Civilization follows commerce into the jungles, through the desert, and toward the poles. Civilization will touch every part of the globe when trade has opened the way for it. And the third effect of commerce, with its complex bonds now uniting the whole world, is to develop closer and more complex bonds in all other forms of social activity. Eco-

<sup>1</sup> De Greef, *Sociologie*, II. p. 41, has drawn an instructive comparison between the New Greece on the one hand, and Roumania to-day or Greece a century ago on the other. The new political life of Greece has gone hand in hand with a new economic life; the means of more rapid transportation within Greece, and increased facilities for foreign commerce, constitute the basis of that progress which has been so remarkable.

conomic activity could never have attained its present high development without the aid of political protection, judicial arbitration, and the special restraints, as well as the special stimuli, of the moral code. Conversely, social rank depends on economic conditions; the state is made stable and conservative, as well as progressive, by the economic interests which lie at its foundation; the intellectual and the moral unity of society is a gradual achievement, for which the bonds of common economic function ever prepare the way. Men trade together and learn that they are brothers, just as once they fought together and found that there existed other beings than themselves who deserved respect.

## II. CONSUMPTION—THE “ECONOMIC MAN”

The second stand-point from which the economic activity of society may be considered, is also marked by some measure of special organization, and by an institution of far-reaching importance. Here, as we have seen, is the point where economic stimuli find their direct application; men produce that they may exchange their products for what they desire to “consume”; in other words, the generalized expression for the economic motive is the desire to consume. The familiar method of the older political economy was to postulate an “economic man,” a man ruled by the desire for wealth. Undoubtedly, this last expression has meant the desire for what wealth brings, not simply love of money; in other words, economics has started out with the important postulate that the units it is to consider are governed by what it terms a desire to “consume.” Such mathematical abstraction has brought with it both clearness and confusion; clearness in that the motive force of economic life is reduced to a single unit; confusion in that this unreal abstraction has often been obliged to do duty for the richness of concrete truth.

**Man's Needs change in Content, in Imperativeness, in Variety.**—In fact, the true “economic man” is the product of his age; his desires change as society develops; nor is the change unimportant, for the whole face of economic life changes with each change in the units that enter into it. This economic man is the being whose needs and emotions were discussed in Chapter V., and consumption is simply the use of what is acquired in exchange to satisfy his needs and emotions. The particular content of man's needs changes entirely with his habit of life. Uncooked flesh is followed by roast or boiled meat as the hunter's diet, while the shepherd lives on the products of the animal—milk, butter, and cheese; vegetable diet changes from nuts and fruits to parched grains and cakes of crushed or ground corn. The need which a given man feels is not the need of food, but rather the need of the flesh or the dish of pottage, by which he has been wont to satisfy hunger; the desire for this particular object governs his action in the effort to acquire it. So the imperativeness of man's need varies with the stage of social development. The savage goes for days on a most meagre diet, and then when he has game gorges himself with food. It is only when the torpid sleep after such a feast has lasted long that reviving hunger drives him to activity once more. But the civilized man requires “three meals a day,” and the content of each one is imperatively determined by his social position. Nor is the change in the variety of his needs any less important. Practically the simple demand for nourishment and warmth has been replaced by the complex need for the thousand and one things which constitute the standard of living; a carriage may seem more necessary than bread, sealskin garments more necessary than blankets.

**Physical Needs determine Economic Life.**—The study of the particular forms which these needs assume, is the source of most valuable light on the economic life of a given age. Such study defines at once the motives to

economic activity, and the lines which this activity must follow. Here the student learns to understand the units of economic life, and it is on this basis alone that he can discover the relation of the units in the industrial organization. The circulation and exchange of commodities, intervening between the production of goods and their consumption, follows man's immediate needs, so far as his needs find social recognition. Production, too, is to meet the market demand for the goods which men call for.

**The Institution of Property.**—The greatest change in the use to which men put their products, occurs when they begin to store them for future use, instead of applying them to the satisfaction of immediate desire. The institution of property, to which so much fruitful study has recently been devoted, had humble beginnings and developed but slowly. Its social origin is quite generally admitted. It is probable that property began with articles worn about the person, clothing, amulets, and especially adornments, at a time when even weapons and the simple utensils of cooking were the property of the clan or group. Along with the development of the idea of individuality came important extensions of the idea of individual property. Weapons and utensils, finally dwelling-places, flocks and herds, were reckoned by the tribe as the property of its individual members, although the members of a family have never lost all claim on the possessions of the head of the family; these articles became individual property because members of society so considered them. After a long period real estate also came to be reckoned as the property of individuals, though still in a somewhat restricted sense, for the state preserves certain rights over its territory.

**Social Importance of Property.**—The social importance of property is universally recognized. It means a new form of consumption, a new use for wealth—goods may be effectively stored. In connection with it there arises a new social stimulus, the love of acquisition. Property means power over one's fellow-men, and the love of power is constantly

acquiring range as an economic stimulus, while apparently it loses power as a political stimulus. When the idea of property centred in the clan, it helped to make the clan a compact unit. The gradual recognition of individual property was a great power in developing the nascent individualism of the members of the clan. Once developed, the idea of individual property sapped the roots of the clan life; it was a potent factor in overthrowing the matriarchal family, which was commonly so closely connected with the clan relationship; it became the basis on which our present civilization has developed. Perhaps its most important social effect has come to be in the fact that the possession of property is so generally the basis of social differentiation. In earlier times physical force, later institutions of caste, were the basis of differentiation in society. To-day, in the stable forms of society, wealth is the most universally recognized source of power, so that social rank is often determined by the possession of wealth.

### III. PRODUCTION—RELATION TO CIRCULATION, AND CONSUMPTION

In the study of industrial organization itself, the third phase of economic activity is most important. Beginning within the early social group long before it can be called economic production, it is gradually dominated by the demands of a developing market, until in the modern city, the family finds it possible to give up absolutely every form of domestic production, and rely solely on what an extensive market will furnish. While it is, of course, the development of circulation and exchange which is responsible for so great a change in the character of production, the institution of property which has just been considered, is an indispensable condition. Property previously acquired must be used in production, if it be only to support the producer till he can reap the fruit of his labor in the exchange of his products; capital, properly utilized for the

production of goods to be exchanged, is the very basis of economic production, and it is the growth of capital that has made possible the rapid development of industry during the present century.

**Institutions of Production: *Slavery.***—The institutions by means of which production has been carried on, have varied exceedingly in different ages, and each has been the basis of a particular type of social life. The earliest organization for this purpose was some form of slavery. Inertia is an almost universal characteristic of savage races; men only work under compulsion, either the compulsion of immediate need, or the compulsion of superior human force—and the effort to satisfy immediate need is so spasmodic that it cannot be utilized for the production of any but the simplest objects. When captives taken in war could be made useful for work instead of being destroyed or eaten, a genuine means of production was secured; and unproductive as slave-labor seems to us, it was immensely more productive than labor to which the only spur was hunger. The early civilizations of the East show what has been accomplished with this means of production; indeed, economic production rested on no other basis in Greece and Rome.

***Feudalism.***—Fendalism marks a decided advance on slavery, for the relation of master and servant was more permanent, and the system required and developed greater ability in the servant. The serf had certain interests of his own, not wholly identical with his lord's, and his position depended largely on the way in which he cared for these interests. Thus the serf was trained for centuries in the school of partial freedom, till at length the power to work for a future reward was a greater stimulus than external compulsion. Then masters gradually learned that hired labor was more profitable than forced labor, and the principle of serfdom, like the principle of slavery before it, had to give way to a higher form of organization for production. Naturally the change took place much earlier in the towns than in the country.

*The Household Unit in Production.*—In the country circumstances favored the economical independence of the household, provided it paid the dues assessed, and performed the military service required. The household became the unit for production, and it continued to be so until conditions were changed by the introduction of machinery. Often it was necessary for craftsmen to unite in guilds to secure their rights. Whether or not he was a member of a guild, the artisan was far enough from real freedom of initiative; nevertheless he was able to work for himself instead of working for another.

*Production by Machinery in Factories.*—In the modern industrial system which has grown up with the introduction of machinery and the consequent organization of production in large factories, scarcely a vestige of the former external restraint remains. Ability to work with vigor, continuity, and skill, is almost the only factor which determines the workman's position in the industrial system; while the relation between employer and employed has been reduced more and more to a strictly economic basis. The removal of each phase of external restraint on labor, and the increasing freedom of laborer and employer, have been attended at each stage by a wider differentiation of economic classes, so that the industrial world is more complex than ever before.

**Influence of Industrial Organization on other Modes of Social Activity.**—Each of these forms of industrial organization is the basis for a particular form of the higher kinds of social activity. Slavery means a sharp line of distinction between master and slave in "social" intercourse; the tribe which keeps slaves has a different political development from the tribe without slaves, and it is just this difference which separates most widely the developed states of antiquity from the modern state; moreover, slavery cultivates certain habits of mind which control the psychical development both of masters and slaves. Under the feudal system an aristocracy of birth determines the lines

of "social" intercourse, and gives rise to peculiar social institutions and peculiar social ideals; the feudal state is a confederacy of feudal lords; chivalry is but one of the psychical products of the system. Finally, in the present age of industrial freedom, differences in economic capacity are fully developed; the difference between individuals and between families tends to increase from generation to generation; yet the dead level of barbarism still remains, so that every advance introduces wider differences into the economic world. Such conditions as exist to-day foster an aristocracy of wealth; political power is in the hands of the third estate; business integrity and habits of hard work are the excellencies most highly prized.

**Ideal of the Economic Group.**—With the economic development of society, the peculiar character of the economic group has been growing more and more distinct, until to-day the economic ideal is exerting a great influence on the character of other social groups. The economic group proper is not marked by any real solidarity of life and interest; rather it has tended to drift away from this general solidarity as it has become distinct. Competition is commonly represented as the basis of modern industrial society, and competition involves free circulation of labor. The ideal of economic relationship is free association, that is, the group in economic life is composed of men who unite in common activity because they recognize that their interests are identical, and who feel entirely free to leave the group as soon as their economic interests diverge. The laborer is bound to his master by no tie except such as he voluntarily assumes; he has all the rights and all the responsibility which belong to an independent economic unit. The trade union has only served to emphasize the independence of the individual laborer by lending to each one the strength which comes from association. Attempts have, indeed, been made to bind individuals together in more permanent unions for economic purposes, as in the case of profit-sharing and co-operative societies, but they

have been sporadic, and they have met with no lasting success. The ideal of the economic group is the absolute *economic* freedom of both master and laborer; although the human interest that binds every man to those who become his neighbors cannot fail to lend its sanction to the group united by economic interests.

**Influence of this Ideal on Social Life.**—Historically it may be questioned whether the individualistic view of life, which is becoming clearly the characteristic of the economic man, had its origin in economic relations. Practically, however, no fervid preaching of the rights of the individual had been so powerful to affect society down to its very foundations as the constant enforcing of the rights and responsibility of the individual in the industrial life of this industrial age. It tends to break down the old “social” relations, and even marriage comes to be regarded as a temporary contract rather than the beginning of a common life. The democratic state is made little more than a “social contract,” and the university and even the church are often regarded as associations of the economic type in another sphere of common life. The cause of this abnormal influence of economic ideals is to be found, I believe, in the present abnormal development of industrial interests, and it can only be remedied by a broader development of social life on higher planes.

**Fundamental Character of Economic Activity.**—In conclusion, it is hardly too much to say that economic activity is at the very basis of society. Economic changes and crises result in changes and crises in all phases of social life; as, for example, the effect of depression in business on marriage and birth rate, which Buckle has attempted to trace. Habits of industry are at the basis of political stability. Industrial connection has often preceded political connection, even as to-day commerce is the strongest influence in the development of international law. Higher types of intellectual, moral, and religious life can only be developed where men are protected from the constant

pressure of want and the constant fear of starvation. And the work-habit, developed so slowly in the course of industrial progress, is no less necessary than leisure for genuine psychical progress. "The economic structure of society is the real basis on which the judicial and political superstructure is raised, and to which definite forms of social thought correspond; in short, the mode of production determines the character of the social, political, and intellectual life generally."<sup>1</sup>

<sup>1</sup> Quoted from Karl Marx: *Kapital*, on the title-page of *Lafargue, The Evolution of Property*. London, 1890.

## CHAPTER IX

### THE FAMILY AS A SOCIAL UNIT

**The Family and the State—Earlier Theory that the State arose from the Family. The Family in the Matriarchal Stage—Results accepted by recent writers :** (a) The Principles of Marriage Unions ; (b) Polyandry, Polygyny, and Monogamy ; (c) Blood-affiliation and Property Rights in the Formation of the Family.

**I. The Early Family in the Economic Activity of Society. Later Forms of the Family in the Economic World; The Economic Future of the Family.**

**II. The Family and the " Social " Activity of Society.**

**III. The Family and the Psychological Activity of Society.** (a) Intellectual, (b) *Æsthetic*, (c) Moral—Moral Life of Parents, Moral Personality of Child, developed in the Family—Moral Inheritance includes Customs and Social Usages—Moral Training in the Family and in General Society—Moral Inheritance the Basis of Real Progress ; (d) The Religious Unity of the Family ; Continuity and Progress of Religion depend on the Family.

**IV. The Function of the Family in Political Life.**

**The Family and the State.**—The family is the basis of the state. This phrase, so frequently repeated by the earlier students of society, has been attacked in recent years by two classes of opponents—by those who believe that civilized society ought to rest on some other foundation, and by investigators who have found that the theory of the historic relation of state and family, with which the phrase had been associated, was entirely false. But the very study which destroyed its old meaning has made it pregnant with new and deeper meaning.

The older theory of the relation of the family to the state is simple enough. It began with the family, treated the clan as an enlarged patriarchal family, with the patriarch frequently left out ; the tribe it regarded as an over-

grown clan, and the beginning of the state was a tribe that had outgrown its former organization.<sup>1</sup> Thus the family is really the basis of the state. The argument in favor of this theory is mainly the argument *e consensu gentium*, for the clan was traced back to a common ancestor, and the tribe and the nation to common ancestors yet further back, among the races best known to students. These races—and accordingly those who studied them—believed that descent was always reckoned in the male line, for the father was the head of the family. The best and ablest defence of this position is found in the works of Sir Henry Maine, who argues from laws and institutions back to the time when they arose, and shows that they presuppose a patriarchal family. Nor has his argument been seriously impugned by later students. They have rather sought to show that Maine's results were far from ultimate, and that the history of another world remained to be written, a world existing before the date back to which Maine's investigations had reached.

**The Family in the Matriarchal Stage.**—Bachofen was, I believe, the first to attack this earlier theory, then universally accepted. In his *Mutterrecht* he called attention to some facts which had been misinterpreted by scholars, and to others which were new, in proof of the thesis that a matriarchal family had quite generally preceded the patriarchal type. McLennan, in England, working independently, argued from the prevalence of wife-capture as a symbol back to the time when it was an actual fact, and connected with this the prohibition of marriage within the tribe. He attempted to prove: (1) that in early times all women were held in common by the tribe; (2) that female infanticide often made wife-capture necessary, and frequently resulted in a polyandrous family, and (3) that in this polyandrous family the husbands of the same wife

<sup>1</sup> Cf. L. Lange, *Römische Alterthümer*, 3te Aufl., 1876, S. 102 sqq., where the organization of the Roman State is explained very much in this way.

were gradually limited to brothers, and at length the patriarchal family arose with one man at its head. The argument in favor of these propositions included (1) examples of loose family relations in savage tribes (the author assigning the reason that in the polyandrous family the particular father is not known), and (2) some few and isolated examples of the two forms of polyandry which serve as types. The two main positions, namely, the absence of anything that might be called family relations in the early history of the clan, and the prevalence of the matriarchal family before the existence of the patriarchal family and monogamous marriage, have been widely illustrated by authors in England and on the Continent, until they form the creed of a school.

**Results accepted by Recent Writers.**—A third group of writers differ from those just mentioned mainly in their interpretation of the facts. Letourneau regards the primitive family as an early form of property, and explains its development on this basis. Starcke shows that the matriarchal family tends to produce heterogeneity, and so fails in itself to explain the forms of tribal relationship with which it is most closely associated. And Westermarck finds evidence that the monogamous family has been, perhaps, the commonest form during the whole history of the race. These writers agree in urging that (1) there is absolutely no evidence to prove a state of original promiscuity, though, as a rule, family ties are looser among less civilized tribes, and that (2) the matriarchate is not universal, and constitutes no evidence at all for the original absence of family relations.

**Results:** 1. *The Principles of Marriage-Unions.*—These researches have by no means led to conclusive results on all points, but the following points are fairly well substantiated: First, there is no reason to think that any human race was ever without the idea and practice of comparatively permanent marriage-unions. In the struggle for existence, a species must be very prolific in order to

survive, or else it must care for its young; and this care must continue longer as the period of immaturity becomes longer. Among many birds, and some higher apes, there seems to be monogamous marriage for life; and many species of apes care for their young until they are several years old. In the lowest stages of human development marriage unions would continue only during the pleasure of the parties; but what evidence we have rather tends to show that commonly the parties chose to remain together, even for life. When a man gained the power to treat his wife as private property, the woman was naturally degraded and may often have lost all motive to chastity. But what was lost in the wife was more than made up in the husband, and this stage probably meant an increase in the stability of the family. When at length the wife was no longer a mere slave, though her husband still retained many rights over her, a truer union was again possible. This elevation may have been due to the fact that the woman went to her husband from another protector, and thus was not so completely under his control. Finally, we have to-day at least the idea of a permanent affiliation, in which each member is complementary to the other, and on this basis marriage has received new meaning, moral and intellectual, civil and religious. The truth seems to be that while sexual relations have never been absolutely confined to the family, there has always been a family; and that as the principle of the family has advanced from animal association to property in women, then to limited rights of the husband, and finally to broader association in the higher developments of psychical life, the family has constantly gained in permanence, and restraints to promiscuous intercourse of the sexes have been correspondingly strengthened.

2. *Polyandry, Polygyny, and Monogamy.*—The question as to the number of persons involved in the marriage relation should be made subordinate to the questions discussed in the preceding paragraph. The polygynous

family does indeed mean a very different social organization from the polyandrous; but the essential question is still whether the family is a form of property, or whether it is based on some lower or higher form of association. The relative numbers of men and women exert great influence on the marriage-relation. In earlier times polygyny was the result of success in wife-stealing, and polyandry was frequent when men outnumbered women. The reason is evident, for in an undeveloped society the sexes must ordinarily live together. Where descent was reckoned through females or not at all, either polyandry or polygyny could arise without difficulty, and the transition from one to the other would not necessarily be a violent one. The patriarchal family only permits some very limited form of polyandry, such as the possession of the same wife by brothers; on the other hand, based as it usually was on property rights, polygyny was perfectly normal. The monogamous family seems to have been always the commonest form, both because it was the most natural and practical, and because the numbers of the two sexes were generally about equal. The principle of property has, on the whole, favored monogamy, as most men could support but one wife; and since the higher forms of the family are only possible as forms of union between one husband and one wife, this is the only type of family the sociological importance of which it will be necessary to discuss.

3. *Blood Affiliation and Property Rights in the Formation of the Family.*—Children have always been associated with parents, even among the higher animals, but the notion that the family includes more than two generations, is a product of somewhat advanced human culture. By nature the child is far more closely associated with the mother than with the father, and thus blood-relationship would naturally be traced in the female line; uncertain paternity would also favor the family on the basis of the mother. On the other hand, when the sense for property

had been developed, and had become the principle of the family, the children of the mother would naturally belong to the father because the mother belonged to him. Taking the family in the larger sense of stock, it may be matriarchal, in which case children derive position, or status, from the mother ; while, after her death, the elder brother assumes authority over his brothers and sisters, and over their children (his nephews and nieces). Or it may be patriarchal, the children belonging to the race of the father, remaining under his care and protection, and inheriting his property. In fact, traces of the matriarchal family and the patriarchal clan are to be found in every quarter of the globe, and in almost every race. The evidence seems to show that the matriarchal family or clan has ordinarily preceded the patriarchal, though the proof is not complete. The important fact is that two influences have been at work in the formation of the larger family, namely, blood affiliation and property rights ; the former of the two was generally the earlier, the latter has conquered in the end by bringing the former into harmony with itself. In some interesting cases we may see the two principles at work simultaneously, as, for example, among those tribes of North American Indians which trace relationship through females, but permit the son, and not the nephew, to inherit a man's property.

## I. THE FAMILY IN THE ECONOMIC ACTIVITY OF SOCIETY

**The Early Family.**—I have outlined the results of recent study of the family in its historical development, because a knowledge of the different principles on which the family has been based, is a necessary introduction to any study of the family as a factor in society. The function of the family in the different modes of social activity has varied widely as its character has changed. In the economic world the family has always been an important factor.

The lowest stage of what may be called the family resembles the highest yet developed, in that husband and wife were partners in the effort to satisfy the economic needs. In this partnership, undoubtedly, the greater share of drudgery fell to the wife, because the weaker one could be compelled to do more; this, however, does not mean that the lot of a savage's wife is always a hard one, except in localities where it is very difficult to secure the means of subsistence. The more strenuous effort of war and hunting fell to the husband, nor were protection and the supply of game unimportant factors in the family as an economic unit. The reaction of these common economic interests upon the family unit had no great effect in making any one family permanent; still, if one such partnership was dissolved, each party found it necessary to enter into another similar one in order to live with comfort. The rise of the matriarchal family, with its introduction of social status, gave the woman another position in society besides the position of a wife, and consequently made it possible for her to satisfy economic needs in some other way than as a wife. The immediate effect of this must have been to weaken the marriage relation as an economic bond, while, at the same time, it extended its reach.

#### **Later Forms of the Family in the Economic World.**

—In the patriarchal family, the wife is the property of her husband, or at least entirely subordinate to him; the economic relation is equivalent to that of master and slave. The economic needs to be met are no longer the needs of individuals, but the needs of the family, and it is the family in the person of its head which has to meet these needs. The family is an economic unit because all its members have disappeared from the economic world except its head. This absolute dependence of the members of the family upon the father and master must have had an important effect in making the family a true and stable unity, as viewed from other stand-points. The patriarchate was the

beginning and the foundation of stable society. The next step in the history of the family was taken when the wife secured a more independent position. As the power of the husband and father decreased, the unity, and in like manner the economic function, of the family came to rest on a new basis. Again it became a sort of partnership in which each party possessed certain rights and performed certain functions; again it became a social aggregate, and something more than a man and his goods. The modern family is a complex unity in the economic world; the husband is the breadwinner, the wife is supposed to make the home, and the presence of children strengthens this complex unity by emphasizing the difference between the work of father and mother, and by increasing the stimulus to the work of each.

**The Economic Future of the Family.**—It is impossible to prophesy the future of the family in the economic world. Large changes are going on at the present time, as the result of the opening of many new fields of economic activity to female labor. This movement, begun in part by philanthropists in order to enable women dependent on their own labor to support themselves, has been hastened rapidly by the low price of female labor, until to-day women are employed in almost every form of production suited to their capacity. Naturally the men who have been engaged in these forms of production, feel the result of this influx of new labor; some are displaced by women, all feel the effect of competition with persons ready to accept lower wages. The husband no longer receives sufficient wages to support his family, so that his wife is obliged to go into the factory with him; in hard times, unless his labor is really superior to his wife's, he may be turned off before she is, and the husband is supported by his wife. The evil effects of such a change are, of course, exaggerated during the transition period; but after all due allowance has been made for this fact, there can be no doubt that the change now going on is likely to have a most deleterious

effect on family life. The necessity that the family be an economic unity is being destroyed; and whatever attacks the economic life of the family is sapping its foundation. This is a far more important problem in regard to the family than any laxness of the divorce laws.<sup>1</sup>

## II. THE FAMILY AND THE "SOCIAL" ACTIVITY OF SOCIETY

As for the distinctly social life of society, the family is the only fixed, stable unit that is here developed. The matriarchal family taught men that the child never entirely lost his connection with the mother who bore him. Lines were fixed, determining to a certain extent the course of social life; blood-relationship became, and has ever remained, the basis of social relations. With the introduction of the patriarchal family the whole face of society was changed. The larger family now had a tendency to become self-sufficient, if not exclusive; social position was determined both by birth and by economic conditions; social relations arose among those of the same social status. The change produced in distinctly social relations by the development of the modern family, may be seen by comparing society in a Christian country with society in a Mohammedan country to-day. Woman has been emancipated from the position of a chattel, society centres in her parlor, and the reciprocal courteous relations of husband and wife are the signal for similar relations among men and women generally. But no proof is needed to show that the character of society is determined by the character of the family.

<sup>1</sup> De Lestrade, *Éléments de la sociologie*, p. 75 *sqq.*, has pointed out some of the evils which have followed the opening to women of new spheres of economic activity. He claims that it has attracted many away from a natural family life, instead of providing means of securing an honorable livelihood to those who could not otherwise provide for themselves.

## III. THE FAMILY AND THE PSYCHICAL LIFE OF SOCIETY

1. **Intellectual.**—Thirdly, the family unit has performed an important function in the psychical life of society. The family was the first school. It was true in the earlier stages of society as it is to-day, that a man and woman unite two mental worlds in one; the horizon of each is widened to include what that of the other has included, the desires and needs of each become the desires and needs of both. Every such union enlarges the mental vision of each party, and more than this, it increases the power of the stimuli to intellectual activity. The value of the family in stimulating the mind has always depended on the coördinate association of husband and wife, and in the absence of this the family may even be a hindrance to intellectual development; it may have satisfied the need of companionship without stimulating the need of intellectual companionship. Farther, the intellectual life of society depends on the family for the transmission of intellectual acquirements, and especially of intellectual interests. The school proper is a very modern institution; the child of the savage receives the language and the lore of his tribe in the family. The patriarchal family came to include the schoolmaster as a frequent appendage. Even the present elaborate school-system accomplishes but little except where it supplements the intellectual life of the home. There is an intellectual heredity, which is far more important than the transmission of mere knowledge in the home, as it is more important than any bodily heredity. The child shares the intellectual life of the home, his mind unfolds and is quickened into activity by its share in that life. Modes of thought peculiar to the father or mother reappear in the child; but, without doubt, the most valuable part of this mental inheritance is the intellectual needs, the love of truth, and the enjoyment of intellectual intercourse; occasionally these may be kindled by later association, properly they are

the product of the home. The family is the basis of intellectual progress.

**2. The Family Trains the Æsthetic Tastes.** — There is no school to be compared with the family for the development of æsthetic taste and the appreciation of the beautiful. The child who is not taught at home to sympathize with the very moods of Nature, and to enjoy the beautiful in his environment, will probably go through life with eyes closed to half the world about him. Few teachers, except the parents, can develop the beginnings of literary taste in the child; the “innate” love of music, and love of beautiful form and color, usually products of the home life. The very relation of husband and wife tends to quicken the æsthetic sense. Outside the home, men are rubbing against each other, and every fibre of their nature is called into play in the bitter struggle for existence. The family is a charmed circle, shielded from the outside world; here, if anywhere, in an atmosphere of sympathy and encouragement, the dormant love of beauty is quickened into life. The man whose days are spent in severe manual labor marries a factory girl; neither of them had lived any life other than the long days of work, and an occasional evening of rude jollity. And yet the new home shows the presence of a spirit foreign to the earlier life of either; an effort for beauty, oftentimes crude enough, is apparent in all its arrangements; the common love is the beginning of a higher life.

**3. The Family Develops the Moral Life of the Parents.** —The most important social function of the family concerns the moral life of the community. The new relations of the family tend to develop the moral personality of husband and wife, father and mother. In the first place, a new sense of responsibility is developed. The single man, or, indeed, the single woman, may forget to-morrow; however rashly they act, they alone suffer the consequences; why should one's rooms be tidy, and one's wages be saved? All this is changed by marriage, for each party has his

sphere, and is responsible for two persons in that sphere. He cannot be careless of another's welfare, as he might be careless of his own. This is even more true as children come into the home. The husband and wife can suffer together, whether to attain some desired end, or to expiate some carelessness or sin ; but the responsibility for helpless children is the strongest motive to use the opportunities of life earnestly and wisely. Secondly, the family relationship trains the parents in the moral power of self-sacrifice. Husband and wife live for each other, but as parents they learn more truly the joy of serving those they love. Personal comfort sacrificed both in direct care of the child, and in providing for its present and future happiness ; and in this sacrifice new and higher joy is found. The moral personality may be developed by the child, even after the man has been hardened to all other influences. Finally, as has already been suggested, the ideal in life is kept alive by the family relations. Here one's sense of the dignity of life, and the dignity of virtue, is quickened ; men learn anew the sacredness of duty, the absolute worth of honor and of truth. The typical union means a union of the highest, truest life, which can never be shared except in the family, for here alone can it receive perfect sympathy. No man is so strong, morally, that he is not aided in his purpose of right by a wife's approval ; no man is so degraded as not to feel the power of love.

**Moral Personality of the Child Developed in the Family.**—The child owes his moral nature, his conscience, and the beginnings of character, to the family life. The family is a moral unit ; the moral life of the whole, as determined by the parents, is reflected in the moral life of each member. The virtues prized by the parents, the rules of action which they lay down for themselves, the ideals which ennoble their lives and give them meaning, these are the influences which mould the moral life of the child. The more completely this ideal of moral solidarity

of the family is realized, the better it fulfils its mission. Such moral solidarity does not at all mean that complete subjection of the family to one iron will, which is sometimes seen. Unless the subordination of children to parents is such a social union as to develop to the fullest extent the moral personality of each one concerned, it entirely fails of its mission. The family has been a direct hinderance to progress when the rule has been complete subjection to parents during their entire life; it has accomplished nothing when the son has been kept a child morally, until he has suddenly been dropped into the world and entirely cut off from family influences, at the age of physical maturity. It is necessary that the family be indeed a union of moral personalities, if it is to develop moral personality.

**Moral Inheritance includes Customs and Social Usages.**

—The spiritual inheritance which a child may expect to receive from his parents, includes not only intellectual training and intellectual desires, not only the distinctly moral rules and moral ideals, but also the family traditions and customs and beliefs. These customs form, as it were, the setting for morality; they are the background on which the moral rules stand out clearly; at the same time they invest morality with a certain graciousness which never pertains to a morality learned from books, or from the rude experiences of life. These social usages not only render the moral life of the family attractive, but they constitute an additional safeguard and strength for the morality which has this source.

**Moral Training in the Family *versus* Moral Training in General Society.**—Language and science may be learned in other schools; other associations may develop the æsthetic sense; moral habits and moral ideals depend in a peculiar way upon the family. Society itself trains but rudely in morals; it recognizes only gross and outward sins, it punishes harshly and unsympathetically those who go astray. The fundamental conceptions of a true self-

assertion and a generous self-sacrifice, are learned only in the family. The strong learn to respect the weaker, the weak are encouraged to develop their strength by using it, under the influence of family love. The temperament of bold assertion in one, the cunning pliancy of another who overcomes by yielding—these are what society develops to supply the absence of this early training in the family. Again, the absoluteness of duty, and the true excellence of virtue, can be learned only in the family. Only a parent can say “thou shalt,” and compel hearty obedience by the power of an overmastering love. The world says, “Honesty is the best policy,” and the virtue it demands cannot stand the strain when it no longer seems the best policy. “Nothing succeeds like success,” men say, and success blots out every sin. Finally, those more delicate excellencies, honor, sympathy, and tact, are not to be learned outside the home. They rest of necessity on love of man, they can only develop under the shadow of a parent’s love.

**Moral Inheritance the Basis of real Progress.**—The moral heritage of each generation is the true basis of progress. The son must be equipped with the best manners and morals of his parents, or he starts on a lower plane than he might. Were it not for this spiritual heredity, each generation would be obliged to start at the very beginning, and to build a society without either bricks or mortar. It were bad enough if each generation had to invent its own language, and to work out a science and a philosophy with no gain from ages that had passed. But the very basis of the progress of society is moral progress, and moral progress depends on moral heredity working through the family. Hugo, speaking of S. Dumas, who died in defence of the right, says: “Il était le produit de cette magnifique loi d’ascension qui la Revolution a déterminée, et qui veut que le fils soit plus que le père.”<sup>1</sup>

4. **The Religious Unity of the Family.**—From the

<sup>1</sup> Quoted by De Lestrade, *Éléments de la sociologie*, p. 90.

stand-point of religion, the family does the same important work that it does for the moral life. Husband and wife may help one another in other ways while holding different religious convictions, but the true unity of the family is impossible when the inmost life of each member is lived apart; even when the religious life of each expresses itself in different, apparently opposite, ways, they cannot help influencing each other's religious views, and a true family life can hardly fail to develop a religious side. In this intimate union the religious life finds its best inspiration, for the responsibilities and joys of the family open the heart to the divine life.

**Continuity and Progress of Religion depend on the Family.**—Religion also is a part of that spiritual inheritance which the child receives from his parents. At the mother's knee, children learn to know God with a more vivid sense of his presence and his love than is gained in any other way; and far away as one may wander, it is to the mother's God that he returns. The divine authority, and righteousness, and love, find their first meaning in the loving commands of a parent, and the philosopher and the theologian continue to speak of God as the Father in heaven. Sharing the religious life of the family, entering into its religious aspirations, as well as its modes of religious belief and worship, the child learns to know God for himself. Each false step is checked, each doubt is overcome in the presence of faith, each crisis resolved in higher life under the guidance of parental love. Here, again, progress is possible only when the family fulfils its duty in the development of spiritual life. Religion extends its sway over new territory, and brings new spheres of social activity under its influence, only when one generation quickens religious life in the generation that follows. The religious motive increases in strength, and enters more deeply into the lives of those who accept it, only when the child starts with the religious life of his family, and keeps this alive in new family relations.

## IV. THE FUNCTION OF THE FAMILY IN POLITICAL LIFE

I have refrained from speaking of the position of the family in the state and of its duty to the state, until after treating the preceding topic, because the political function of the family depends on its place in the psychical life of society. The family is the basis of the state, because the citizen is the product of the family. For the state in particular, as for society in general, the principle of continuity and of progress finds its strongest support in the family. Here alone do the civic sentiments and virtues find a natural soil favoring their growth; loyalty to the state and love of one's country must be developed in the home if their roots are to penetrate deeper than self-interest. The sense of civic responsibility has no genuine vigor if it waits to be called out by wrongs actually suffered from a corrupt administration. To-day public evils persist under every form of government, because men can hardly ever be made to realize their duty to the state until the burdens brought upon them become excessive in each individual case. Again, the power of self-sacrifice in behalf of one's country is developed with other forms of self-sacrifice in the family. From the parents are learned both the value of the ends which may call forth self-devotion, and that moral energy which does not hesitate at any cost when the end justifies the sacrifice. Finally, the power to act with others is best learned in the family. This must be learned elsewhere, if not in the family; but he who goes into the world without it must acquire it in the battle of life and at the cost of many severe blows.

## CHAPTER X

### THE STATE AS AN ORGAN OF SOCIAL ACTIVITY

Methods used in the Science of Politics.

- I. *Forms of the State.* 1. The Beginnings of Political Life. 2. The Tribal State. 3. The City-state of the Greeks and Romans. 4. The Feudal State. 5. The Limited Monarchy and Democracy.
- II. *Relation of Law to the State.* Early Law based on Custom and Religion—Law as Extended by the Courts in Later Times—Law-making by Legislatures—Sovereignty and the Conception of the State.
- III. *The Functions of the Modern State.* Three Forms of State Activity: 1. Diplomatic and Military Activity. 2. The State Punishes Crime, and Defends the Citizen in his Rights—Prevention of Crime. 3. The State in Relation to other Modes of Social Activity: (a) The State and Economic Activity—Direct Interference with Industry by the State. (b) The State and the Family. (c) The State and Higher Social Activities—Education—The State and Moral Life—The State and the Church. Conclusion.

**Methods Used in the Science of Politics.**—The state was the earliest form of social life to receive careful study, and it has commanded the attention of men with very different interests. By reason of the great divergence of views as to the real nature of the state, and also because discussions of this topic have ordinarily assumed a technical character, it is peculiarly difficult to give a brief introduction to the study of the state as a social organ. There is no general agreement even as to the method by which valid results may be reached. Among those who regard politics as a science, and who would study the facts of political life as they actually exist, some study the state of to-day, others the state as it has developed toward its present form. The former school, which may be called analytic, has reached

very important results, and through the writings of Bentham and Austin, has exercised a very important influence on political life, especially in England. The historical school, in all its different forms, seeks to go back of what is seen to-day, and explain present facts by showing how they arose. The writings of Sir Henry Maine have made this position familiar to English readers. Long before the careful use of these scientific methods in politics, and in a measure since their introduction, philosophy has been ready to explain the phenomena of the state. In the name of "reason," systems of natural law have been propounded, deducing the state, its authority and its form, its functions and their organs, from the nature of reasonable beings. Or again, a crude individualism has begun by postulating men without social relations, and then has introduced these relations by means of a social contract. Methods not very different from those in essential character are still used in France and Germany; philosophic systems discuss the validity of law, and outline the perfect state on the basis of natural principles discovered by reason. Apart from all question as to the truth of these results, it is the work of science to determine what the state is, not what it ought to be; and with this in view, I propose first to give an account of some typical earlier forms of the state, in order to show the principles on which the state has been based, and the functions which it has performed for society.

## I. FORMS OF THE STATE

**1. The Beginnings of Political Life.**—The earliest germ of that political life which later develops into the state, is found in the temporary union of men having some interests in common, for the purposes of defence. The only source of political cohesion was pressure from outside, and the only function of the temporary government was to defend members of the group from outside attack. The form of such a government might be a sort of oligarchy,

since it was necessarily based on respect for those whose personal prowess and skill enabled the group to meet attack successfully. Even this slight beginning of political life can hardly arise until men recognize some common interests ; frequently it is associated with the early development of clan-relationship, and utilizes these ties of blood even when it does not coincide with the clan.

**2. The Tribal State.**—The next distinct type of political organization may be termed the tribal state, the state on the basis of blood-relationship. Some type of family, in later times the patriarchal family, formed the basis of the tribal state ; this unit, held together by ties of blood and by economic ties, by a common authority and a common religion, was the stable element out of which states were constructed. Naturally, then, the state was regarded as a larger family ; common descent of all citizens from a fictitious ancestor was postulated, in order that political union might have the sacredness awarded to ties of blood ; a common religion arose, lending the sanction of another world—the world of the gods—to the duties and the ties of this world ; trade with other nations was often forbidden, that the nation might be a self-sufficient economic unit ; the king was invested with the absolute authority of a father, and with the duties of a father. The cohesion of such a state is simply the cohesion of the family on a larger scale, though in the case of the state a common religion and a common submission to authority are relatively more important than in the case of the family. Military power does not make a nation, but the authority which can enforce obedience and develop the habit of submission, pertains to the very essence of the state. Religion, especially in the form of ancestor-worship, performed a very important service, both in developing the habit of obedience, and by enforcing with supernatural sanctions all the customs of the past. Such a tribal state defended its citizens against attack from outside ; its military power guaranteed safety from human enemies, and by its religious ceremonies

it retained the favor of the gods. To its internal functions no exact limit can be set. Theoretically it might exercise the authority of a father over the lives and possessions of its subjects ; practically the citizen has no protection against state-interference except the habit of non-interference that must characterize any state which seeks permanence by retaining the loyalty of its subjects. The tribal state may be governed by a king or by some sort of council, but whatever the form of government, the state is largely built up on the lines of the family, its authority can be compared to the authority of the father, and its functions are the functions of a larger family.

**3. The City-state of the Greeks and Romans.**—The city-state of the Greeks and Romans is the outcome of this early tribal state, and shows the form which it assumes on a high plane of civilization. The cohesion of the state is due to bonds of the same character as before. Life in the same locality does, indeed, accomplish more to unite men as society becomes more stable, but the ties of blood are still strong, and the fiction of relationship often lends its sanction to ties that had another origin ; moreover, a state religion is still a bond of political union. The true guarantee of permanence, the real unity of the state, consists in the highly developed life of the people. No longer is this limited to a few customs which differ slightly from the customs of a neighboring tribe ; all that makes life worth living finds its expression in the common life and culture of the group. The city-state performs the functions of defence against attack of man, and against the wrath of the divinities ; while, as an enlarged family, it may direct all the common life of its members. The functions of the developed city-state differ from those of the earlier tribe generally in the greater regularity and permanence which characterize them, and the most important change in detail is the administration of justice which the civilized state is gradually assuming. Whatever be the particular form of government, whether it be despotic,

or aristocratic, or democratic in the old sense of the word, it is really government by a class; and it depends on a certain balance of power between the different classes in society. King or council governs all classes through the class which is strongest, or which is in the best position to control.

**4. The Feudal State.**—The third type of state to become prominent in the political development of the Indo-European races was the feudal monarchy. Here personal allegiance takes precedence over the other factors which bind society together, and an elaborate system of personal rights and duties constitutes the very framework of the state. It is no longer a larger family; it is rather an army, and government is a military institution, though blood and locality partly determine the composition of the army. The functions of the feudal state are, first, defence—each chieftain, small or great, defends his subordinates from attack, and each dependent can be summoned to aid his superior in battle; secondly, the administration of justice—each chieftain enforces law and custom among his dependents, and brings his own wrongs before his superior for judgment; thirdly, the direction of affairs, many of which to-day would be called private, since economic production and distribution are conducted largely along feudal lines, and economic activity is, in large measure, controlled from above. The form of the feudal state is peculiar, in that it has the semblance of being organized from above. According to this ideal the king owns the whole state, nobles receive their fiefs at his hand, and distribute their lands among their subordinates, while themselves retaining the titles. Formerly men lived for the state; now they are called on to live for the king in whom the state has been concentrated. Moreover, consent to feudal authority is no longer due to inbred custom alone; it certainly is not the free consent of reason; it is the consent of need, for the individual absolutely cannot live except in the place where he finds himself.

**5. The Limited Monarchy and Democracy.**—The feudal type of government was never realized long at a time, but the ideas which it engendered have borne fruit in the aristocratic monarchies which have succeeded the old feudal states. Patriotism has often meant loyalty to the king rather than loyalty to the state; monarchs still continued to treat the state as their private property, and every concession and limitation of their authority has been secured with difficulty. Gradually the cohesion of the state has come to depend more and more on the highly developed and differentiated common life, of which it is the political expression; many and various ties bind men together, and patriotism is devotion to the state which protects them in the interests which make up their very life. The question as to the proper functions of government to-day requires separate consideration; but it is easy to see that trade has been throwing off the yoke of political control, that government has but little to do with social relations, and that the intellectual, artistic, and religious life of every people is rapidly freeing itself from political influence and support. To-day, as in earlier times, governments have various forms, depending largely on their historic precedents; but the principle on which the state rests is practically the same in all. The sovereignty of the people is the real governing power, different as may be the form of its expression. The king is the minister of the people, not a superior being clothed with divine rights; and Parliament is forced to register the will of the people, or its character is changed until it does. The will of the people is expressed by means of representatives elected for the purpose, and responsible to the body which has delegated power to them. By this device the government is brought into closest relations with a large body of people; it is theoretically possible for the people to choose men far wiser than the average to administer affairs of state, and, at the same time, each individual is encouraged to defend his own liberty.

## II. RELATION OF LAW TO THE STATE

Having thus outlined some of the typical forms of the early state, we may now ask, What is the essential nature of the state? With reference to this question, we get much light from the study of law, for law is the organized body of rules which are enforced by the state. So intimate is the connection between the nature of a body of laws and the nature of the state which enforces these laws, that it is possible to argue safely from the one to the other.

**Early Law based on Custom and Religion.**—In earliest times, the basis of law is to be found in custom and religion. To make a law would be an act as impossible as it was sacrilegious. The ideas of justice which the state enforces are to be found in an elaborate body of custom, to which absolute validity is assigned. Priests often have the duty of preserving the knowledge of this custom, and the rules of procedure which it enjoins are frequently religious in their nature; but the priest has no recognized power to make any change in them. The only principle of growth which we can discover lies in the power of king (or judiciary body) to decide new cases, provided he follows the established rules of procedure. By means of these special cases the range of customary law might be widely extended, and it was so extended when the people felt the need of a more complete law. Law rested on the fact that a people assigned authority to certain principles of action.

**Law as Extended by the Courts in Later Times.**—With the development of higher stages of civilization, this reverence for custom did not entirely disappear, although the need of a more extended law was constantly felt. Until comparatively modern times, this need was largely met by the courts. The adjudication of particular cases continued to be the source of large additions to what was generally recognized as law or "right," and this process gradually assumed two forms. First, cases decided as coming under

previously existing law frequently extended the scope of that law. And, secondly, new cases, of which the court was ready to take cognizance, might lead to a wide extension of the actual law of a people. The decisions of the Roman Prætor, together with the edicts announcing the principles which would govern these decisions, and the method of the English Courts of Chancery, are the most striking examples of this kind of law-making. In both these cases, a law is evidently a rule which the people recognize as binding, because their courts enforce it.

**Law-making by Legislatures.**—In the modern state, almost all law has its source in legislative bodies formed for the purpose of pronouncing laws. Such bodies have the power to make laws which judge and lawyer are bound to recognize as valid (except where a constitution is contravened). The ease with which a law seems to be “made” to-day, gives rise to the idea that law may really be manufactured without limit; but a deeper study shows that the real foundation of law is still the will of the people. Unless public opinion stands behind a law, transgressors will not be brought before the courts, and even the courts themselves will be lax to administer the law. And when the will of the people demands a new law to express a definitely formed opinion, no body of legislators can permanently stand in its way. The legislator is really the formulator of law, not its maker; legal sovereignty, the power to make valid laws, rests with the legislature; but the real sovereignty is the will of the people, and no law continues to be effective unless the people recognize it as law, and consent to obey it as law. It is necessary to remember, however, that the “will of the people” does not mean a momentary majority, and perhaps not a majority at all; nor is it any capricious wish. Traditions of the past are a most potent factor in determining it; temperament and education help to mould it; the attitude of neighboring states and the desire for the future prosperity of one’s own state furnish additional motives; and the people which

has no common life sufficiently developed to produce a common will, can have no laws, for there is no basis for the state.

**Sovereignty and the Conception of the State.**—Modern political science finds the real basis of the state's authority, as well as the basis of the authority of law, in the will of the people. When a people consent to obey the state, the state has thereby the right to exercise authority; and, inasmuch as the real will of the people is formed gradually, and changes but slowly, the state has a comparatively stable foundation. The people, not a majority within a given territory, but the people as a definite society, are the basis of the state; the state is simply the organ of society, to accomplish certain ends, and it is distinguished from other social organs by the fact that it is the ultimate embodiment of social authority or sovereignty. The state may be defined as a society exercising authority over its members; compared with the authority of other social institutions, the authority of the state is final; and, for this reason, two states, as states, cannot exist in the same territory.

### III. THE FUNCTIONS OF THE MODERN STATE

The question as to the proper functions of the state and the limits to state activity, has been much discussed during the past century. The cry for liberty has been a potent force in limiting the sphere of government; believers in the *commune* as the political unit, or in "state's rights," have resisted any increase of activity on the part of the central government; individualists in philosophy and the classical school of political economists have resented any interference by the state in the sphere of industry. But, on the other hand, the less-favored classes find some nations ready to lend them special aid, and they ask this aid of all; legislatures seem to be omnipotent, so they are asked to make the world over; all realize the solidarity of

the nation as never before, and if all have a common interest, why should not the government seek to further that interest in any way at its command? The commonly accepted idea of the state affords a general principle which throws light on this question, although it is not sufficient in itself to decide particular cases. The state, as we have seen, is a society exercising authority over its members, and having final authority within a given territory. It is evident that forms of activity which demand the final power of the whole society for their realization, or, again, which require general rules absolutely enforced on all classes alike, come within the proper sphere of the state. On the other hand, an almost universal experience has shown that where freedom of initiative is required, political machinery is likely to stand in the way of success.

**Forms of State Activity.**—The forms of state activity undertaken by the modern European state, may be classified under three heads: (*a*) activity with reference to other states, guaranteeing protection from external attack or interference; (*b*) activity with reference to its citizens, guaranteeing them security and liberty; and (*c*) modification of other forms of social activity. Under the last heading comes the interference of the state in the sphere of economic life and in the sphere of intellectual life, the propriety of which is being so generally discussed to-day.<sup>1</sup>

**1. Diplomatic and Military Activity.**—The first necessity of a state is the power to assert a place for itself among its neighbors. The case of some of the smaller European states (*e.g.*, Belgium) shows that this power is not necessarily military force alone, yet ordinarily the state must be able to defend its territory by military means. In this manner, the nation is isolated from other nations so

<sup>1</sup> This classification corresponds roughly with the three ends of State activity proposed by von Holtzendorff (*Principien der Politik*, chapters vii., ix., and x.), namely, *Machtzweck*, *Rechtzweck*, and *gesellschaftliche Culturzweck*, although the definition of each differs radically from his. It seems to me quite unnecessary to discuss the ultimate ends of the State proposed by Bluntschli and Burgess.

far as it may think desirable, and its peculiar institutions have an opportunity for free development. The first condition of peace and security is this protection from external attack. The sphere of convention between different states has been widely extended in modern times, so that a state to-day requires a wise diplomatic service in addition to mere military power, if it is to maintain its position with reference to other states. By this means states enter into union with each other for purposes of common advantage, and each state finds larger sphere for the exercise of its own individuality. So long as different states preserve their distinct national life, the twofold form of activity will continue; and in spite of all that is justly urged against the great armaments of Europe, there can be no question that the necessity of maintaining its military position does very much to develop the resources and the common life of each nation.

**2. The State Punishes Crimes and Defends the Citizen in his Rights.**—The second fundamental form of political activity concerns the relation of citizens to each other and to the state, and its aim is to guarantee security and liberty to each citizen and to protect the state from the internal danger of vice and crime. Evidently the sphere of law is twofold; acts which endanger the common life of the state are punished by the state, and also the individual is protected in the exercise of certain rights defined by the state. The punishment of crime clearly belongs to the state, for it requires the use of an authority which reaches to all parts of society. It is true that when the state has not protected men from crime, they have devised a way to protect themselves; the system of family blood-vengeance afforded a rude means of protecting life in early times, and the trade societies of Flanders and Italy are an example of the same ends more perfectly secured in mediæval periods of anarchy. But the punishment of crime is not likely to pass from the hands of the developed state, both because the state alone is really fitted to deal with crime, and be-

cause crime endangers the common life which finds expression in the state. Accordingly we find that the state not only provides machinery for determining justice and punishing the convicted criminal, but it also establishes an elaborate police system to secure the criminal, and in the person of its own attorneys it conducts the case against him. This has proved to be the only effective means of dealing with those who utterly refuse to regard the most fundamental rules of common life.

The attempt has been made to limit the functions of the state to this single form of activity, viz., care for internal safety, but this principle does not furnish the simple means desired for setting a right limit to governmental activity. Care for internal safety demands something more than the punishment of offences already committed; many evils may be prevented by wise precautions, and more still would be prevented if the state could develop the moral character of its citizens to a higher stage.<sup>1</sup> But the modern state only finds it wise to interfere with the moral training of individuals, in the case of young persons who have already been convicted of crime; and although it regulates such matters as the manufacture and use of dynamite, the extension of police supervision is not unreasonably objected to by opponents of a paternal government.

Besides punishing crime, the modern state protects its citizens in the exercise of certain well-defined rights. It enforces contracts when properly made; it affords damages for accidents and for other injuries; it permits the formation of corporate bodies for business purposes, and defines the rights and duties of these societies; it may even lend its stamp as a guarantee that goods come up to a particular standard of excellence, thus protecting individuals against fraud. All these various forms of activity may be carried on by private associations, and some of them seem to be passing out of the hands of the government; but the government has an advantage over other forms of association,

<sup>1</sup> W. v Humboldt, *Gesam. Werke*, VII. 50 sqq.

in that it can establish universally binding rules, and can act through courts which command universal respect. In this manner the citizen finds through the state security of life and property, and liberty in the exercise of his rights. To some schools of thought, government has seemed to stand in the way of the liberty proper to man, but it has become very clear that true liberty is a different thing from the right to act without reference to any other man. A government in process of formation may seem to curtail individual freedom; but the right to be protected from the incursions of other states, the right to be protected against crime and against unjust interference on the part of any man, the right to all the economic, social, and intellectual privileges of civilized society—these are *civil* rights guaranteed by the state. And as the sovereign state passes more and more into the hands of the people, as the individual has been secured against interference in wider and wider spheres of action, the history of developing government is the history of growing liberty.

**3. The State in Relation to other Modes of Social Activity.**—Even if the state does not go beyond the most limited sphere of activity, it renders very important service to all the other modes of social activity. But the modern state does, as a matter of fact, interfere directly to favor industry and even to carry on some forms of industry; the separation of state and church is by no means universal; and the control of education has passed, to a considerable degree, into the hands of the state. If the present tendency toward socialistic measures should continue, direct care for the welfare of each citizen would come to be the most important sphere of state-activity.

(a) **The State and Economic Activity.**—The economic life of society is fundamental, and common political life is not likely to arise except where common economic interests bind men together (Chap. VII., p. 140). But it is equally clear that industry demands the protection of the state. Peace and security are the necessary conditions of industrial

development; and if the state does not provide these, industrial associations must perform as best they may the proper function of the state, or their existence is constantly threatened. Gradually the state has assumed the important function of defining and giving fixity to some economic institutions. The state has never been an inventor of new economic forms, but when such forms or institutions have arisen, it has often preserved them and given them such definiteness that it could protect persons in the use of them. Thus the forms of contract grew up in economic intercourse, but the state has defined a legal contract, and when the contracting parties have complied with the law, it undertakes to enforce the contract. Money was used long before the state coined gold or stamped paper, but it was soon found convenient to have the degree of fineness and the weight of a piece of gold authoritatively determined, and this the state undertook to do at an early date. In similar manner the state has benefited commerce by giving a definiteness and sanction to banking institutions; in fact, it guards the rights of individual persons and the welfare of the community, by defining the manner in which any sort of corporation may be formed, and the legal rights and responsibilities of such a corporation. The state has invented none of these things, but it has aided economic activity by giving definite authoritative form to various economic institutions.

**Direct Interference with Industry by the State.**—It is an open question how far the state should directly interfere with economic matters. Quite generally it assumes the power to protect inventors by granting them patents; and, in many countries, it uses its power of taxation to aid some forms of industry, and even to hinder other forms, which it regards as injurious. All modern states undertake the transmission of mail matter, and keep important roadways in order; many states go farther, and control the railways and the telegraph. "Public works" important for the general welfare, such as the dredging of harbors,

surveys of land, and charts of the shore, are generally undertaken by the state. And, to-day the state is asked to go farther still, and to become an employer of labor in numerous forms of industry. It is generally agreed that the state is society as exercising final authority, and making rules which bind all classes alike. The limits of direct state activity in the economic sphere will be determined in the light of this principle; where final authority and universal rules are more advantageous than freedom of individual initiative, the state should assume control. Evidently the line will be drawn differently in different localities, and in different ages.

(b) **The State and the Family.**—In its relation to social institutions, particularly to the family, the state has much the same office as in relation to economic institutions. The state has grown up along with the family, and has always recognized its validity. In modern times, by making marriage a civil as well as a religious institution, it has given the family a definite *status* before the law. At the same time, it has defined the legal rights and duties of the members of the family, and thus has helped to make the relations in the family more definite and more permanent. At times the state has given its sanction to other social institutions, and, in a measure, it still recognizes rank in some countries. These institutions are not invented by the state, but the state may define them and give them permanent form.

(c) **The State and Higher Social Activities. Education.**—The relation of the state to the intellectual life of society has varied greatly at different times. Undoubtedly the state derives some advantage from uniformity of language, opinion, and belief; and in the effort to secure this, the liberty of the press has been curtailed, universities have been brought under a dominant central influence, as in France, and schools have been made instruments for securing intellectual uniformity, as in Alsace-Lorraine. The obstacles which any forcible effort for uniformity places in

the way of a vigorous and growing intellectual life are so effective, that modern governments have been less and less inclined to interfere with the free expression of thought. Even the universities under direct government control have secured a large degree of freedom. Along with this increase in intellectual liberty, another force has been at work impelling governments to bring the matter of education under more direct supervision. The modern state is democratic, and even as a matter of self-defence it is really compelled to educate its voters. In spite of many disadvantages, compulsory education under the control of the state has become quite general, and children are compelled to do a certain amount of school-work.

**The State and Moral Life.**—It is clear again that it is of the utmost importance to the modern state to have citizens of strong moral character. The presence of the morally weak and the morally depraved is a constant menace to the state's existence. But moral character is not to be created by force, and any interference with morals on the part of authority is likely to sap the springs of character without accomplishing any but a temporary success. The moral and religious state of a Savonarola or a Calvin shows the utter futility of the effort to *make* men moral. The modern state has found it possible to remove some temptations to vice by forbidding the circulation of impure literature, by limiting the sale of intoxicating liquors, and (in America) by forbidding organizations which encourage gambling. More than this can hardly be accomplished by the use of authority, *i. e.*, by the state.

**The State and the Church.**—The question of the relation of state and church has never been settled. Ever since the political and the religious organizations of society became distinct in form, they have retained a close connection, and in European countries this connection still continues. It is urged in its favor that if religious beliefs are true, they are a most important concern of the state, that the nation should fittingly appear before God in a national

church, that the religious side of life cannot be so separated from the rest of life as to remove it entirely from the proper sphere of government. On the other hand it is evident that the intervention of the state in matters of religion tends to make religion formal and perfunctory, even when it does not directly interfere with religious liberty. The age when state and church were one is gone by, but the question as to the exact relation between the two is answered differently under different conditions.

**Conclusion.**—The question as to the proper limits of government activity is one of the most important questions of the day. It is the old question as to the proper extent of *external* authority which was at stake in the formation of the Protestant church, and in the war for the independence of the American colonies, as in so many contests before and since. The problem belongs to practical politics, but it receives more definite form from the general consent as to what the state is, viz., the organ of final authority, controlling all individuals within its territory.

## CHAPTER XI

### THE INDIVIDUAL FROM THE STAND-POINT OF SOCIOLOGY

Welfare of the Individual *vs.* the Welfare of the Social Group—This Conflict in the Different Spheres of Social Life—The Teaching of History as to this Antithesis—The Group as a Social Unit—The Place of the Individual in Society—The Antithesis between the Individual and the Group is False—Psychical Power involves Dependence on Society—Institutions as a Source of Power—Education proceeds on this Principle—Egoism and Altruism. The Person is the Concrete Expression of the Group-life—The Element of Individuality in Persons—Individuality of Persons and Complexity of Society—Individuality of Environment—The Individual Personality—The Individual and Social Progress.

**Welfare of the Individual *versus* the Welfare of the Social Group.**—Theories of social organization have taken very different views of the units out of which society is composed, and these differences have been reflected in the opinions of social reformers. Roughly speaking, theoretical and practical thinkers are divided into two opposing camps on the question whether the individual or the social group is the true unit for the sake of which society exists. This contest between the individualists and the socialists, as they would term themselves, is not limited to economic and political relations, but runs through the whole field of social activities. Moreover, the problem is twofold, although the two parts are intimately related. On the one hand is the question of fact, whether from the scientific stand-point the individual or the group is the bearer of culture and the true unit of society; on the other hand is the question of worth, whether the individual or the social whole has ultimate value, and which should be developed

at the expense of the other in case the two come into conflict.

**This Conflict in the Different Spheres of Social Life.—**

This conflict has received most emphasis in the economic sphere. On the one hand is the individualism of the orthodox political economy; the unit of economic activity is the economic man, ruled by his desire for wealth; the competition of the middle of the nineteenth century is exalted into a universal law, and the bitter struggle of man with his neighbor is made the basis of all that is good and all that is just; for these thinkers the doctrine of *laissez-faire* represents not only a present truth but also an ideal, for a strong society depends on the strong citizens that are said to be developed under this *régime*.—The other party has never been entirely unheard. In business no man lives to himself, but prosperity or adversity overspreads a whole nation at once; confidence is at the basis of successful business activity; employer and laborer suffer together; practically, the claim is made, the social group should intervene to protect and encourage industry, for the interest of the part is in the advancement of the whole. The theory of economic socialism is that the individual is, and should be, a fraction of the whole.—The same conflict appears in the sphere of "social" life in the narrower sense of the term. There is the comfortable belief in a sort of natural equilibrium, such that each man eventually finds his true associates; the belief that men are very different, and that the differences in society are but the differences which necessarily exist between the men who enter into society; the belief that the social world *is*, and that any attempt to make it better by wholesale, will be fraught with grave mischief. In opposition to this is the cry of the sentimental reformer that one class is "grinding another beneath its iron heel," that the "rich" will suffer unless they condescend to help the "poor," and that the "poor" have an inalienable right to the good things of this life. With reference to the intellectual and æsthetic life, there

is the call for the "education of the masses," and over against it the belief that culture is won, not imparted, that the desire for knowledge must be awakened in the individual before one can speak of educating him. In the moral and religious life there is the ideal of virtue and of holiness which applies to the individual; the appeal is directed to the individual to choose a right course of action, and to develop a right character in himself. There is also the ideal of self-sacrifice and love which bids men forget themselves in the service of others.—Finally, the same antithesis appears in the state. Individualism says, Rights belong to those who can win them; property, political rights, political power, fall into the hands of those best fitted to use them; the state does exist for the man, and ought to. And there is a socialistic doctrine of fraternity and equality which claims to deal with classes rather than with men. Here, as elsewhere, the class is reached by neglecting the differences of individuals; if individuals are by nature alike and equal, it should be the function of the state to realize this likeness and equality in the perverted modern world.

**The Teaching of History as to this Antithesis.**—Adherents of both these views are accustomed to appeal to history in support of their opinions. Christian Socialists and Socialists of the chair in Germany refer to early Hebrew institutions as embodying their ideas; de Laveleye shows how far we have strayed from the type of life found in the early Aryan village communities. The individualist responds by quoting Sir Henry Maine's law, "from status to contract," with all the evidence that can be brought forward in its favor. But if one is ready to lay aside the spectacles of either party, he sees two truths standing out with considerable clearness. (1) The earliest achievement of the human race was the development of social groups. By the development of race ties, of common interests, and of centres of authority, men who had been separate animals before became united in human groups. The physical sub-

jugation of the individual to the power of the group was, of course, most apparent when the patriarchal family, the despotic state, and the despotic religious community seemed to obliterate the separateness of men. Yet the process of the subordination of man's physical self to the life of the community did not stop here; the great eastern despotisms are not the truest examples of such subordination. In the course of ages the character of this relation has indeed changed—it has been incorporated more and more in the inner life of the individual; but men have never before been so dependent on society as they are to-day. (2) History is, at the same time, the record of the growing importance of the members of society, until to-day all the stress is laid on the individual as the intellectual and the moral element of society. This process has been far from regular, but the result has grown clearer with each advance in civilization. Beyond a question, the individual's psychical life has never had such stimulus to broad and full development as it has to-day. These two truths explain the ease with which both the so-called individualist and the socialist find in history the proof that their respective opinions are correct. At the same time they show that the antithesis between the two positions is falsely drawn.

**The Group as a Social Unit.**—The preceding chapters have indicated with clearness, I hope, the solution of this question from the stand-point of a scientific sociology. Culture, civilization, are primarily the property of the social group. Language and science develop with the social mind, and exist in this mind. Political life is the life of the nation; the moral code—and the enforcement of it—is a possession of the social mind and a mode of its activity. Nor are the differences of psychical life essentially differences of individuals, but rather differences characterizing one class and another. When changes occur—when two types of culture are thrown in contact with each other, and gradually fused into a new whole

—it is no mere figure of speech which expresses this as the contact of two groups ; it is no conflict between individuals, nor is the result a change in what is peculiar to the individual, but only in the life of the group in which they are included. Sociology teaches us that the group is the true unit of social life.

**The Place of the Individual in Society.**—This account of the position of the social group is but the half of what sociology has to say upon this question. Sociology does not annihilate the individual ; rather it shows that individuality is something more than physical separateness. As a member of society, man develops a psychological personality, and the science of society has to study man as a person. The great difficulty with socialism, as ordinarily stated, and, indeed, with the “social organism” theory, is that it neglects this most important side of sociology. There is a social mind, but the social brain is a figment of the imagination ; the individual is the centre of consciousness, and the centre of will. The individual’s needs and emotions are the stimuli to social activity ; through his mind the social ideals become active and effective ; the norms of ethics and of logic are social rules for the *man’s* thought and action. The psychological life of the class is not developed apart from the psychological life of the members of the class—it is the same thing regarded from two stand-points. There can be no strong and well-developed society made up of weak men, for the society is nothing but the psychological life of its members. The individual is the centre of *activity* : this means that all modifications of social activity are operative through the individual, that progress is due to influences acting on the individual, and retrogression commences as soon as the individual ceases to feel the influence of higher motives and impulses. Even when a reform pretends to deal with whole classes at a time, it only accomplishes this by bringing influences to bear at once on all the particular members of these classes. The individual is the centre of *consciousness* : this means that all intellectual advance takes

place through personal leaders. Truth is a social possession, but new truth comes through individual leaders of thought; ideals affect all the members of a class, but it is the moral or religious leader who has the insight to see the needs of his age, and the ability to point out the way in which those needs are to be met.

**The Antithesis between the Individual and the Group is False.**—The study of sociology, as well as the study of history, suggests that the antithesis between the group and the individual is but partial, and has been falsely stated. Both lines of study make it evident that personality and dependence on a personal environment develop together *pari passu* — in other words, that they are one and the same thing essentially. The individualistic and the socialistic theories are alike false, because both are built upon a false antithesis. The great truth which needs to be emphasized to-day is the fact that personality is the product of social life, and cannot exist apart from social life; the fact that each advance in psychical life and psychical power means a new dependence on one's personal environment. Life as a *man*, the very power to be an individual person, lies in the relation to this personal environment. As to the question of fact, both the individual and the group are social units, although the relations of each to the larger whole are so different that they are not in any sense homogeneous units. As to the question of worth, neither group nor individual has worth by itself (strictly speaking, neither exists by itself); it is the individual in society, the person or the group as the bearer of this psychical life, to which this concept of worth may properly be applied.<sup>1</sup>

**Psychical Power involves Dependence on Society.**—The thought that psychical power lies in a developed psychical relationship with a personal environment, demands some further illustration. Perhaps the most familiar example of this truth is to be found in the relation of the individual

<sup>1</sup> Cf. T. H. Green, *Prolegomena to Ethics*, pp. 200, 351.

to the state. The despot may demand anything of his subject (in theory); in practice he receives little from him, and, at most, all he does for the subject is to protect him from the incursions of other nations. The development of the state has been a story of increasing dependence of the citizen on the state for protection of life and of those habits of life which one may choose without interfering with the rights of others, for the protection of property and of all the other institutions of modern life. This growing dependence has meant a liberty constantly larger, for political liberty is not typified by the hermit's life, but rather by the power to act out one's purposes in concert with others. Liberty under authority is a truism, but its truth has too often been forgotten. True political freedom is the highest type of political dependence.

**Institutions as a Source of Power.**—In a similar way every institution means a new dependence of the individual upon society. Take for example the institution of money. A cannot expect B to accept the proper amount of his wheat in payment for the manufactured goods that A needs; B wants tokens with stamps of the Government on them to the effect that they are legal tender, and nothing else. Both A and B depend on society for a particular medium of exchange. Further, there are special institutions which deal in this special ware of money; and those who desire can depend on the banks to handle much of this commodity in their behalf. Connected with them are still other institutions on which the individual is obliged to depend, to the rules of which he is obliged to conform, if he is to engage in business in modern society. Each of these complex institutions arose and exists to-day, because the individual has found he has greater power when he depends on them. He depends on society for money, it may increase the range of business he controls a thousandfold; he learns to depend on the banks, space no longer hinders him from paying for goods in Berlin as easily as in New York, and time no longer obliges him to wait till he can

himself accumulate capital for his increasing business. The individual's power increases as he learns to depend more completely on more perfect institutions.

This same principle, that power lies in a true subjection to society, lies at the basis of much of what we call education. The school brings the child's mind into sympathy with the civilization of his age, and subordinates it to the norms of this civilization. In language, in natural science, in mathematics, it bids the child accept the habits and views of this our twentieth century, because it is through this living connection with the psychical world in which he lives that he may expect power, and by no other course can he expect it. Psychical life is developed by developing dependence on the psychical environment.

**Egoism and Altruism.**—The same error, which has appeared in the antithesis of the individual and the group, appears also in the antithesis between egoism and altruism, which recent writers have emphasized until it is false. A dilemma is proposed: Men are seeking either their own good, or the good of someone else, and on this basis men are parcelled out more or less fortuitously into two opposing groups. That this is pure abstraction is evident at a glance, for no one can entirely forget other people in his so-called egoism, nor does the altruist live without the least reference to himself. In business, in political or in intellectual pursuits, men are living the life that is consonant with their nature and environment. They are governed, not by simple self-interest (if there be any such thing), but by the varied interests which have entered into their lives through a contact with various types of society. There is a sort of egoism in the child or in the savage who yields to each animal passion, because as yet no real humanity has been developed in him; and that man may be called an egoist, whose semi-human desires have been made keener and stronger by contact with social life, while he himself has not taken up that truly human life into himself. In like manner there is an altruism of the child or savage—or

animal—whose action is guided by a social impulse to aid his companion as easily as by appetite or passion ; and there is an altruism of the man whose sympathy with others has been developed in society rather at the expense of the full personality which is his right and his duty as a member of society. Still, it is entirely false to regard egoism and altruism as opposed ethical ideals. What the popular philosopher means by altruism as an ideal is ordinarily just that development of truly human life, of personality, in the man which distinguishes the psychical man from the animal man. The ideal is really the development of personality and not self-negation.

**The Person is the Concrete Expression of the Group-life.**—While the primary object of sociological study is the life of the social group, it is necessary, even in order to attain this end, to form a clear conception of the individual and his place in society. To the question : What is a person ? the first and simplest answer from this stand-point is that the individual person is the concrete expression of the life of the group. The group is the bearer of culture, but this is not the culture of the group ; it is the men, the members of the group, to whom this culture applies. So far is this the case that in attempting to analyze the social mind, the only practical course has been to follow the general divisions of the psychology of the individual mind. The person is the concrete expression of this psychical life. The word *person* does not apply to the animal man. Like other animals the man has a separate physical existence, but only as he develops psychical life in society does he become a person. Truth becomes a power controlling his intellectual life ; righteousness is the norm of an incipient moral life ; he receives eyes to behold the beautiful. Still a part of nature, it is none the less true to say that he rises above nature and the natural ; he becomes lord of nature as he becomes lord of himself. He becomes a person, and the conception of *worth* arises to express the difference between this new phenomenon and the rest of nature. This psy-

chical life is a process, not a stationary fact; it is ever growing in power and in complexity, so that personality stands out with increasing clearness against the rest of nature, and becomes a more and more precious possession, as it expresses a higher psychical life.

**The Element of Individuality in Persons.**—The imperfection of this statement of the case is evident at a glance, and yet it is about all that sociology has had to say with reference to the individual person. It is very well to glorify personality, and the worth of personality; but, one cannot help asking, does this worth really lie in sharing the life of other people, and in nothing else? Persons are first individuals, and we are wont to prize rather highly this difference from everybody else. In reality this is only an antithesis between partial knowledge as to the meaning of dependence on society, and a partially developed feeling of the value of individuality; a clew to its solution is at hand in the fact that the individual is a member of numerous social groups. He never expresses the life of any single group perfectly, for the very reason that he is more than a member of this group. Pride in being different from other people is a very empty matter indeed, unless this difference consists in sharing some element of psychical life not so fully shared by one's companions. Differentiated personalities are the counterpart of differentiated society; each presupposes the other, for they are but two sides of the same thing.

**Individuality of Persons and Complexity of Society.**—The simplest evidence in favor of this account of individual personality is to be found in the familiar fact that, as a matter of history, the differentiation of individuals does keep pace with the growing complexity of social life. When the scientific imagination constrains out of the materials at hand a picture of the earliest social group it is obliged to think of this group as theoretically homogeneous. If it is really a human group, a degree of psychical life is present; this, however, is shared by all, and the only dif-

ference between these incipient persons will be at bottom a physical difference. The history of progress is a story of differentiation of function, and corresponding differentiation of social groups. The subjugation of a second group introduces a difference of rank ; the separation between the inner family and the larger family or tribe is the germ of a twofold position of each individual in society. As soon as church and state, religious and political life are in any degree distinct, another mode of activity becomes to this degree independent, and the individual may take his place in a new set of institutions.—So long as each social class enters as a whole into the new forms of social activity, the only perceptible change may be a tightening of the bonds which unite this class, and it is impossible for a man to gain in individuality by sharing in the life of several distinct social groups. Historically, it is not always easy to determine the causes which led to the overthrow of fixed social classes, nor is the process by any means complete. Sometimes the prolonged conflict of parties or states that were nearly equal in strength has led to a dissolution and recrystallization of political forces ; sometimes a new force seems to be introduced into the social world, as when new machinery and new sources of power were applied to the textile industries a century ago, and in the face of this new fact old classes give way, and new ones are formed. In one way and another the separation of the different modes of social activity becomes real, and not merely formal ; new institutions and distinct classes arise in each separate mode of activity, and individual persons can no longer be alike, because no one occupies exactly the same position as any other one in the social world. A comparison of different countries shows at a glance that members of a given class differ most widely where the various modes of social activity are most widely differentiated ; they differ less and less in the lower stages of civilization now known to us, where the modes of activity are not clearly distinguished.

If the phenomenon of individuality is connected with the separation of the modes of social activity, it is important to notice the form in which this separation arises. When the separation is real, and not merely formal, each mode of activity gives rise to a distinct class of men who are bound together by their common function. The groups of men engaged in different functions cross and recross; to-day they are rarely identical in any two cases. It would be absurd enough to regard such complexity of society as an end in itself. Still, it is evident that the richness of society must depend in large measure on the number of these groups, each the bearer of a distinct psychical life, which intersect each other. The interaction of these types of culture broadens each one and stimulates its development. In this atmosphere individuality and personality arise together.

**Individuality of Environment.**—Individual personality corresponds to peculiarity of environment. The groups engaged in different functions, which cross and recross, cross in the person of an individual. The same man is in one group from the stand-point of production, in another from that of consumption. His intellectual, religious, political life, differ in important particulars from that of any one of his companions in the factory; and the first reason is that he belongs to intellectual and political groups more or less different from those to which they may belong. His present and his past environment is peculiar to himself; his life as a psychical person is even more individual than his life as a human animal, because to-day his psychical environment is so different from that of any one else. The individualism of the present generation means just this, that no man is bound by the traditions of any one class, but that influences from widely divergent sources unite to make him what he is. To-day the walls which have separated different civilizations have been broken down. There is but one psychical world, and its parts are so intimately connected that the results of a very local change are felt in distant parts. Tracing out the forces of

history. each thinker is led to believe that all those forces converge upon himself. In fact this is coming to be in large measure true. The widening currents of psychical life are bringing each a more definite and more distinct influence on persons, and, in consequence, individual characteristics are developed with increasing clearness. Persons cannot be alike, for no two have the same environment.

**The Individual Personality.**—In this individual environment individual personality is of necessity developed. And yet this is a very defective statement of the case, for environment is a biological metaphor, and it introduces perhaps as much error as truth into the present discussion. Properly speaking, the person is not “enviromed” by psychical life; his very personality consists in sharing the psychical life of the community. Psychical forces may, indeed, affect him as external influences, but the development of personal individuality is due not so much to such external influences as to the forces which reveal themselves through the individual as the centre of consciousness and of activity. It is the glory of personality that the psychical life of the past and of the present finds its true and adequate expression through the individual, and may be advanced to a higher degree of perfection through him. “History,” it was said truly, “finds its goal in each person.” This does not mean that all men will possess the same degree of psychical life and power. Necessarily, the life of the past and of the present, in making men different, will furnish some with peculiar richness and power. Such men can go forward only in the spirit of their age, but a unique development of intellectual and spiritual power enables them to advance far beyond their fellows. Such is individual personality from the stand-point of sociology: the psychical life of the ages coming to expression in the individual centre of consciousness and of activity.

**The Individual and Social Progress.**—A reference to the share of the individual in social progress will make a fitting link between the first and the second parts of the present discussion. The art of history-writing has largely

dealt in discussion of the principal characters, to whose influence the rise or downfall of nations and their culture is attributed. We seem to see progress starting from individuals as centres, and gradually extending through the masses of the people. Real changes in the life of a people may often be overlooked by those whose interest is absorbed by the quarrels of kings; leaders of new movements may attract our attention away from the life which produced such leaders; the truth of the common position still holds good. Progress does proceed from individuals. The age produces a better man, and he makes a new age. The first part of the process is what has just been described in the preceding paragraph. Psychological forces of the past and of the present centre upon an individual, and he is endowed with the qualities of a leader. New truth opens to his keener vision; new possibilities of life appear in response to his quick sympathies and pure ideals. Looking back, we say of him: He lived before his time. Such leaders are the statesmen who see a broader political life as possible for the state, and who have the courage to strive for this ideal. To such men are due lasting reforms in religion and morals. Progress in science and philosophy lies in their hands. They are the true prophets, stoned probably by their own age, because they were not content with it, honored by the later ages to which, in spirit, they belonged. The other half of progress is from such centres outward. The power of a large personality, the truth and the sympathy which such a personality brings with it, win adherents; new social activities and a new class centre about such a prophet. Great men cannot be made, but their lessons can be taught, and for this second half of progress, for education, each age may hold itself responsible. It is the task of education to communicate, not merely the truth as cold fact, but also the psychological life in which truth and the ideal are realized. By such education the fruits of progress are diffused, and the seed is sown for still further advance in the future.

## PART III

### *SOCIAL DEVELOPMENT*

#### CHAPTER XII

##### EXTERNAL DESCRIPTION OF SOCIAL DEVELOPMENT

Introduction to the Third Part ; Social Development.

- I. *The Continuity of Social Life.* Continuity from the Physical Stand-point—Continuity of Social Life and Social Groups; of Institutions—The Generation of Psychical Life—Continuity and Change Social Development from the Stand-point of a Supposed Goal.
- II. *Increasing Unity and Complexity of Social Life.* Mr. Spencer's Law of Progress—Physical Side of Social Development—General Character of the Early Social Group—Fundamental Forms of Social Activity become Distinct—The Simple Economic Group—Beginning of Separate Economic Functions and Classes—Results of the more Complex Economic Activity—Continuation of this Process at the Present Time—Political Activity becomes Broader and More Complex—Increasing Complexity and Unity in other lines.

**Social Development.**—The first work of sociology is to make an analysis of social life as it exists to-day, in order to ascertain the factors entering into this life, and the laws which govern the relation of these factors; but in the effort to accomplish this, our attention has constantly been turned to the process by which these factors and these laws arose. While it has thus been impossible to draw any sharp line between the different parts of the study of society, the general description of social development and the more detailed examination of the processes of development have been postponed until the discussion of existing social life had been completed. The present chapter, which aims to

give an external account of social development, falls into two divisions: the first part (*a*) deals with the continuity of social development, and the second (*b*) with the unification of social life, attended by differentiation of the social elements.

## I. THE CONTINUITY OF SOCIAL LIFE

**Continuity from the Physical Stand-point.**—From the physical stand-point all nature is a single multiform process which may be explained in terms of the universal laws of mechanics. The present is the outcome of the past and the source of the future, because each present is but a stadium arbitrarily fixed in the single process which we know as the world. Human life is one part of this process. After this part has been isolated from the rest, it still has a physical continuity and a physical unity, for each human being is a child and may become a parent, and it is only necessary to follow this thread back or forward a little way, in order to see that the individual is linked with an indefinite number of others.<sup>1</sup> But while the continuity of social life presupposes this physical basis, it is by no means identical with the continuity of the human race. It is rather a series of processes, each continuous, which may have had various beginnings, and which are only gradually being blended into one. Again, the physical unity of a race is largely due to a brief connection of children with parents, so that most of the race become quite independent of each other. The bond which unites the members of a society in their common life is never severed, and the broken process of changing human lives does not prevent the real continuity of social life.

**Continuity of Social Life and Social Groups.**—It is an old saying that the king never dies, which means nothing more or less than that the nation does not die. Citizens change, but the state lives on, and the change of those

<sup>1</sup> Dumont, *Dépopulation et civilisation*, p. 391, *sqq.*

who compose it is the very principle of its progressive development. The nation's vigor may degenerate, its culture may be absorbed into that of a more powerful people—its life receives a new form, but it does not die. After the most complete destruction which we can conceive, the influence of the state that has been destroyed can still be traced in a transformation of the forces that survive. It is doubtless part of the mythology which has been suggested by the phrase "social organism," when we are told that the social group is born, grows up, and at length decays and dies. But the simple fact that the present is the product of the past in the psychical world as in the physical world, is the key to an understanding of past progress and a basis for judging present movements.

**Continuity of Institutions.**—The continuity of social life manifests itself first in the continuity of social institutions. Psychical life depends on institutions as a sort of skeleton or framework, and it is no more possible to produce these all at once, and from outside, than to *make* the body of an animal. They are the product of a course of development, and they serve their purpose only because they have gradually acquired a considerable degree of resistance to change. This framework for the higher life to-day is an inheritance; the continuity of institutions is the basis of advancement. The fact that institutions continue from age to age may be illustrated in any sphere of life. In the religious life, the object with which one age and people have associated the idea of God, continues to be divine for the succeeding age; the place where God has appeared is the place where he may be expected to appear; sacrifice and other forms of cultus owe their sanctity and potency to an accumulated reverence—they are the approved ways of seeking the gods; the priest is a sacred man, because his predecessors have acquired the power or the right to stand between the people and their god; religious authority is simply the habit of obedience passed on from generation to generation, till it has entered into the

life of the people. The economic institutions of property, of money, of banks and factories, are the product of the experience of many ages ; they possess a recognized authority, and they serve their purpose as the framework of economic life, because they have their sources in the distant past and can be modified only gradually. Language is such an institution that is not made, but grows ; such are the methods and principles of science, and the ideals of the moral life ; they live on from age to age, and the power which they acquire is based on their continuity. The state and the family are institutions that have very slowly shaped themselves in the life of the race ; the authority and the freedom which each makes possible are no modern acquisition, but rather the slowly accumulated products of the ages brought to bear on the life of to-day. Social institutions in general dominate us as the habit of a lifetime dominates an old man, only that their power is greater than that of any individual habit, for they come to us as habits of the race. This principle of continuous development in institutions must not be regarded as external to the nature of an institution as such ; it is merely the external side of the fundamental truth that society is an evolution, that new forms of life are produced out of lower forms, that progress is out of the past even when it seems to contradict the past.

**The Generation of Psychological Life.**—But the continuity of social life means more than the fact that institutions pass on from age to age, and that the external forms of life are not subject to sudden change. It means also that the life which uses these forms, and grows upon the skeleton of these institutions, is itself in a real sense continuous. Psychological life is not a product spontaneously arising in each individual, but it is stimulated in the individual by personal contact with others in social life. In the family, the school, the church, there is constantly going on the process of the generation of psychological life. The teacher finds his stimulus in the never-dying power of masters who may

have lived centuries ago, and he quickens in his pupils the aspirations and energies which will make themselves felt when the pupils take their place in the world. Not simply the forms of life, but the energy—the life which uses these forms—has its sources in the far distant past. It increases and degenerates, its currents separate or come together, it finds men whose physical nature hinders or favors its development, but it is never created *ex nihilo*. Correlative to this last statement is the fact that psychical life does not lose its power. From the present stand-point, the law of its progress may almost be described as progress by multifold effect, for psychical life is not exhausted by generating similar life in others, but it even gains in power by this process. The achievements of the past in art, in philosophy, in religion, are ever new; age after age derives its inspiration from them, and the truly great productions of the Hebrews, the Greeks, the Romans, gain new mastery over us as we study them with truer appreciation.

**Continuity and Change.**—The comparison of society with the organism of biology has led observers to expect that society will follow a fixed course of development with periodic changes, as does the organism. But society is not an organism, and along with the continuity of its life there is an absence of rigidity, which is very important for its progressive development. If the organism were able from time to time to substitute an organ of more youthful character for one that had grown old and to keep up this process until the whole body were renewed, it would escape the necessity of growing old and dying; and if it could at the same time preserve the experience of the displaced organs for the benefit of the more youthful organs that succeeded them, it would embody a principle of genuine and almost unlimited progress. What from the very nature of the biological organism is for it impossible, is both possible and a fact in the social organization. In the life of a society new units are substituted for those that have

grown old, and normally the process goes on so gradually that the experience of the past may serve as the basis of future development. The young persons of each new generation are plastic material which may be moulded in harmony with the higher ideals of the former age, while at the same time they do not hesitate to adopt new practices and to champion new ideas ; *i.e.*, the shortness of human life is the principle of change, which, when combined with the principle of continuity, is the basis of social progress. The new world of the generation that succeeds us is no mere copy of our world, but the living continuation of it.

It has often been customary to limit the process here suggested, and to treat the continuity of society as the partial realization of an absolute ideal. Just as we may regard increasing knowledge as the gradual conquest of a world known as the realm of truth, so the continuity of institutions may be treated as the growing harmony of society with an external perfect law, and the continuity of life as the gradual embodiment in humanity of a knowledge of the truth. Some such position is natural, because human reason ever asserts a kind of independence from time and space, and seeks to lend eternal universal validity both to the knowledge it acquires, and to the habits of action it develops. While this eternal and universal validity may be a natural postulate in behalf of our knowledge, the position is by no means so clear and unavoidable as it may appear at first sight. In fact, each addition to our knowledge affects our attitude toward all the things known before, so that former knowledge is shifted, be it ever so slightly, from perfect agreement with the facts. We do lend a universal validity to knowledge, in so far as it is perfect from our stand-point, but the ideal of absolute knowledge is, in reality, an ever-advancing goal, and no present fact. So we regard institutions as forms of life which gradually are realizing a final perfect type, but as a matter of fact the perfect type is a Utopia which changes with every age. When the student treats this habit of human reason as an absolute fact, and finds the unity of social development only in an external world, he has left the field of science for that of metaphysics or of faith. The scientific study of society finds society

to be a developing process, continuous in its institutions and in its life.

**NOTE ON SOCIAL REFORM.**—It is hardly necessary to call attention to the fact that the present discussion has a very important bearing on the matter of social reform. The doctrine here stated should not, of course, be understood as denying the reality of revolutions both in public sentiment and in the forms of social organization. It does say that revolutions have their roots in the past, that they are the product of a long period in preparation, and are not manufactured to order. The consideration of this topic shows the utter absurdity of all schemes to introduce a new social order on short notice, and by purely external methods. At the same time, it shows that the effort to set true and high ideals before the world cannot fail to produce its effects in time.

## II. INCREASING UNITY AND COMPLEXITY OF SOCIAL LIFE

**Mr. Spencer's Law of Progress.**—In an Essay entitled "Progress : its Law and Cause," Mr. Spencer asserts that the essence of progress consists in the transformation of the homogeneous into the heterogeneous, and he goes so far as to call this the *law* of progress. As the solar system was once a homogeneous mass of gaseous matter, but now has become a highly complex system of sun, planets, and satellites ; as the earth was once a fluid body, homogeneous throughout, but has gradually developed a very complex crust with its various rocks and their strata, its mountains and ocean beds ; so, we are told, mankind and its civilization have been passing from a homogeneous to a heterogeneous state—and this is progress. The phrase "homogeneous to heterogeneous" is evidently taken from some other sphere than the social, and in its application to the higher life of man its meaning is not at all the same as when it is used to describe physical nature ; nevertheless, it is clear that the fact referred to is a most striking feature of human progress. To call this the "law" of social progress is a very loose use of words, for it is nothing

more than an external description of a feature common to all forms of development. To state the fact more exactly, as society develops, the forms of social activity and the groups engaged in these activities become more distinct, and separate simple social groups become united in a very complex form of society. The objections which hold good against Mr. Spencer's position are at once avoided by a more careful statement of the case.

**Physical Side of Social Development.**—In the earliest state of society that we can picture to ourselves, men lived in small tribes or "hordes," which had but little to do with each other. These tribes were small, because no principle of common life had been developed to unite more than the few individuals who clung together for mutual protection; they were practically independent of each other, for no interest extended beyond one tribe to connect it with another. From a physical stand-point, social activity consisted in a struggle with nature, and a struggle with similar tribes. In this struggle one tribe would go under, and another increase in size until it split; the only real change would be introduced when one tribe became strong enough and far-sighted enough to bring another tribe into permanent subjection to itself. The new unit came to include several smaller groups, and the original elements acquired different functions in relation to the newly formed whole. Turning from the beginnings of society to the European civilization of to-day, we see the same process at a far more advanced stage. Practically there are but seven or eight peoples in Europe to-day, and the common life of these peoples is more important than their separate life. Along with this integration social functions have become distinct and separate, and there is hardly any limit to the number of social groups which have arisen in this complex society to perform these functions.

**General Character of the Early Social Group.**—Considering the different modes of social activity in their relation to each other, we find that in early times they were all but

identical. A later age says that in the patriarchal family the father was farmer and manufacturer, judge, king, and priest; the fact is, that all these functions originally are united in each person and in each group, because they do not yet exist as separate functions. So long as there is practically but one uniform type of social activity, there is no social influence to make the members of the group different from each other. Each person is like every other, joining in the hunt, seeking for food, making his hut or his weapon—and the only differences are due to difference of sex or of strength. The early group or “horde” lacked both definiteness and compact unity, and the groups differed from each other only with the different demands of their physical environment.

**Fundamental Forms of Social Activity become Distinct.**

—Increasing social complexity may be considered from two stand-points :—(a) The fundamental forms of social activity become distinct, thus introducing a more extensive and more complex social structure, and (b) simultaneously within each of these general modes of activity, greater complexity of function and structure is arising. The search for food and the effort for protection become distinctly organized forms of activity, and the tribe assumes a definite structure with reference to each of them. Forms of social intercourse become fixed, the habit of respecting rights and enforcing rights arises, the need of protection against supernatural evils, and of communion with supernatural beings, leads to a distinctly religious activity; and with each new form of social activity the structure of society becomes more complex, and the dependence of one part upon another more intimate. While this change is often so gradual as to show no break, in many cases its results do seem to enter suddenly; as, for instance, when the introduction of slavery constituted for the first time a distinct industrial organization. From the second standpoint, the growth of social complexity and unity is even more striking. Men have always needed food, companion-

ship, protection ; but the ways in which they have met these needs have varied exceedingly from age to age. The changes in the economic world, to take a typical example, show most clearly the differentiation and integration which are the outward mark of development.

**The Simple Economic Group.**—In the lower and earlier phases of society the need of food and of clothing is satisfied in the simplest manner by the means which nature provides, and no desires are as yet developed which reach out beyond the clan or “horde.” Each group supplied its own needs ; all the members helped to build the huts which were to protect them from rain and cold, all worked together to secure weapons and tools, all shared the product of the common chase. The industrial life of one such little group would be much like that of another, and within the group individuals would be very much alike, for even differences of sex could not yet lead to a uniformly different industrial life.

**Beginning of Separate Economic Functions and Classes.**—Economic development began with the separation of economic activities, and we may point out three sources from which this sprang, viz.: (*a*) the difference between the strong and the skilled ; (*b*) difference between the sexes ; and (*c*) differences introduced by a new form of political organization, in particular, slavery.

1. The simple utensils of savage life required for their manufacture no skill which was beyond the reach of anyone, and conversely, no utensil requiring special skill could come into general use until some tribe was ready to support a class of toolmakers who should acquire and preserve this skill. The bow and arrow, or the canoe, could be made better when hunter and fisherman were ready to maintain someone who should devote himself to this work. Pottery, blankets, elaborate decorations, were not likely to attain any special degree of excellence until individuals could give their whole strength to particular forms of manufacture. In so far as the division of labor proved an ad-

vantage in meeting men's desires, or in making the tribe stronger to divert attack, the habit would tend to become permanent and widespread—classes of manufacturers and of food-producers would arise; a market would become necessary for the exchange of wares, and at length the growing business of exchange would call for a class of merchants, and a class of exporters. Division of labor tends to emphasize the differences of strength and of skill to which it was originally due; and as it becomes a necessity, the industrial group must grow larger and more complex, in order that the simplest needs may be satisfied.

2. The differences between the sexes played an important part in this process from almost the very beginning. Women could be compelled to work long before there were regular slaves, but they were less able to endure the fatigues of war and the chase. The advantages of this division of labor were so apparent, that it may have been an important factor in the development of more permanent family relations. The general line of division was between the outer world, and the inner world of the family which began to be formed. To the man fell the duties of protection from attacks of man and beast, and the procuring of game for food. The work of the home, such as the preparation of food, the manufacture of garments, care for the children, the provision of whatever man may need or desire, this was commonly the woman's lot. This source of differentiation was no less important than the preceding, in providing the basis for a higher type of social organization.

3. The connection between the political and industrial organization of society is always close, but under primitive conditions it is peculiarly intimate. The preservation of captives taken in war to serve as slaves, is a step equally important for the development of the state and for the development of industry. By the use of slaves, continuous labor and combined labor were possible for the first

time, and with the general institution of slavery, the foundation was laid for the civilizations of antiquity. This single illustration shows how a separation of political classes is the source of economic complexity, and consequently the cause of larger economic groups.

**Results of the more Complex Economic Activity.**—The necessary result of the separation of industrial activities is a more complex industrial group. Each group requires all the different forms of production to satisfy the needs and desires of its members, so that as soon as these forms are separated the group thereby grows more complex. Complexity means the possibility, if not the necessity, of more members in the group; the new form of industrial life tends to bring different groups together, where the earlier form had tended to separate them; at the same time each group follows its own course of development, so that any two groups are far less likely to resemble each other than were two of the earlier simple groups. Moreover, the more complex life means a different industrial environment for each individual in the group, so that social influences tended to make men different, where before they had tended to make them alike.

**Continuation of this Process.**—It is difficult to set any limit to this process of the increasing complexity of economic life and economic structure; already it has gone so far that most of the human race stand in some sort of economic relation with each other. The reason of this process, in which any backward step is difficult, is twofold. From the stand-point of conscious purpose its advantages are so evident as to enlist in its favor the choice of thoughtful men. But evolution would be a slow and doubtful matter if it were left to thought and reasonable choice; the results of this process and the absolute need of a complex economic organization, become embedded in the very nature of the individual, so that he devotes himself to a limited sphere of economic activity, without conscious recognition of the broader reasons for this course. Economic evolution

has always been marked by a growing complexity and unity of economic life; but even when dignified by the name of a "law" this change does not explain economic progress.

**Political Activity becomes Broader and more Complex.**

—The marks of social evolution, which have been discussed for the case of economic activity, are none the less evident in the other modes of social activity. The earliest political organization of society was absolutely simple; and these simple political units were small, numerous, and in the same environment very much alike. In the course of political development two forms of growing complexity may be distinguished. In the first place the state becomes composite. Smaller centres of political life are developed within the larger whole; and, as the central authority is relieved from attention to detail, it can perform the necessary functions of government for increasingly large bodies of men. Within the state there arise the province and the county, the town, the city, and the wards of the city; or, again, it is found possible to unite smaller bodies into one larger body on the principle which we have learned to call local self-government; the result in either case is a separation of political functions, and an integration of the resulting political groups into larger and larger wholes. And, in the second place, the functions of the central governing power are separated, and the executive head is no longer clothed with legislative and judiciary powers. In consequence of this process the modern state is a very complex affair. Few states have taken the place of numerous tribes, and these states show marked differences from each other as the result of different lines of development.

**More Complex Intellectual Life.**—The evolution of social activity in æsthetic lines has been quite fully analyzed by Mr. Spencer in the essay to which reference has been made.<sup>1</sup> The æsthetic enjoyment, which began in simple forms with narrow range, gradually assumed forms more and

<sup>1</sup> Spencer: *Progress; its Law and Cause*, pp. 239, 240.

more complex, and simultaneously the sphere of its activity has been extending indefinitely. The evolution of intellectual activity has left its traces in the history of language. Under primitive conditions each group has its own simple language suited to its very simple needs. So far as these needs were the same for different tribes, the different languages would have about the same structure and range; they were very much alike, in that they served the same purposes in the same manner. Social evolution brought about a more complex intellectual life, and at the same time it tended to unite different groups in the same intellectual activity. A few languages, complex and unlike in structure and range, take the place of very many languages of the simplest character and very much alike. The new language is formed by taking up into itself elements from the languages which it supplants, and by this means it is able to meet the needs of the higher intellectual life. The same rule holds for every form of social activity—increasing complexity and unity are the mark of social evolution.

**Conclusion.**—This process has continued until its results can be foreseen in clear outline. The unity of mankind, which was once a prophetic vision, hidden from common eyes and accepted only upon faith, is at length being realized, as the most remote corners of the earth are brought under the influence of one civilization. The process of integration has touched every race, and its farther advance will be toward a more intensive unity—a more intimate unity of peoples already in contact. The result of greater complexity is an increasingly unique environment for each individual of the race, and every considerable advance in social evolution is marked not only by more sharply defined classes engaged in the different forms of social activity, but also by more distinct individuality among the members of these classes. The course of these processes is by no means even and uninterrupted, nor can we say as yet that this course coincides exactly with the different stages of social

evolution. It remains true that the most striking characteristics of social evolution are the facts of continuity, and of growing unity and complexity; the outcome of these processes is a unified mankind made up of unique individuals.

## CHAPTER XIII

### PROCESSES OF SOCIAL DEVELOPMENT

**Two Theories of Social Development.**—The two Processes exist side by side.

- I. *Process of Dispersion and Differentiation.* 1. Race-increase—Centres of Dispersion—Differentiation of Physical Types. 2. Differentiation and Dispersion of Forms of Psychological Life. (a) Language: Dispersion, Differentiation. (b) Religion: Dispersion, Differentiation.
- II. *Process of Agglomeration.* Civilization lessens Number of Social Groups—Mr. Spencer's Classification of Different Types of Social Aggregates—Statement of the Process of Agglomeration. 1. This Process Regarded from its Physical Side—Persistence of Race-characteristics—Unification of Culture. 2. This Process Regarded from the Psychological Side—Language and Religion as Examples of this Process—Fusion of Religious Forms—Heterogeneity the Condition of Progress—Process of Agglomeration and Assimilation—Conclusion.

**Two Theories of Social Development.**—To the casual observer of society, it is evident that social development is continuous, and that society tends toward a state of greater complexity with larger and more comprehensive social groups. But if we would look below the surface, and seek a more definite statement of the actual processes in this development, we are met at the outset by most diverse views. Many of these are purely fantastic, and need not detain us, but after such have been set aside, there remain two theories of social development, almost contradictory in their statement, and yet each claiming the support of a large mass of facts. On the one hand, there is the genealogical theory of progress, according to which types of culture are bred and scatter in the world, just as men

are born and disperse ; science has the interesting task of tracing each form of civilization up the genealogical tree to the common source of all, and thus the history of civilization is made clear. On the other hand, there is the theory<sup>1</sup> that social development is a process of agglomeration and assimilation, such that each step in progress may be explained as the interaction of heterogeneous elements. The consideration of these two views will lead the student, I believe, to recognize that each of these theories is concerned with a real process going on in society, but that neither deserves the name of the "theory" of social development.

## I. PROCESS OF DISPERSION AND DIFFERENTIATION

**1. Race-increase.**—Perhaps the most natural account of the development of society would explain it as a process of dispersion and differentiation. In every age the family, more particularly the patriarchal family, has traced its origin to some one ancestor, and his blood in the veins of many descendants is supposed to unite them into one group. In this fragment of society it is seen that the original pair has several children more or less different, and that each of these has children in turn, so that succeeding generations increase (theoretically) in a geometrical ratio, and peculiar characteristics which have arisen may easily be perpetuated and increased. This explanation which men are in the habit of applying to a small portion of developing society, may also be applied to the race as a whole. The biblical account of creation has made the Christian world familiar with the conception of an original single pair from whom all men are descended. Here, as in the example on a smaller scale, generations theoretically increase in a geometrical ratio, and variations from the original type are easily perpetuated and increased.

<sup>1</sup>This theory is presented with great vigor, and enforced with much illustrative material, by Gumplowicz, both in his *Rassenkampf* and in his *Grundriss der Soziologie*. The latter has been translated into English by Dr. Moore, A. A. P. S., No. 253, 1899.

**Centres of Dispersion.**—In support of the view that the human race has at times followed the course indicated by this scheme of development, we may point to the historical evidence for such centres of distribution. It has been customary to speak of a cradle of the race in the southwestern part of Asia, and thus to give a certain scientific content to the biblical account of Paradise and the early development of the human race. Nor can we doubt that there was such a cradle of humanity where men multiplied, and from which successive waves of immigration swept to the westward. The study of language and of culture makes us acquainted with a few groups of peoples such as the Semitic and the Indo-Germanic, which are very widespread, and each of which seems to have come from one source. Used with the greatest care, this evidence still seems to point to centres of dispersion from which each group originally came. On the American continent we can point out at least one such swarming-place for the Indian races. Fish and game were plenty in the region of the Great Lakes; men multiplied rapidly, and as they became too numerous to find sufficient food even in such a place, one tribe after another seems to have separated from the parent stock, and gone forth to find a new home. Here again related languages and similar customs suggest a common source; while the appearance of the same sub-tribes and clans in each tribe, together with the same system of names and the same laws of relationship seem to indicate that there was one parent tribe, the organization of which was retained by each offshoot. From evidence of this character, I infer that dispersion is a real process; it is equally clear that we do not have and cannot have any such evidence that the *whole* human race came from one centre of this type.

**Differentiation of Physical Types.**—Those who have believed that the entire human race had a common origin have found it necessary to explain the important differences between ethnic groups as the result of a long-continued

process of differentiation. Children of the same parents differ, grandchildren may differ more widely; and distant descendants, who have lived under different conditions, will show far greater differences. All those differences of environment, which have been discussed in an earlier chapter (Chap. III.), have gradually affected the races that were subject to them. The temperature and the amount of moisture in the air affect the physical constitution; some localities favor, and others hinder, intercourse of tribe with tribe; the character of the food-supply will modify the tribe from its industrial side; conditions of security or insecurity will affect its vigor; and, finally, with the rise of civilization, the range of differences in the environment of individuals is indefinitely increased. The facts already accessible with reference to the effects of new and different surroundings upon a European race, confirm the impression that the process of differentiation is going on, and that the varieties best adapted to given conditions are likely to be perpetuated. The Boer in South Africa, the negro in the United States, and in particular the different types that have been developed in Latin America, show how far this process can be carried. At the same time the effort to account for important ethnic differences by the long-continued effects of environment is as yet very far from being successful.

Very grave objections may be brought against the theory that the greater racial distinctions have arisen gradually among the descendants of a single human pair; but we find abundant evidence that the differentiation and dispersion of human races are a real process actually going on. We can point out several centres of dispersion from which one race after another has gone out. We have good evidence that environment in and by itself has at least a limited direct influence both upon individuals and the race; and we know that any differences, however slight, which benefit the individual or the race in a given environment, are almost sure to be perpetuated in the struggle for

existence. As a theory of human development, the theory under discussion is inadequate, if not misleading; as a process constantly going on, its truth cannot be denied.

**2. Differentiation and Dispersion of Forms of Psychological Life.**—The same theory which has been discussed in the sphere of physical development has also exercised a wide influence upon students of the various forms of psychological life. The history of such institutions as the state, or the history of social manners and customs, has been represented by the figure of a genealogical tree; and any type has been “explained” when it is traced back to the common origin from which all have sprung. Two examples make this clearer, and at the same time will illustrate the truth and the weakness of the explanation offered.

(a) *Language: Dispersion.*—The discovery of the Sanscrit language by European scholars, and the light which it threw upon the relation of languages in Europe, gave a powerful impetus to the general study of the development of language. It was indeed a revelation that languages so different as the Slav and the German, the Celtic and the Greek, were intimately related as to root-meanings, inflectional endings, and sentence structure. The immediate inference from this group of new facts was that all these languages were descended from a common source, an original Indo-Germanic language, which, twenty years ago, scholars thought they could reconstruct with considerable accuracy. From the existence of this large group of languages, it was inferred further that all languages could be classified in large genealogical groups; that each of these groups pointed to some common centre of dispersion; and finally it was suggested that these groups had themselves a genealogical connection with a more distant common source, although few traces of this could be pointed out. It is now clear that many of these inferences were unjustifiable, and they have already been abandoned. As a *process*, ever going on in the history of language, the spread of language is an all-important fact. Races carry

their own languages with them as they migrate, and the effort to communicate with those who use other languages is likely to result in the gradual extension of that language which, under the circumstances, is best adapted to survive. As a *theory* of the development of languages, however, the genealogical account of their descent is anything but satisfactory.

*Language: Differentiation.*—Even apart from the influence of different environments, language is never fixed and unchanging; and if one goes back to the period before the general diffusion of printed books, and farther still, to the period before the general use of writing, he finds that language changes much more rapidly than is possible to-day. The greatest difference between the dialects of one language to-day is a difference of pronunciation for the same vocables; and the change of pronunciation proceeds so rapidly, that the English spoken in the time of Shakespeare would not be easily intelligible to modern ears. The careful study of these changes may not reveal any universal laws, but it shows that these changes are all subject to law, and the laws which hold in a given place and at a given time are being definitely formulated. Changes in the root meanings of words take place more slowly, but they are none the less real. Words of general meaning are restricted to particular uses, words applied to specific objects come to denote more general classes, literal use becomes metaphor, and the reverse.<sup>1</sup> Even more striking changes in vocabulary arise through the decay of some words, and the genesis of others to do their work. The main reason for these changes is evident, when one considers the way in which language is learned. The child learns but gradually to speak the language which he hears, and no two persons speak a language in exactly the same way. Differences existing in the case even of one person affect the ideal of the community with reference to lan-

<sup>1</sup> Abundant illustration of these changes may be found in Whitney's *Life and Growth of Language*, chap. v.-vii.

guage, so that every change has a slight tendency to perpetuate itself. Moreover, language is but the way in which a type of culture finds expression, and each change in the type of culture is immediately reflected in the language of the people in question.

*Languages Change and Separate.*—While then we cannot find traces of any direct tendency to differentiation in language, such that the meanings of a word naturally split up within the same social group, we find numerous classes of changes to which language is subject. When two languages derived from a common source have once lost the consciousness of their connection, these processes of change meet with no check, and constantly widen the breach between them. Nevertheless, these facts of change do not constitute any complete theory of the development of language.

(b) *Religion: Dispersion.*—The increased attention to the history of language which followed the discovery of Sanscrit, soon raised the question as to the history of other phases of human culture. The same principle which had proved so fruitful for language was applied to religion, and it was argued that the Indo-European religions were also parts of one process; that, springing from a common source, they were related as names in a genealogical tree. Finding in language material for the study of myths, the comparative mythologists naturally brought systems of mythology into the same relation as groups of languages. The name of a divinity recurring in different religions<sup>1</sup> suggested a common origin for these religions. The same types of divinity<sup>2</sup> at least suggest a common source from which their worship has spread, either as their worshippers migrated, or as other tribes came to recognize these divinities as their gods. The principles for the exact study of the dispersion of religions have not been determined with any definiteness,

<sup>1</sup> The standing example of this is Dyaus-pitar, Zeus-pater, Ju-piter.

<sup>2</sup> A goddess of love and generation (Astarte, Aphrodite, Venus), a god of the wine (Soma, Dionysos, Sabazios, Bacchus), a god of the sun, and another of the heavens.

and the wild theories frequently propounded have cast discredit on this whole line of study. Nevertheless, we cannot avoid the belief that religion, like other phases of culture, has often been spread from common centres. With the migration of peoples from such centres, the religious side of their culture was spread abroad; and we have abundant evidence that, at least within historic times, religions have had a remarkable power of extending over civilizations to which they were originally foreign.

*Religion: Differentiation.*—Religious beliefs are intimately connected with philosophical beliefs as to the nature of the world and of the soul, and each change in the latter is reflected in the beliefs that are more distinctly religious. Religious myth is almost as unstable as other types of legend, except when it is intimately connected with forms of religious practice; and even the explanations of religious practice become radically different as a people advances to a new and higher stage of culture. No factor of religious life is so permanent as the forms of sacred rites; but these too change slowly from age to age. Forms which have become dead are cast aside, and new practices gradually gain the authority which was formerly possessed by others. If, then, two peoples start together, the particular forms of religious life are likely to become different, and all hindrances to this differentiation are removed when the two peoples have lost consciousness of their earlier relation.

The consideration of the development of language and of religion, as examples of the development of psychical life, shows that the process of dispersion is real, and that constant changes are occurring, which result in differences. Three facts stand out in the general process. (*a*) The fact of continuity. Each phase of psychical life, like each living being in the physical world, is the direct product of its past. (*b*) The fact of dispersion. Forms of psychical life are frequently spread from common centres, both by the

migration of races, and by the direct migration of their types of culture. (c) The fact of differentiation. Changes are constantly going on in every form of genuine psychical life, and these changes make the psychical life of one group different from that of another. This last act is quite as important in a developed society as in earlier times, and the integration of distinct groups in the performance of different social activities helps on such a differentiation within any given society. As a theory of the development of culture, this genealogical account of society is inadequate and often misleading. As processes constantly going on, dispersion and differentiation are real facts, and are playing a more important part in social evolution than ever before.

## II. PROCESS OF AGGLOMERATION

**Civilization lessens Number of Social Groups.**—In any comparison of civilized and uncivilized races as they exist to-day, the most striking difference relates to the size of a society. Among the Bushmen of South Africa, the groups or incipient tribes are numerous, without organization, and unstable. The lowest mountain tribes of India or Central America show the same characteristics. Turning to the civilized world, we find to-day but one society; it covers most of the globe, its organization is very complex, and it has been comparatively stable for many centuries. Some smaller tribes have been exterminated by the “march of civilization,” but when the differences in culture have not been excessively great, the process has ordinarily been one of absorption and assimilation. The various factors which entered into the national life of the Hebrew people left some traces in the later tribes, and the early history of the Greek city-state is an account of the fusing together of different elements into a larger whole. The condition of the uncivilized world to-day justifies us in assuming that the civilized society has been preceded by innumerable smaller societies; and we have abundant proof with

reference to each of the earlier civilizations that this assumption is correct.

**Mr. Spencer's Classification of Different Types of Social Aggregates.**—The more elaborate classifications of different types of social aggregates bring out this fact of agglomeration even more clearly, and show something of its importance. Spencer's classification of different types of societies may serve as an illustration.<sup>1</sup> In his "simple" society "the parts co-operate, with or without a regulating centre, for certain public ends." In the "compound" societies "the simple groups have their respective chiefs under a supreme chief." Such societies are naturally formed when one group asserts its superiority by subjecting other groups to its rule. As these compound societies get a more stable headship, and different parts come to depend more on each other, one of these may absorb others, and thus a doubly or a trebly compound society is formed. Without attributing too much weight to Mr. Spencer's abstractions and terminology, we recognize that each change is the result of a new agglomeration, and that the classes in the more compound society partially represent the component parts, the original groups which it has absorbed.

**Statement of the Process of Agglomeration.**—This suggests another process besides simple dispersion, and another figure which represents progress more truly than the genealogical account of a patriarchal society. From a purely external stand-point, human progress may be represented as a process of agglomeration and assimilation. Granted the existence of an indefinite number of small groups, such as we find among any uncivilized people, progress begins when one group is able to use another for its own ends in some other way than by eating those who compose it. From this stand-point the story of human progress is always the same. One tribe subdues another, absorbs it, and rises by pushing it down. The leverage for human progress is quite generally found in humanity, not in nature alone. This is fol-

<sup>1</sup> Spencer, *Principles of Sociology*, part ii., chap. x.

lowed by an assimilation of the different elements into a more homogeneous whole. Men are brought together in larger and larger societies, until the human race is one—for the real unity of the race is an achievement, whether or not it be descended from a single pair.

**1. This Process Regarded from its Physical Side.—**

Viewed on its physical side, the process starts with the fact of very numerous, all but independent, groups of men. When it is put forward as a theory that seeks to explain the differences between men by tracing them back even farther than the beginnings of humanity, of course this process requires the polygenetic origin of our race. But in its more modest form, it starts with the facts of uncivilized life as they exist all over the globe. The process consists first in the agglomeration of these groups, either directly or indirectly; directly, as one absorbs another *in toto*, indirectly, as exogamous marriage gradually unites the separate groups into one larger society. Such agglomeration takes place very easily and naturally when two savage hordes come in contact with each other; and it is none the less real when two civilized societies first touch, and then enter into connection with each other. It is only when two societies on very different planes of culture come into relation, that a true union seems to be difficult.<sup>1</sup> The process which we are thus led to consider is by no means inconsistent with the process of race-dispersion, but it is far more important than such dispersion in explaining the rise of civilized society, for it is distinctly synthetic, while the former process was analytic.

**Persistence of Race-Characteristics.**—In this general process we may distinguish two elements—the persistence of race-characteristics, and the unification of different factors in a single complex social life. The theory of natural selection in its stricter form, like every other careful

<sup>1</sup> Celts and Teutons have remained distinct in Great Britain; and the comparatively high civilizations of parts of East Africa and Central America have left no traces on the civilization from Europe, by which they have been superseded.

theory of heredity, starts with the postulate that the characteristics of each individual tend to persist in all his descendants. The popular belief that increasing differentiation in the descendants of a single pair is independent of hereditary influences, is quite inconsistent with this position. In the attempt to construct a science of sociology, any neglect of the scientific theory of heredity is exceedingly unfortunate, and the study of the process under discussion has done good service by bringing clearly into view the persistence of race-characteristics. The fact of differentiation it readily explains as due to the crossing of types already in existence. While anthropologists are inclined to question the reality of the genesis of new, independent physical types, the intermarriage of families and the agglomeration of tribes have led to increasingly numerous sets of new combinations. The real source of the differences in modern society is to be found in the different original elements which entered into its composition.

**Unification of Culture.**—Corresponding to the persistence of race-characteristics is the development of a wider and wider social life, in which these characteristics find expression. In truth, these original differences only find their proper setting when one race comes to share the same common life with another race. If the races were exactly alike, when they were united there would be no basis for the development of a complex social structure, and the stability which results from interdependence in such a structure would be lacking. Different races contribute each its own element to the common life, and the life developed out of these factors tends to be permanent, because each factor comes to depend for its very life on every other. When two races come together, the characteristics of each persist, and a new, higher social life is developed out of the peculiar culture which had belonged to each independently; the agglomeration of social groups is accompanied by an assimilation or unification of the life of each group into a life that is not only more complex, but richer.

## 2. This Process Regarded from the Psychological Side.—

On turning from the consideration of man's physical development to the development of civilization, we find the same process of agglomeration and assimilation, nor is its importance in the psychological world any less than its importance in the realm of biology and ethnology. The fundamental principle of union among the members of a group is essentially a psychological principle; and when two social groups combine, the psychological life of each tends to persist in the complex psychological life which is a result of their union. This is very evident in the case of social institutions, which are but the external forms in which the inner life finds expression. When two tribes unite, the institutions of each tend to persist; and the result is either that each institution is profoundly modified and enriched, or, more commonly, that the institutions of one group prevail at one point, and those of the other group at other points.

Take, for example, the result of the Doric migration into the Peloponnesus, as pictured by a recent historian of Greece.<sup>1</sup> Rude, vigorous tribes from the northern mountains conquered without great difficulty the weakened representatives of a civilization which once had controlled all the Ægean Sea. The conquerors brought with them their own political and social institutions, and these they retained with but little change. The implements of warfare used by the conquered people, and many of the arts they practised, were to a considerable degree adopted by the invaders, as being superior to their own; the religious rites of the Dorians seem to have been welded together with the rites which they found and adopted. To such amalgamations of different elements the Greek people owed the richness and power of their later civilization.

*Language as an Example of this Process.*—We may well take again the same examples of language and religion, which were used to illustrate the “genealogical”

<sup>1</sup> E. Meyer, *Geschichte des Alterthums*, Band II., Zweites Buch.

process of development. The history of language shows the process of agglomeration and assimilation as regularly as it does that of differentiation. At least the more important differences between the various elements of a language are due to different sources. In the rich and varied speech of modern civilization the results of this process may be preserved intact, so that they are easily traceable, but the process was no less important in earlier times. When two languages come together, they never fail to coalesce, though the process may be gradual, and social lines may for some time take the place of geographical lines in separating the languages.<sup>1</sup> In the end, however, the contiguity of two languages results in some form of combination.

When two uncivilized peoples are merged in one, the simple sounds used to express different ideas may have a lasting effect on the resultant language. The same sound, or syllable, may preserve the very different meanings assigned to it in each original language, and if these meanings are at all related, they may gradually coalesce in a more general meaning.<sup>2</sup> And not only by words of similar sound, but also by slight differences in the ideas originally expressed, old word-meanings will be slightly deflected; for the ideas denoted by words in different languages never quite coincide. Moreover, many words will disappear from each language, and more convenient or more distinctive substitutes from the other will take their place. These changes in vocabulary may affect a whole language or they

<sup>1</sup>The persistence of French and Saxon elements in different strata of the English people has been noted, for instance in Scott's *Ivanhoe* and in Bulwer's *Last of the Barons*.

<sup>2</sup>In our lexicons a somewhat arbitrary distinction is made between cases where different words have the same form, and cases where one word has different meanings. The Greek lexicon of Liddell and Scott gives two words under δέω and three under λέγω; at the same time the word λύκος is said to mean *wolf, a daw, a fish, a spider, the flower of an iris, anything hooked, like a bit, a well-hook or a flesh-hook*. There is little doubt that these different meanings came from totally different sources, and that the general meaning, *hooked* (cf. Latin *lupus, lupatum*), is a somewhat late development.

may be operative only in a limited region, in which case the result is a dialect.

One of the curious phenomena of language is the variety of expressions that may be used with but slight difference of meaning. In the languages of modern Europe this phenomenon is easily explained; the various expressions for one idea can often be directly traced to some local usage, or to elements of the language which were evidently derived from different races.<sup>1</sup> The real question is, not why the words or phrases mean much the same thing, but why they have been retained when their meaning was so nearly alike. The very great number of nearly synonymous roots in Semitic languages can only be due to the same cause, viz., the different original elements out of which the language has arisen; and the main difference between these languages and the Indo-European consists merely in the fact that they retained so many roots with nearly identical meaning. An early stage of this process may be found in the speech of the very lowest races. Here a great abundance of roots exist for the few concrete objects which require names, while there is little or no connection between the roots denoting similar objects among tribes a little way apart. The examination of languages in use at different stages of culture suggests that agglomeration and assimilation are a most important process in the development of vocabulary.

Nor is the process we are considering at all limited to vocabulary. Each different element in a language tends to persist and exert its influence on the result, when two languages come in contact. Naturally the vocabulary and the sentence-structure and the modes of inflection of either

<sup>1</sup> Thus, in Germany, the ears of different animals are denoted by *Lauscher*, *Luser*, *Löffel*, *Gehör*, *Schüsseln*, *Behang*, *Lappen*, *Ohren*. Man's bones are *Beine*; an animal's, *Knochen*; and a fish's, *Gräte*. Similarly in this country we have many names for the same thing. *Rill*, *rivulet*, *stream*, *brook*, and *creek* all refer to running water, the last two being local terms—a New England child might not know what a creek was. Frequently the Latin and Saxon origin of many synonyms is plain, as, e.g., *origin* and *beginning*, *punish* and *chastise*, etc.

language will not have each the same influence, and the result may be a highly complex combination. Thus the Babylonian language is analyzed into several components; the mode of writing is said to be Accadian in origin, the sentence-structure is Semitic, and the vocabulary includes words from both sources. To follow out this example of language in other matters than vocabulary would, however, lead us too far astray from our main argument.

*The Example of Religion.*—We may note the same process as it appears in the history of religions. The worship and belief of any civilized people are very complex, and elements from different sources may be traced in the religion as well as in the language of such a people. The history of early Greek religion tells of a persistent tendency to adopt religious beliefs and practices from Phœnicia, from Thrace, from Asia Minor, and from Egypt. The Roman Empire followed the example of Babylon and of earlier states in Egypt, when it adopted gods from all over Italy and from the conquered nations outside of Italy as members of its own pantheon. It is customary to trace the complex forms of modern Christian belief and practice back to three main sources—the influences of Palestine, of Greece, and of Rome; but each nation which has accepted Christianity has in some measure modified its form, and within its catholic faith may be traced survivals of many primitive forms of religion. The complex character of civilized religions suggests (1) that they contain elements which differ radically because they came from different sources, and (2) that forms of religious faith and practice have a remarkable power of persistence.

*Fusion of Religious Forms.*—In fact the interaction of heterogeneous elements in religion and the fusion of these elements into new and more complex forms is almost the whole content of the external history of worship. The objects with which the idea of God is associated, often show the traces of this process. Some of the composite idols of India may be readily analyzed into their component parts;

and Egyptian gods with animal symbols are the products of lower and higher elements combined in a somewhat mechanical way. The gods of the developed Greek religion contain elements derived from local cults all over Greece, as well as many elements from foreign sources, and all the imagination of the Greek people failed to give them a clearly defined unity. A similar process of fusion may be followed in the development of belief in a future life with its rewards and punishments. Persian, and Babylonian, and perhaps Egyptian ideas seem to have affected later Jewish conceptions, and in the early church these were profoundly modified again by Greek lines of thought. Again, the same spot has often served as a holy place for a heathen temple, a Christian church, and a Mohammedan mosque; and not infrequently much the same rites have been practised there from time immemorial, with only the adoption of some new elements as the religion nominally changed. The more careful study of religious history confirms the impression that the different factors of religion have a wonderful power of persistence, and that differences in the forms of a religion are in large measure due to an original difference of the religions which have gone before it.

*Heterogeneity the Condition of Progress.*—Finally, the effort to discover the reason for religious development leads the student back to the same line of thought. New forms of religious belief and practice are due to the conjunction of earlier forms; the forms which we were wont to call higher, arise through the interaction of forms that seem to us more crude. All the great ethnic religions of the world, like all the civilizations with which they were associated, are the product of epochs and of countries where there was a vigorous interaction of different ethnic elements. The development of new and higher forms of thought and of life in Christianity itself may be traced to external stimulating influences of the same sort. The agglomeration and fusion of different elements are not only the cause of complexity, but the condition of genuine progress.

**Process of Agglomeration and Assimilation: Conclusion.**

—In the record of the development of the race and of its civilization, the process which we have just been considering stands beside the process of dispersion and differentiation. Alone it is no adequate explanation of human progress, but it is a process ever going on as one phase of this development. It involves two principles. (1) Physical and psychical characteristics tend to persist indefinitely. (2) These characteristics are modified indefinitely by the contact of race with race, but each constituent element exercises its influence in the formation of the new product. Consequently, physical and psychical differences in developed civilization are, in the main, due (*a*) to different sources from which the product is derived, and (*b*) to new types which may have arisen by the combination of elements originally distinct.

## CHAPTER XIV

### NATURAL SELECTION IN HUMAN SOCIETY

The Real Nature of Progress :

- I. *The Biological Theory of Natural Selection.* (1) Multiplication. (2) Heredity and Variability. (3) Conflict—Result: The Survival of the Fittest.
- II. *Modifications of the Struggle for Existence in the Case of Man.*
  1. The Unity of the Social Group as a Modifying Factor. 2. Lines limiting Struggle are no longer Territorial, but by Classes. 3. Importance of Reason as a Modifying Factor—Resulting Changes.
- III. *Natural Selection in Human Society.* A. The Biological Conditions of Struggle and Selection are Present in Human Society—Multiplication, Heredity, and Variability Follow Biological Law. Multiplication, joined with Social Ambition, must produce Struggle—Conditions of Struggle in the Different Modes of Social Activity—Farther discussion of Heredity and Variation as basis of Selection—Psychical Heredity—Multiplication of Social Groups Leads to a Struggle of Groups, in addition to Struggle of Individuals within each group—Multiplication of Ideas and Psychical Struggle—Résumé: Conditions Present in Society that inevitably lead to Struggle and Selection.

**The Real Nature of Progress.**—The processes in the development of human society which have thus far been discussed, give little or no clew to the nature of the forces at work to produce this development. We may show that there is a unity between the present of society and its past; that social relations are becoming more complex, and, at the same time, extending more widely; that a process of dispersion and differentiation, as well as a process of amalgamation, may be discovered by analyzing the course of social development; but, even if these receive the name of “laws,” they do not indicate the real nature of the fact to be explained. A race does change

when placed in a different environment; races do modify each other when they come in contact. But what has this to do with progress?

## I. THE BIOLOGICAL THEORY OF NATURAL SELECTION

In the study of organisms, a set of facts quite similar to those just enumerated had long been somewhat familiar to biologists, and the conclusion that organic species had arisen by a process of development had more than once been suggested; but it remained for the discoverer of the law of natural selection to show the meaning of these facts, and thus to give a reasonable account of biological evolution. Popular ideas of evolution and the struggle for existence are so vague, that it is necessary to outline this theory before attempting to apply it to human society. The accepted theory of natural selection may be stated in three propositions. (*a*) Organisms tend to multiply in some geometrical ratio, so that far greater numbers are produced than can find means of subsistence. (*b*) Offspring are essentially like their parents; nevertheless, they differ somewhat from either parent, and from each other. (*c*) In the competition with other organisms for the means of subsistence, those members of a given species which are best adapted to meet existing conditions will survive, and leave more abundant offspring. The survival of the more fit is the key to development. These propositions need but a few words of explanation.

**1. Multiplication of Organisms.**—The theory of evolution starts with the fact that the normal rate of increase for any organism is such that the number of offspring exceeds the number of the parents, and that this increase tends to continue in a geometrical ratio. “There is no exception to the rule that every organic being increases at so high a rate, that if not destroyed, the earth would soon be covered by the progeny of a single pair.” “The elephant is reckoned the slowest breeder of all known animals

. . . At its probable minimum of natural increase . . . after a period of from 740 to 750 years, there would be nearly 19,000,000 elephants alive, descended from the first pair," in case each individual lived the normal length of life.<sup>1</sup> Such calculations show very clearly that the actual numbers of any given species do not depend on the normal number of its progeny, but rather on the conditions of life to which it is subject. The necessary result of this rate of increase is a direct or indirect competition between members of the same species, as well as between members of different species; and the more rapid the rate of increase, the larger the number of individuals who perish in this competition.

**2. Heredity and Variability of Organisms.** — The very existence of fixed species depends on the familiar fact that offspring resemble their parents. This resemblance would be even clearer, except that the characteristics of either parent seem to appear indifferently and in new combinations in the child, while characteristics of more remote ancestors may reappear after being latent for several generations. A general permanence of type is guaranteed by the fact that features of the type which may be lacking in one parent are ordinarily present in the other; and again, by the lack of fertility which is common when both parents vary much from the type. Even apart from these causes, variations from the type tend to disappear by reversion unless they distinctly aid the individual in his struggle for existence. With this relative permanence of type is always associated some variation even between the offspring of the same parents. The different characteristics of the two parents combine to produce entirely new characteristics. It has often been maintained that the "law" of use and disuse affects these variations; but whatever their source, the fact of variations is evident to every observer. "Every organ, every character, every feeling is individual;

<sup>1</sup> Darwin, *Origin of Species*, 6th ed., p. 51. Cf. Wallace, *On Natural Selection*, pp. 29-265.

that is to say, *varies* from the same organ, character, or feeling in every other individual.”<sup>1</sup> In this variation is found the possibility of selection and of progress.

**3. Biological Struggle.**—Thirdly, the struggle which results from the rapid multiplication of organisms is both the law of life and the law of progress. Plant or animal, it stands in a sort of antithesis to nature, and its life is a constant assertion of partial independence over against the forces of nature, both inanimate and animate. Its correspondence with environment includes adaptation to physical conditions of land, climate, etc.; power to secure nourishment; power of defence against other organisms; power of propagation. In each relation the individual must maintain itself, and that better than its rivals. Placed in competition with other organisms, it must not simply adapt itself to physical conditions, but so adapt itself as to survive when others fall; it must be endowed with power to secure sufficient nourishment more easily than its competitors; it must be able to defend itself from attack, either directly by weapons, or indirectly by power to escape, or finally it must meet attack by producing offspring in such numbers that some may escape. “Struggle” may hardly seem the word to express the relation of one plant to another, and yet the metaphor is hardly forced, when the fact is that the plant perishes, unless it meets present conditions better than its competitors.<sup>2</sup>

**Biological Survival of the Fittest.**—When these three points are considered together, it is evident that the immense destruction of life resulting from the struggle for existence is always a destruction of those less fitted to meet existing conditions. Accordingly, in the small percentage of the organisms produced which can survive, even

<sup>1</sup> Wallace, *On Natural Selection*, p. 266. On pp. 287–290 the universality of this law of variation is widely illustrated for plants and animals. “The experience of all cultivators of plants and breeders of animals shows that when a sufficient number of individuals are examined, variations of any required kind can always be met with.” Cf. *Origin of Species*, chaps. i. ii.

<sup>2</sup>*Origin of Species*, chap. iii.

slight variations that prove useful are preserved, and those types which are best adapted to their environments supplant the parent type. Such is the complexity of environment that variations in different directions may prove useful at the same time, and as a matter of fact varieties from a common stock may at one time be increasing in number, while at another time, under different conditions, even well-established varieties may disappear entirely. The two most important phases of struggle may be termed the material and the biological. The limited amount of space, in particular the limited amount of food, at the disposal of any one species prescribes limits to the number of that species, and this fact alone results in a weeding-out of the varieties least adapted to these conditions. Again, every organism must be able to meet the direct attacks of other organisms; in this form of conflict the less vigorous, the slower, the less cunning perish, while those better able to defend themselves are the ones that survive and propagate their kind. In addition to these fundamental phases of struggle and selection there is another phase which obtains in the case of animals. Within the same species the process of pairing is attended by a selection which is far-reaching in its results; in fact, sexual selection may in some instances exceed the two forms previously mentioned in its influence on the surviving type.

## II. MODIFICATIONS OF THE BIOLOGICAL STRUGGLE

It is evident that even in the purely biological sphere these different factors are not co-ordinate, and are subject to modifying influences. Sexual selection is not determined on the basis of physical strength or cunning alone, and it may often work at variance with this. The gregarious instinct is a very important modifying factor. In the case of species which have developed this instinct, it may altogether outweigh individual strength; it may even oppose the development of individual strength, when individ-

ualism is at variance with the needs of the flock. So the family instinct changes the working of natural selection as soon as it gains any power. In general, the young of birds and mammals are not exposed to the full force of conflict till after some weeks or even months of protection; and until that time, it is the whole family which as a unit enters into the struggle for existence. Such modifying influences break the force of struggle for the individual, and change the conditions of life for the species, by bringing larger units, groups or families, into competition. It is, however, merely a change of the conditions of struggle, a change in the meaning of what is fit, not the cessation of the struggle for existence. In view of these and other modifying factors, it is often convenient to consider the real struggle from an ideal stand-point, and to speak of it as a competition of types, rather than a contest of individuals.

The word "fittest," as well as the word "struggle," has led to much misunderstanding. In the actual struggle for existence, what seems most beautiful, what is best adapted for man's use, what even seems highest, are by no means sure to be preserved. The weed has a great advantage over the wheat, the English sparrow over the thrush, because they are best adapted to given conditions. But with changes in conditions and the constant introduction of new competitors, *useful* variations are always preserved. In the accumulation of variations in many different directions, lies the possibility of the more complex organisms which are commonly called higher.

In the case of man, the factors which modify the simple working of this biological law become far more important, so that its whole character is changed. Of these factors, three deserve special consideration. Social units are more numerous, more compact, and more lasting than any gregarious groups among animals; with the growth of society, competition is limited less by territorial lines and more by new lines between differentiated forms of activity; and, thirdly, struggle and survival are raised gradually out of the physical into the psychical sphere.

**1. The Unity of the Social Group as a Modifying Factor.**

—Even among animals, gregarious habits modify the simple action of natural selection. Birds which migrate together, fish that swim in shoals, protect themselves by their very numbers; and when the chamois, or the buffalo on the Western plains, set a sentinel to watch while the herd grazes, the group protects itself as a whole more successfully. The groups among the least civilized men are ordinarily more closely bound together than in the case of any animals, and they enter as a whole into the struggle for existence.

**The Clan and the Family.**—The less civilized Indians formerly inhabiting the Pacific coast of North America, like the lower races in South Africa or Australia, lived in clans, and the clan-relationships were the most sacred thing in life. Within the clan there was some competition between individuals, but the real struggle for existence was the effort of the clan as a whole to secure food, and to protect itself from physical evils and attack by man or beast. As the family became more stable, till it could be called the very basis of human society, its close union shielded its members from the real brunt of the struggle of life. The family as a whole seeks protection for itself from cold and wet, and from attack; all unite to protect and cherish the weakest member, so that the only world in which he lives is the world made by the family. The family (Chap. IX.) has been shown to be the normal unit in economic life, in social life, and even in the state. In these different forms of activity, families, or heads of families, are the acting units, and selection is primarily a selection of the family group which is best adapted to given conditions.

**The Town in the Struggle for Existence.**—Or again, the local community, town or city, developing as it does a considerable degree of common life, has to meet the conditions of life to which it is subject as a whole. A strong and genuine municipal life is a guarantee of security to the citizens, it enables the city to prosecute public works easily

and cheaply for the comfort of the citizens, it is a primary condition in making the city desirable for large manufacturing and business concerns; in a word, the true city protects its citizens from many phases of the struggle for existence when it proves its power to meet successfully the conditions of its municipal life. The community competes with other communities, and the "more fit" survives; in this way also a part of the struggle for existence is taken off from individuals.

The actual competition between communities is often obscured by the complexity of these relations, and the slowness with which its results appear. The reports that inhabitants of Basel have moved elsewhere to escape an odious income tax, and that investments have been withdrawn from the State of Colorado for fear of Populist rule, in so far as the reports are true, illustrate the form that competition may take.

**Competition of Groups Modifies Struggle of Individuals.**—It is unnecessary to discuss all the peculiar forms of social groups that arise in the economic life, the political life, or the distinctly psychical life of society, for in each case the same principle holds good. *Groups* compete; the factory, or bank, or school, or political party that is best adapted to existing conditions wins in this struggle; and the individual is only a common soldier in the successful or defeated army. Within the group the individual competes with his companions, but in the world at large the man has few battles to fight alone, for it is a contest of group with group. That group is "selected" which proves itself most vigorous and compact, while other groups break up and leave their members unprotected; or if they continue to exist, their influence and power are gone. In each case the fate of the individual is bound up with the fate of the group.

**2. Lines limiting Struggle are no longer Territorial.**—The second important change in the working of natural selection is also a direct result of social development.

Among plants and animals the struggle for existence is narrowly limited by territorial lines. The thistle and the grass compete for the same spot of ground ; food and climate determine for a given locality the animals that can flourish there. The growth of human society is a constant breaking down of territorial limitations, and with all that the state may do to "protect" its own industries or to erect Chinese walls about its ancient institutions, it is no longer able to shut off its life from the current of the world's life. The old territorial lines are succeeded by far more complex lines of limitation that arise in the development of the social structure itself. The struggle for social position is between comparatively few competitors, cut off from the rest of the world by lines above and below. The economic structure, which stands between human needs and the needs from which these needs are to be met, illustrates the point even more clearly. The effort of the tribe, and much later of the feudal household, to supply the needs of its members, has been transformed into a manifold competitive activity in which the whole world is involved.

With the disappearance of local lines, new lines of kind limit competition to a considerable degree. The individual iron-worker competes primarily only with a limited number of men who know how to perform the same task in the manufacture of iron products. The miner in Pennsylvania competes with those who have the same skill ; and the fact of distance has so little weight that men with the same skill in Wales or Hungary may underbid him for his position. The successful candidate for one chair in a German university is an American, for another an Englishman born in South Africa. In the art-world of Rome or Paris men of every nationality meet on all but equal terms. In the world of thought and of art, as, indeed, in the political world, the local lines of struggle are largely supplanted by new lines depending on the different forms of human activity.

**3. Importance of Reason as a Modifying Factor.**—The third important factor modifying the simple working of

natural selection, is the fact that the main source of strength, and the standard of fitness as well, are no longer physical but psychical. Gradually physical culture is being supplanted by competition on psychical lines. The conflict with nature is entirely transformed by man's power of invention; obstacles and hindrances are overcome by the power of reason, and are even utilized for human ends; the forces of nature are harnessed to do man's work for him. With the new psychical development of imitation, gains like these are passed on from place to place and from generation to generation. Love of association is developed into new and higher sentiment, and the new bonds of union no outside force can break. Habits become in man the foundation of character; when the infinite worth of moral character is once recognized, new ends demand the energy of the man and the social group, and struggle that is really social becomes an ethical struggle directed toward ethical ends. In fact all distinct and conscious recognition of the future, all effort to direct present activity in view of purposed ends, is the work of human reason modifying the simple struggle for existence. It has indeed been argued by Mr. Benjamin Kidd in a recent volume, that inasmuch as man's reason (*i.e.*, his self-interest) affords no sanction for the sacrifice of the individual for the good of the race, reason only tends to check the operation of natural selection. A larger view of man's reason, recognizing that each gain in psychical power binds him more closely to his fellows, and impels him to work in and for society, would have prevented this error. The presence of reason entirely changes the form and sphere of natural selection, but the fact remains.

**Change in the Struggle for Existence which these new Factors make.**—The result of these new factors, modifying the simple force of natural struggle and selection, can hardly be over-estimated. John Fiske<sup>1</sup> looks forward to the elimination of physical strife, and claims that this

<sup>1</sup> *Destiny of Man*, p. 96.

“means that the universal struggle for existence, having succeeded in bringing forth that consummate product of creative energy, the human soul, has done its work, and will presently cease.” Darwin touches this question, at the close of his epoch-making book,<sup>1</sup> in the following words: “Important as the struggle for existence has been, and even still is, yet as far as the highest part of man’s nature is concerned, there are other agencies more important. For the moral qualities are advanced, either directly or indirectly, much more through the effect of habit, the reasoning powers, instruction, religion, etc., than through natural selection.”

The change which Mr. Fiske, like Mr. Darwin before him, has sought to signalize by limiting the phrase *natural selection* to the lower, physical plane, is indeed one of the greatest importance. The competing units are of an entirely different type, the lines limiting struggle and selection have altered, the power of reason and all that it implies have entirely changed the plane of struggle; consequently the manner in which the fittest survive can no longer be the same; still, I believe, struggle remains as the very condition of life and progress.

The only case in which struggle seems to be eliminated is found in a few communities where two conditions are approximately fulfilled—(a) isolation from all the rest of the world, and (b) such a social crystallization that each person accepts his definite position in the community with no thought of any competition with others.

### III. NATURAL SELECTION IN HUMAN SOCIETY

Much as the struggle for existence may be modified in the case of man, it is hardly conceivable that it should not appear here, so long as the same conditions are present alike in the animal world and in the distinctly human world. In considering natural selection as the principle of social

<sup>1</sup> *Descent of Man*, ed. 2, p. 618.

development, I desire to show (a) that the conditions which produce struggle and compel selection in the biological world are found in the world of human society; (b) that here also struggle is the necessary result of these conditions, and that it is growing keener, rather than tending to disappear; and (c) that the consequent selection is at the basis of social development.<sup>1</sup>

**A. The Biological Conditions of Struggle and Selection.**—The condition of struggle is multiplication—multiplication so rapid that the individual must vindicate his place in the world by superiority to companions for whom there is no place. The conditions of selection are heredity with variability, and a struggle in which only the selected strong survive. Variability is necessary, that there may be varieties between which to select; heredity, that the selected variations may be preserved, and become the starting-point of other useful variations. For organisms proper, struggle, and selection, and progress, are the necessary outcome of these conditions. In so far as the same conditions are present in human society, the result is necessarily the same—struggle, selection, and progress through selection.

**The Multiplication of Man follows a Similar Law.**—Man is an animal, though “a spark of divinity dwell in his frame of dust”; and as an animal, he is subject to the laws governing animal organisms. His rate of increase is said to be slower than that of any other animal; but “even slow-breeding man has doubled in twenty-five years, and at this rate, in less than a thousand years, there would literally not be standing-room for his progeny.”<sup>2</sup> Statistics quoted in an earlier chapter (Chapter III., p. 83) prove that the rate is normally high enough to exert constant pressure on the food-supply. Where this is not the case, the race degenerates; where this is not the case in a given class in society, that class must be recruited constantly from other classes, or it loses its social position.

<sup>1</sup> The second and third points are discussed in the next chapter.

<sup>2</sup> Darwin, *Origin of Species*, p. 51.

Mr. Galton (*Hereditary Genius*, p. 340) has shown, theoretically, the doom of any class which multiplies less rapidly than the rest of the community. Other observers in France and Germany find that, as a matter of fact, the higher classes in any form of social activity are only maintained by constant recruits "selected" from the lower classes.

In a word, the same set of facts are found here as in the case of the other animals; and here also the necessary consequence of rapid multiplication is struggle for existence. I need hardly add that progress is not favored by an abnormally rapid rate of increase, either in the case of man or of animals; natural selection itself favors the race that multiplies just rapidly enough to produce a healthy struggle. For man, as for some of the stronger animals, the rate of increase is low enough, so that relatively few actually perish in the struggle for existence.

**Laws of Heredity in the Case of Man.**—In like manner, the laws of heredity and variation are the same for man as for any other creature. Offspring tend to be like their parents; differences in the two parents, and perhaps other causes, produce an indefinite number of slight variations in every child; such variations as help the child to meet existing conditions are preserved, and ultimately increased. Social relationships, and in particular the institution of the family, greatly modify the results that appear under these laws. The greatest change in the results, however, is due to the fact that the environment with reference to which selection is made, is primarily social. The source of man's strength lies in society; the variations that aid him in the struggle for existence are mainly psychical; consequently, physical variations of type may be largely overlooked, in that they have relatively little result for man.<sup>1</sup>

**Multiplication as the Cause of Social Struggle.**—The multiplication of men, who are obliged to compete for place and food, is in itself enough to transform every mode of

<sup>1</sup> Cf. John Fiske, *Destiny of Man*, pp. 58-66.

social activity into a form of social struggle. In the economic world, constant readjustments, in view of varying markets and new machinery, obscure the simple facts as to the relation of competition and population. It is clear, however, that even in times of expansion and for the lowest positions in the industrial world, a certain degree of selection is possible. Among those who are at the bottom of the scale, and indeed among the men in any one economic class, natural multiplication is ordinarily rapid enough to lead to struggle for position. Even in the case of such an abnormally rapid industrial development as that which took place in England during the first half of the present century, population followed the growth of industry very closely; workers multiplied quite as rapidly as the positions to be filled, and competition became keener, rather than less severe.

In developed human society, the biological factor leading to struggle is far less apparent than the social factor, by which I mean man's desire to secure a *better* social position, in order that he may *better* satisfy his needs. Mr. George (quoted by Kidd, *Social Evolution*, p. 259, n. 1) says of man, "He is the only animal whose desires increase as they are fed, the only animal that is never satisfied." The removal of barriers between social classes has gradually extended the reach of social ambition, till it has no more limits, except the power of the individual's imagination. The masters in the industrial world are, for the most part, men of far vision and iron will, who have striven toward a distant goal till it came within their grasp. In "social" life, in the political world, natural multiplication necessarily leads to struggle; but ambition for "social" and political position is a far more important factor in making the struggle intense. The higher any one rises, the keener the struggle, for his competitors have tasted success, and revealed the power to win, and their appetite is only whetted for more. The social motive to struggle increases in something like geometrical ratio; the contest it produces in the

higher classes is often so keen as to stand in the way of real development.

**Conditions of Struggle in Psychological Life.**—In the distinctly psychological forms of social activity, a constantly increasing number of men seek to make a place for themselves. Whatever be the cause that so large a number seek some standing in the world of art or of science, or again in the so-called learned professions, it is true that an increasing number of individuals, desiring to attain a comparatively limited number of positions, must meet the same fate as the units that multiply rapidly in the biological world. Rapid multiplication soon results in a struggle for existence, in which the weaker fall. The artists and the lawyers who fail, are simply those who have fallen out in the keen struggle for a particular kind of existence. The highly educated German musician, who earns enough by copying music to pay a little for board at the poor-house, has failed because so many others, in some respects better fitted than he, have entered the lists with him, and made the contest too severe. Simple multiplication at any one point must lead to struggle; but the second factor, the desire to secure a better position, constantly reinforces the former, and its power increases rapidly as society develops.

**Heredity and Variation in the Psychological Sphere.**—The second condition of selection in the world of biology, viz., heredity and variation, must also be fulfilled here, if the struggle thus produced is to result in real selection and progress in the social world. The laws of inheritance apply just as much to psychological characteristics as to physical; qualities that aid parents in the forms of social struggle are preserved and intensified in their descendants. In a stock of good farmers are found at length the qualities demanded by their occupation; and when natural capacity is enforced by family tradition, the descendant of scholars or of statesmen may excel his parents in their chosen field. So many forces interfere with simple results like these, that their existence is often denied. The family of Bach,

as musicians, of Adams, as statesmen, seem to be marked exceptions. Still careful investigation discovers that psychical ability has antecedents ; that a family gradually lays the foundation of industrial, or intellectual, or ethical greatness ; although in its very success are many elements that threaten continued success.

If development in the psychical sphere depended on biological heredity to preserve what had been won, it is hard to see how there could be any development at all. What physical heredity fails to do is accomplished by a sort of psychical heredity. The son's character and ability depend quite as much on home training as on any natural gifts ; the teacher's enthusiasm kindles the love of learning in some mind which will penetrate more deeply than his into the secrets of science ; the assistant physician, attending for years upon a master's work, can at length achieve yet more wonderful results. In a country where scholarship is honored and fostered, the results of physical as well as of this so-called psychical heredity are preserved as the basis of higher intellectual developments ; and new variations which increase a scholar's power are preserved. The mantle of statesman or artist falls on the apt pupil, in whom new variations with the inheritance of tried qualities make further advance possible.

**The Multiplication of Social Groups Leads to Struggle between Them.**—If now we turn from the semi-biological ground of individualism to what is distinctly a matter of sociology, still much the same conditions are found. The groups which are the proper organs of social life show the same tendency to multiply beyond the actual need for them ; and in the struggle that ensues slight variations from the old form determine the relative strength or weakness of the new forms. Here multiplication beyond need leads to competition ; variation within narrow limits is the basis of selection and so of development. In the industrial world facts of this class are almost too familiar to need illustration. Indeed, the very word which we have been using to

denote human struggle, the word *competition*, is taken from the industrial sphere. In a time of prosperity, stores and factories multiply beyond the normal need of society; all sorts of new industrial schemes are set on foot; the unavoidable result sooner or later is a sharp struggle in which only the strongest industrial groups can maintain themselves. The new factories may some of them have been able to combine better machinery and better business methods with what have been found good in previous trials; in that case the new variety is better adapted to the conditions of industrial success, and is "selected" by showing itself the stronger.

To take an example from the intellectual activity of society, we may consider the planting of colleges, or indeed of churches, in a territory that is being rapidly settled. In the West states are interested in higher education as part of the state school system; individuals are interested in higher education; different religious denominations are interested in education as an element in Christian training. Colleges are planted by these different parties in such number as to more than meet any present demand on the part of students; so large a number may or may not be a good thing for the interests of education; in any case it inevitably leads to sharp competition for students and for funds.

**Multiplication and Variation leading to Struggle within the Social Group.**—Perhaps the greatest advances in social development have been along the line of greater stability; and this has ordinarily been attained by a shifting of the principle of multiplication and variation which we now are studying. The best example of this is in the political sphere, though the same change is taking place elsewhere. Among savage races political activity consists in a struggle of tribe with tribe, in which new political units are ever being formed in considerable number and the weaker are disappearing entirely in the struggle to maintain themselves. Greece and Rome alike grappled unsuccessfully

with the principle of stable government, and modern states have been brought to the verge of destruction by failure to discover the same principle. To-day, however, it is generally recognized that stability may be secured by permitting growth from within. The multiplication of groups that stand for new political ideas is encouraged within the state; what could once only be accomplished by a revolution and overthrow of the existing government is accomplished by a change of party, the multiplication of political groups that leads to struggle is no longer multiplication of states, but a subordinate principle of growth within the state. The same change in the position of multiplication and variation of groups is appearing in intellectual life, in social life, and in economic life; and the consequence is that these forms of struggle are undergoing a profound change with reference to the permanence of the social structure.

**The Contest between Ideas and Ideals.**—As the conditions of struggle and selection rise into the really psychical sphere, they may be studied simply as the multiplication of new institutions, new ideals, new motive-ideas. In fact, the rise of new groups in any form of social activity is always due in some measure to the rise of new ideas, and the higher the society, the more it depends on new ideas. In the psychical life of society is found the ultimate source of the conditions that lead to social struggle; such being its source, we cannot expect that social struggle will ever disappear.

**Résumé: The Conditions of Struggle and Selection are present in Human Society.**—In the biological world, multiplication and heredity, with slight variations, led to struggle and the possibility of selection. Considered from various stand-points, exactly the same conditions are fulfilled in human society, and it seems inevitable (*a*) that the multiplication of individuals lead to struggle between them; (*b*) that the multiplication of individuals in the same form of social activity, seeking the same ends, transform the

activity into a struggle ; and (*c*) that the multiplication of groups for the same function, with the multiplication of the institutions and ideas for which the groups stand, result in a struggle of group with group. The new factors that enter into the struggle differ slightly from the earlier factors, so that struggle becomes a means of selection between the "varieties," and the "fittest" survive.

## CHAPTER XV

### NATURAL SELECTION IN HUMAN SOCIETY

(Continued)

**B. *Struggle for Existence in Human Society.*** 1. Economic Activity as a Struggle for Existence—Progress not from Struggle, but to Higher Forms of Struggle. 2. “Social” Activity as a Struggle for Existence. 3. Political Activity as a Struggle—Importance of the Struggle between lesser Political Units. 4. Psychological Life Involves Struggles, as to New Ideas and Inventions, New *Æsthetic* and Ethical and Religious Ideals.

Changes in the Form of Struggle as Society Develops. 1. Physical Struggle is Gradually Raised to the Psychological Plane. 2. The Aim comes to be not Destruction, but Supremacy. 3. Irrational and Rational Forms of Struggle—Change in the Competing Units as the Struggle becomes Psychological.

**C. *Survival of the Fittest as the Outcome of Struggle.*** 1. Survival of the Fittest *Individuals*. (a) Biologically the Less Fit Perish, the Fittest Survive and Increase most Rapidly and Rise in Social Position. (b) Economic Survival; Social Apparatus for Determining it. (c) Political Survival; Social Apparatus for Determining it. (d) Psychological Survival; Social Apparatus for Determining it. 2. Survival of the Fittest *Groups*—Fitness of Groups Determined by their Organization—Type of Family, Industrial Organization, Political Principles, Standard of Right, of Truth, of Beauty: as Elements of the Organization that Determines the Fitness of the Group—Authority of each is made Clear by the Survival of the Group which it Helps to Make Fit. 3. The Survival of the Fittest *Institutions*—Process of Survival of Social Institutions—Authority and Stability of Institutions, together with Principle of Development—Progress by the Survival of the Fittest.

**B. Struggle and Selection in Human Society.**—Having seen that the conditions of struggle and selection are present in the distinctly human world, we may go on to consider the facts of struggle and of selection more in detail.

The conditions of struggle are all but universal in society, so that all social activity may be considered from this stand-point. Even writers who regard society as an organism, point out a degree of competition between different functions and organs in the animal organism, and profess no surprise that with the less rigid structure of society, this competition or struggle becomes a far more important phase of all activity. It will be convenient for us to consider the forms of social activity that were described in an earlier chapter as forms of social struggle, and then to examine the different planes of social struggle, and the different ends by which it is dominated.

### **1. Economic Activity as a Struggle for Existence.—**

It needs no second glance to satisfy one that the conditions considered in the last chapter render the economic activity of society what is fittingly called a struggle. Follow some industrial product — as economists are wont to do — from the factory up to the time when it is “consumed.” The manufacturer of cotton goods chooses between competing places for his factory; the makers of his machinery are vying with each other to produce most economically the engines, looms, etc., that are best adapted to his work; raw products he buys from sellers competing in the open market; labor he hires from among men who bid against each other for his work; transportation companies compete with one another in cheaply transferring his goods to market; and in the market, seller is struggling with seller for the privilege of a sale with profit; buyer and seller bargain together, to agree on a price. The present century has seen barrier after barrier swept away, till the whole world enters more or less freely into the one struggle; family and social distinctions are being obliterated in the industrial world; customs and laws in restraint of trade have been set aside.

**Progress not from Struggle, but to Higher Forms of Struggle.**—The result of this rapid expansion of industrial activity is to force more clearly on thinkers the fact that

civilization moves, not away from struggle, but to new forms of struggle. And the efforts to deal with the many difficulties which have arisen from this sudden change, make it clear that it is not by seeking to put an end to conflict, but by modifying its forms, that progress will be made. For instance, a great strike may be fought to the bitter end, and settled by the complete exhaustion of either employers or employed; but the only genuine settlement of industrial difficulties has been gained when both sides were ready to listen to reason, and thus to elevate the clashing of interests to this higher plane. Laborers who suffered cruelly in an unequal struggle, have won their rights by combining and entering the struggle as a larger unit—but only when they could shift the contest to a higher plane than that of brute force, and gain the sympathy of the community in their behalf. Groups of co-operating buyers have united to do away with the petty competition of retail stores, by elevating competition to a more reasonable plane. Nor are the greatest monopolies of the day altogether free from the higher forms of pressure in the economic struggle, uncontrolled as they may often seem for a time.

2. **“Social” Activity as a Struggle for Existence.**—  
 “Social” activity means social struggle. Normally, the conflict of class with class on this line is not so intense as the economic struggle, yet the same forces which have been removing geographical and political and social barriers in the economic world, have also been at work in the “social” world. In particular, the extension of the ballot with the new idea of rights which goes with the ballot, and the increasing respect for the power that goes with wealth, have done much to break down old social lines. And when once the position of a class or an individual is questioned, it must constantly be asserted. Thus the struggle between social classes is intensified, the effort on the part of each family to secure “social position” becomes very earnest, and all social intercourse intensifies the strug-

gle for position. In many parts of the earth, the contact of different races has roused social ambitions in the one, and hatreds in the other, till the very structure of society is threatened. The determining factor in this contest was once a military force that assigned each man his place; the social power which all men recognize to-day is the power of wealth; still it is growing clearer that the real power behind the army and behind the wealth is intellectual, and it is on this plane of intellectual power that social recognition is to be sought in the future.

With all the modification that civilization brings, the struggle for females still remains as a competition between men for the hand of a desired wife. An "attractive" woman is one whose society many seek and enjoy; the favored suitor is he who best meets the woman's ideal of a husband. The contest remains, though it has been elevated from the domain of physical force, and away from a parent's power, into the sphere of choice.

**3. Political Activity as a Struggle for Existence.**—The contest between states for power is the earliest kind of human struggle to attract attention, and in the form of war it is the last kind of struggle to leave the purpose of destruction and the plane of physical force. It should never be forgotten, however, that to-day war is the least important part of that contest between states which receives so much of public attention. The phrase "balance of power" may not be a true political ideal, but it expresses the statesman's recognition of the contest of states as something far more than the military form of this contest. Each state holds its own with reference to every other state by their consent and its power to win their consent; and the external life of the state is a constant effort to increase its relative power and thus to raise its relative position. War becomes less frequent, and men may fondly dream that it can be abolished. If this goal is ever attained, it will not be by putting an end to international contests, but by raising these contests to a higher and more rational plane.

The fact of international struggle is simply the fact of international life.

Within the state the contest of smaller political units (towns or "states") for power is generally not important except when localities and political parties coincide. In the United States the interests of North and South may come into apparent conflict, and lead to the bitterest civil war; "silver" states may be at variance with "capitalist" states, agricultural with manufacturing states—but this is not a contest with reference to the relative power of these political sub-units so much as a contest of interests and of parties. Within the state the most important form of political struggle is the struggle between political parties. Their contest for power reaches from the smallest district to the whole nation. It is carried on not only in the election of officers, but in the administration of government and the legislation of assemblies. The party of the majority is the power behind the throne, and other parties are supposed to hold the first party to its true task by their opposition. The very life of the modern state depends on the struggle of parties; progress consists in the elevation of this struggle out of the sphere of physical force into the sphere of reason; and in so far as this form of struggle is civilized and its plane elevated, it becomes the fit instrument for the expression of the people's will.

**4. Psychical Life as Involving Struggle.**—Each of the forms of struggle that have just been considered, rises at times to the social or rational plane, and then it is properly called psychical. The appeal to force does remain in the background, and is a factor that cannot be neglected; but we have seen examples of political parties based on principles, we have known economic and social struggles to be raised to the intellectual plane, and to be settled at the bar of the people's reason. The tendency to bring down all psychical questions into the sphere of brute force, or, at least, to settle them by numerical majorities of unthinking voters, is a danger likely to become quite as great in the

future as in the past. Yet contests of ideas and of ideals belong to the very nature of psychical life, and we could not avoid them even if foolishly we would.

The intellectual life of a people is vigorous when new ideas are brought forward in prolific abundance, and sharply criticised. As for example one man has urged the view that the seat of the race was originally at the North Pole, another has claimed that his lymph would cure consumption, and still others are urging that the adoption of a silver monetary standard, or the control of industry by the state, would be a panacea for social and economic evils. The attempt may be made to settle such questions by force, as Galileo was cast into prison, and as advocates of the theory of evolution have been solemnly damned for all eternity. But when they are fairly discussed and judged by the standard of truth, the severest standard by which they can be judged, the intellectual contest over such ideas is the mark of intellectual life and intellectual progress.

**The Contest over New Ideas.**—Sometimes the form of struggle under discussion is described as a contest of ideas themselves, but the value of the figure of speech is, to say the least, questionable. The process is somewhat as follows. One man, or it may be several men contemporaneously, perceive the inadequacy of an accepted belief. He states the truth in a new and larger form, and seeks to persuade the world that his statement is correct. Darwin finds that the principle of natural selection explains, in a new and more satisfactory way, the facts as to the origin of species. At first only a few, whose thoughts had all but anticipated Darwin's, are ready to accept the view thus propounded. The little group win new adherents by urging their belief on popular attention; the statement of its views is slightly modified as further light is thrown on the question. After more than a generation has passed, the fundamental principle is generally accepted, and the contest is continued between those who believe in the inheritance of acquired characteristics and the party who follow the lead of Weis-

mann in denying it. The spread of education among the people has extended the number of those who engage in discussions of scientific questions, and has given rise to new dangers ; but, provided the discussion can be conducted on its proper plane, the widening of the intellectual struggle will be a widening and deepening of intellectual life.

**Ethical and Artistic Life involves Struggle.**—All progress in ethics and in art is due to the same principle. In a world which found slavery convenient and useful, the struggle in behalf of the new conception of humanity had to be prosecuted nearly two thousand years before it could finally win. Where ethical life was vigorous, the war against the slaveholder was sharpest ; and, when the battle was won by reason, ancestral custom and economic interest could not long hold out against emancipation. Standards of right in business and in politics are being sharply criticised to-day. The struggle is sharper because these particular standards have fallen behind the real ethical standard of the day ; but the man or the party who represents a standard either in advance of public opinion, or behind public opinion, has the same sort of struggle to engage in. The effort to secure a wiser treatment of the dependent class, the agitation in favor of uniform and more strict marriage laws, the temperance movement, are different forms of the struggle for ethical standards in the life of the community. When John Howard discovered the evils, sanitary and moral, which characterized the prisons of France and of all Europe, it was no easy task to secure a higher standard for the treatment of prisoners. A life was spent and sacrificed in the cause of reform before much was accomplished ; and, after more than a century, the contest is still being waged between those who are content with lax methods and the party that demands a radical reformation in the treatment of criminals. Each new proposition as to conduct, each new ideal, has to win its way on grounds of reason ; and when the ethical life of a society is vigorous, the contests may be intense and prolonged. The special intensity of the

ethical struggle is due to the fact that each party believes it stands for the right ; conscience is enlisted on each side, and the very basis of right itself seems to be at stake. The contest is carried on by parties, and, it may be, their strength is occasionally tested by vote ; but it is really a question as to principles, and the final conclusion can only be reached on the ground of reasonable discussion.

**Conflict as the Principle of Development in Religion.—**

Nor is the sphere of religion exempt from conflict. Parties and schools of thought in the Roman Church, sects as well as schools in the Protestant Church, are signs of the effort to grasp truth on different sides, and so to reach a deeper knowledge of God. Questions as to the future state, the authority and inspiration of the scriptures, and the apostolic succession, are discussed, sometimes it is true with bitterness, and yet with a genuine desire to reach the truth. Many a religion has been propagated by the sword, and the power of the majority vote is still invoked in some churches to determine what the truth must be, and what the right shall be. On the lower plane, conflict is divisive, and a hindrance to the work of the church ; and yet struggle is as necessary for religious life and religious growth as it is for advance in any other line. Here again the only question is with reference to the plane on which struggle shall be conducted.

**Change in the Form of Conflict.—**In considering the forms of social activity as forms of a struggle for existence, it has not been possible to overlook the fact that struggle in human society is undergoing a most important change. Like all other social phenomena, it grows more complex as new forms arise out of the old simpler forms ; but the change that has been forced on our attention is more important than any change in outward form. Social conflict changes its entire character as it is raised from the physical to the psychical plane, as it is actuated by social rather than biological ends, and as the units which enter into it become really human units.

**Physical Struggle in Human Society.**—Even among animals, what we call “brute force” is by no means the only factor that determines the survivor; but the struggle is primarily a physical struggle in which the survivor grows fat and the weaker dies. With the development of human society, this side of the struggle is only gradually forced into the background. In economic life, slavery rested on the confessed basis of superior force, and even to-day the strike is often intended as a trial of strength between employer and employed. It is only dreamers who look forward to anything like the elimination of war in the near future. Even in the intellectual and the religious sphere the ballot is invoked as the power of the majority, rather than the power of reason. Germany undertakes to assimilate Alsace-Lorraine, as the German element in Austria-Hungary has attempted to denationalize certain other elements of the population, by forcing its language and its institutions on the conquered people.

**1. Struggle raised to the Psychical Plane.**—Struggle on the physical plane continues in the most advanced forms of human society, but it is gradually being supplanted by conflict on a higher plane. Cunning stratagem and the strength that comes from union are important factors in the struggle of animals, and in the case of primitive man they become the decisive factors. As society develops, the slave gives way to the serf, and the serf to the hired servant, in economic life. The state gains many of its ends from other states by diplomatic means, and, where this fails, some questions are settled by arbitration. The Spanish Inquisition is gone, and the newspaper in some measure takes its place. On the higher plane, conflict is certainly more general; rightly understood, it is keener than it could have been on the lower plane. At the same time, however, a spirit of harmony often exists between contending parties, as between knights-errant of old, and it is more evident than ever before that conflict is the normal form of development.

## 2. Its Aim comes to be, not Destruction, but Supremacy.

—As struggle in human society is raised from the physical to the psychical plane, a new end or purpose controls those who engage in it, and its whole character is changed. In the really human struggle for existence, the aim is not destruction but supremacy; man looks beyond the immediate present, he seeks not so much to meet his needs as to provide a way by which he and those who work with him may have their needs met regularly in the future. The difference to which I refer is the difference between the hunter and the herdsman, between the race that eats the bananas provided by nature and the race that cultivates wheat. The one destroys what he touches, the other becomes master of it and makes it subservient to his future needs. The one acts irrationally and independently of society; the other rationally, on the basis of society and for society.

## 3. Irrational and Rational, Human, Forms of Struggle.

—So in the struggle of man with man, the aim comes to be, not destruction, but supremacy. Savage man may be more cunning, and better able to unite with his neighbor, and better able to profit by his neighbor's experience, than even the higher animals, but in the struggle of man with man the aim is to destroy adversaries, and presumably to eat them. Very early in human history the truly human, rational, form of struggle must have begun, but the traces of it among savage races are hardly to be found, and its progress with the passing centuries has been slow enough at best. As it has gradually supplanted the lower, animal, type of struggle, the foundations of civilization have been laid, and the reign of reason has begun. The *man* struggles with other men in many diverse lines that he may win supremacy, while he and they alike profit from the new relation. The captive is retained as a slave, and then as a servant; in later times tribute is exacted, and the conquered people is left as a source of revenue; at length it is enough that the authority of the conqueror be recognized,

and the conquered race is admitted to all the privileges of citizenship. In the first instance the conquered remain, but their civic life is destroyed ; the exaction of tribute cripples the independent existence of the conquered race, and brings no lasting benefit to the conqueror ; but when at length the conquered race can be fused into the life of the superior race, the foundations of future greatness may be securely laid. The struggle for wealth follows much the same course as the struggle for power. First, destruction of the fortunate to secure his good feeding-grounds ; then repeated pillagings, destroying crops, but leaving those who will raise more ; then a regular tribute, or an effort to secure this by taxing imports ; and, finally, free commerce, for at length men recognize that this is the surest way for even the stronger to secure wealth. The new form of struggle deserves the name *social*, because it depends on present social conditions, and aims to extend rather than to destroy them. It is called *rational*, because it keeps in view the future as well as the present, and pursues the lines which will in the end be most sure to make society more human and more reasonable.

#### **Change in the Competing Units on the Psychical Plane.**

—Finally the change in the form of conflict modifies the competing units. The change from groups determined by territorial lines, to groups determined by class lines, has already been discussed in the preceding chapter, and I need only refer to it once more at this point. Struggle on the lower physical plane is carried on between units that may be called physical ; it makes little difference whether they are individuals or groups that find their unity in some physical cause (kinship or locality). The *social* group, in a more strict sense of the term, the true element in human society, arises in struggle on the psychical plane ; and its character becomes more distinct and definite as human struggle assumes its proper form. The change from lower to higher stages in the development of society is often described as the growth of individualism, and the new duties

and rights of the individual in the economic or the political world are brought in as evidence of it. The truth is that a simple struggle between simple groups is being succeeded by a complex struggle between different kinds of units. The individual is freed from numberless territorial and social limitations that hampered and protected him, but the competition in which he engages is limited in a new way. Not only does increasing differentiation effectively limit the number with whom he competes, but much of the burden of struggle is shifted from the shoulders of the isolated individual to the group of which he is a member. Group competes with group, and the individual competes only with the other members of the group. The human family shields its members from all the rest of the world, but even here an emulation within the bonds of affectionate union is a source of strength. The town removes some phases of the struggle for existence from each citizen, the state removes many others; but within each political unit other ends call out the energy of the individual citizen. The manufacturer, in competing with other manufacturing groups, removes from his workmen much of the stress of economic struggle, but, within definite lines, the workman has only the more bitter a battle to fight. In the higher form of social activity, the simple conflict of physical groups is supplanted by an exceedingly complex struggle, in which each individual and the group uniting to perform each phase of social activity are the units that rise or fall according to their fitness.

### **C. Survival of the Fittest as the Outcome of Struggle**

In the consideration of social struggle, which has thus far occupied our attention, it has been tacitly assumed that the outcome of struggle is the survival of the fittest, inasmuch as it was shown in the preceding chapter that the conditions which cause survival of the fittest are present in the truly human world, as much as in the physical world.

It is true that the definition of "fittest" has constantly changed, as struggle has been raised to higher planes. Strength and speed once constituted fitness; they have been supplanted by cunning and alertness, and these in turn by intellectual keenness and the power of association. The environment of man has grown far more complex with civilization, and with the standard of fitness the surviving kind has changed. None the less, the law of natural selection remains the same for man as for the biological organism; the fittest show their character by surviving in the struggle for existence; struggle is a process of selection, and so of progress. The law applies, not alone to individual men, but to all the units that multiply with slight variation and compete in the social world. Individual men are "selected," as fitter for their place than their competitors. The fittest group in each form of social struggle shows its fitness by surviving, and with the group survive and are perpetuated its institutions. Language, and philosophy, and ethics, the form of state or of the family that make their respective groups "fittest," are the institutions that survive and are perpetuated, and their authority is the outcome of their success.

**1. Survival of the Fittest Individuals.**—The survival of the fittest can best be understood by a study of the units that survive. I speak, therefore, of the survival of individuals, of groups, and of institutions (using the word "institution" in a broad sense).

**Man Subject to the Biological Law.**—The contest and survival of individuals is an outgrowth of struggle and survival in the biological world, and, as we have seen, it never entirely loses its original character. In the competition of organisms, those best adapted to given physical conditions survive and multiply their kind; disease, famine, and beasts of prey destroy the less fit. The same forces have not lost their power to destroy the less fit among men. Consumption, fever, or malaria finds a footing in weaker constitutions; and we know the survivors to

be "tougher," from the fact that they survive. Hunger and want and cold do not permit the more delicate to live; the fittest to meet these conditions survive longest. The beast of prey, and man's most cruel enemy, who is man himself, catch the weaker, the faint-hearted, the head that is not cool. A generation has passed since the Franco-Prussian war, and the young men enlisted in France in 1894<sup>1</sup> are said to have been a finer set of men physically than had ever been examined in that country before. It is said that a generation after any of the great wars of Europe, the population born of those who survived the war has been of higher grade than before or after.

**The "Fittest" Type Increases most Rapidly.**—In the case of man, another factor is more important here than the mere continuation of the individual's life. Under different physical and social conditions, man's rate of increase differs as does that of no other animal. The survival of a type of individual depends mainly on the relative number of children brought to maturity by those who represent that type. As Lapouge has pointed out,<sup>2</sup> if we suppose a difference in the number of mature offspring of three in one family to four in another, and suppose this difference to be kept up, in the fifth generation the offspring of one family will number more than three times those of the other. And if we suppose the influence of a higher death-rate to be added to the influence of a lesser birth-rate, it becomes evident that one type of man may all but disappear before a type that is physically superior, in a very few generations. Perhaps it is quite unnecessary to remind the reader that the "fittest" type from this physical standpoint is not the highest type socially, or intellectually, or morally; nor yet is it the lowest. In Germany, the peasant class is called the basis of society; in every country, the future of the nation depends primarily on that class

<sup>1</sup> V. Ammon, *Die Gesellschaftsordnung und ihre natürliche Grundlagen*, S. 238.

<sup>2</sup> *Revue Internationale de Sociologie*, I.

which raises up men to inherit its culture, and to carry on its work.

**The "Fittest" Type Rises in Social Struggles.**—In the truly human struggle, comparatively few individuals are thrown together in each form of struggle, and the end is not mere survival, but *social* survival. I mean that man seeks not only to get food, but to secure a better and better social position. He shows himself to be "more fit" by surviving and having children, but also by holding his original place, and securing a higher place in society as the outcome of struggle. The printer's boy advances by common-sense, pluck, and skill, till he can set up for himself; the small office becomes a large printing establishment; and at length the successful printer ventures in the field of publishing, where the same qualities win him success a second time. In all his struggle, in all human struggle, the aim is "social" survival; he shows his fitness for the difficult and delicate duties of high position, and being the fittest, he survives all his competitors by rising out of the lower kind of competition.

**Apparatus for determining the Survival of the Fittest.**—The actual outcome of the social process in which the more fit tend to survive and multiply (physical survival of the fittest), and, at the same time, to rise to higher positions in society (social survival of the fittest), depends largely on the organization of a given society. In order to attain the necessary unity and rigidity, a society (unconsciously) sets close limits to its constituent classes. The exceedingly unfit may be thrown out of a given class, but there is no regular channel by which the better man can rise to the position for which he is adapted. Rigid barriers, once useful to society, have now been quite generally removed; and with the removal of barriers has constantly been associated a more or less definite apparatus for weeding out the unfit, and advancing those who are fit for better things. In the contest for industrial position, the laborer who can most economically perform a given task is

the *only* one to whom an employer can afford to give that task. Each industrial crisis constitutes a severe test for everyone in the industrial world; the less fit are thrown out of their place, at whatever point in the industrial world their place may be. The so-called "out of work" class simply consists of those whose work cannot be utilized, either temporarily or permanently. During periods of industrial expansion, the man of wisdom, skill, and vigor expects advancement, because new positions are being created for which these are the only recommendation. In the economic struggle, advancement and testing for fitness are partially separated by the present type of social organization. In "social" intercourse barriers are rather more rigid, and the apparatus for determining the survival of the fittest is less fully developed. Nevertheless, marked cases of unfitness are weeded out of their class, and disapprobation, expressed in many forms, drives them elsewhere. Conversely, those who are endowed with truly social gifts of brightness, friendliness, and delicate perception find a welcome in social circles called higher than their own, and rise by the admiration they command.

*Apparatus for determining Survival in Political Life.*— In political life, the facts of survival and of failure to survive, the machinery for advancing the better and weeding out the poorer, cannot escape the observer's notice. Take, for example, the German army.<sup>1</sup> The number competing for each position seems unduly large. An officer is thrown out of his command for what would seem a trivial failure—some lack in a parade, for which he has only the remotest responsibility, some jealousy on the part of fellow-officers, some harsh word that rankles in the mind of a subordinate. Undoubtedly many excellent soldiers are thrown out by such methods. The result, however, is that only the most cautious, the wisest, the most courageous, are advanced. Compared with a system where seniority, pure

<sup>1</sup> Cf. Ammon. *Die Gesellschaftsordnung und ihre natürliche Grundlagen*. S. 226 seq.

and simple, is the test of fitness, the superiority of the German system is only too apparent. Or, if we look at the English Civil Service system, we find an elaborate apparatus set up by society, to throw out candidates for office who lack some simple qualifications, and to advance to severer tests those who have these qualifications. In other countries, where the fitness of those appointed to office seems wholly lacking, the explanation is found in the social apparatus for determining who are the fit. Good fellowship, political trickery, and cunning, some reciprocal service to the appointing power—these and similar qualifications too commonly constitute the test of fitness, by which a nation permits candidates for office to rise or fall. Men gain political office, or lose it, as they are adapted to present conditions. A society determines what it approves, and establishes a particular apparatus for advancing the approved and throwing out the disapproved; it is a law of nature that those deemed fittest survive. The key to the part this process plays in progress is found in the contest of nation with nation, in which those with false standards of fitness cannot long hold their own.

*Survival of Individuals in Psychological Life.*—In the psychological life of society, finally, the same truth holds good. The individual's position in the intellectual world, in the world of art, of morals, of religion, is determined by his adaptation as judged by the social standard. The process begins in the schools. Those who fail to do the work in the lower school and the "grammar school" successfully, do not go on to the "high school"; those who fail or lose interest in the high school and "academy," do not go on to the college and the university. The fact that examinations are passed successfully, opens the way to higher opportunities; those who have shown themselves fit are advanced, while those who are thrown out must work at a considerable disadvantage, if they would win position in the literary or educational world. The law of nature is that the fittest man survives and rises. Society, however,

determines the standard of fitness, and the social standard constantly needs revising, that it may do its work properly. The penalty for the society that persists in judging by a false standard, will appear as we go on to consider the fate of social groups in the struggle for existence.

**2. Survival of Social Groups in the Struggle for Existence.**—The struggle of group with group repeats the story of survival and of destruction. The simple groups of uncivilized life—the tribe or the “horde”—can only survive by proving their fitness to given conditions. Some savage genius introduces a wise organization and a strenuous rule before which surrounding tribes can make no resistance. The savage empire thus produced has no elements of permanence; with the death of its founder the central power decays, and no part has such fitness that it can assume the leadership. Still in this process, repeated from time to time, lie the possibility and the hope of progress, for each new leader brings some slight “variation,” and if the “varieties” prove better fitted to the conditions and are preserved, they form a starting-point for future, still more fit, varieties.

The simple struggle of savage tribes has become a contest of nations, but the result is the same. The fittest prove their fitness in the struggle, and survive. Rome was the fittest to conquer and to rule, and the world became the Roman World. The superior strength of Germany thirty years ago proved that her social and military organizations were better fitted to existing conditions than were those of France. A nation's strength, its power to survive, is determined by its relative fitness to the conditions of modern national life. The fittest nation survives, gains in power, and helps to shape the future conditions of political life.

**Survival of Fittest Group is the Survival of the Fittest Social Organization.**—In fact, it is in the contest of group with group that the law of survival works out the gradual improvement of social organization. The point where a society

is most severely tested is its organization, and slight superiority here counts for much. On the lower stages of social development it may be simply the rigidity of a tribe under able command that makes it strong—as Bagehot has shown in his brilliant essays—and later, an element of flexibility adds greater strength. The apparatus for determining the survival and advancement of the fittest individuals is a most important element in the strength of a society. That society which first develops a system that utilizes the gifts of individuals, without weakening the structure of the group, gains an immense advantage over its competitors. A nation which, like Turkey to-day, refuses to use the talents men might have for statesmanship, can expect only a rotten existence, wholly dependent on the interests of foreign states. In a word, the standard of fitness which a group may set up for its members, determines which members shall survive and be advanced; but the group which sets up a low or false standard is itself condemned to failure in the contest of group with group.

#### **Factors determining the Fitness of a Surviving Group.**

(a) *The Family*.—It is equally true that the particular form of organization in each separate mode of social activity is a source of added weakness or added strength to the society as a whole. The development of the family was worked out in early times along this line. The recognition of the child's connection with its mother as something more, and more lasting, than the physical connection with the source of its early food, helped to develop cohesion in the tribe. Later, the recognition of the father's authority over his property, in the patriarchal family, was a firmer bond of union and a source of increased strength to those tribes that adopted it. The higher ideal of the monogamous family has finally won its place because it is the basis of a deeper and truer national life than the forms that preceded it. The authority of the family-ideal lies in the fact that it has proved its fitness by lending strength to groups in the struggle for existence.

(b) *Industrial Organization.*—The industrial organization of society has in like manner proved the fitness of each stage of its development by the strength it has given to social groups in their struggle for existence. The tribe that kept its captives as slaves, could develop a far more complex and more permanent organization than the tribe that destroyed its enemies in war. But when men had learned the power of application and self-control, slavery became a menace to the nation instead of a source of strength. By the same law that called it into being—the law that excellence of an industrial organization is tested by the strength it gives the group—by this same law the doom of slavery was pronounced. It made the nation weaker morally, industrially, physically; and this was most strikingly proved by the war of 1861. The present industrial problems are being worked out on the same line, and the solutions offered are judged by the same test. Discontent among workmen and liability to strikes are sources of industrial weakness; oppression of isolated industrial groups, short-sighted monopolies that seek to reap quick harvests from ill-gotten power, excessive speculation, are sources of weakness; corporations that use these methods are at a disadvantage in their contest with other industrial groups, and the nation where such methods prevail is at a disadvantage in competition with other nations. Solutions for these problems are offered, and in the industrial struggle they are tested, until at length the right solution shows its authority by proving its fitness to make the industrial group and the nation strong.

(c) *Political Principles.* — Political life furnishes the clearest example of the principle under consideration. Political parties, if they perform at all their proper functions, present to the people clear issues on questions most important to the nation's life. The successful party represents the nation's decision on these questions. If that decision is proved wrong by the fact that it makes the nation weaker in the struggle for its position among the nations, the

people have opportunity to change their decision. The party's policy is judged first in the contest with other parties, and then in the contest of nation with nation ; its correctness is shown by its power to make the nation strong and respected. According to this principle, the state has won its right to exercise authority, and the governed have won the right to protest against an unwise and unjust government. According to the same principle, questions as to the limits of state activity are being tested to-day. Men use argument to persuade the people that it is worth while for the state to attempt to extend the sphere of its activity ; the question is temporarily decided in the Reichstag or in Parliament, but the real decision depends on the severe test of fitness in the struggle for existence. Whatever limit to state activity proves its fitness by making the nation strong, this limit may lay claim to truth.

(d) *The Standard of Right, Truth, and Beauty.*—Once more, in the psychical sphere the questions of truth, and right, and beauty are decided by the same test of fitness. The introduction and development of a new standard of right have already been outlined (p. 275). The contest of ideals is carried on at length by a contest of parties representing ideals. The party which triumphs in the contest successfully asserts a temporary authority for its ideal ; the real test comes, however, when the recognition of the ideal enforced by the successful party works out its effect in the life of the people. If the new ideal produces more earnest, more upright, truer men, if it binds families together in a deeper common life, if it makes men better citizens by kindling their devotion to the state, the new ideal proves its real authority by making the man, the family, the state, better fitted for the struggle of life. The religious man uses the old motto " *Vox populi, vox Dei* " in the deeper sense in which its truth cannot be questioned : God's voice proclaims the *right* to each age and each people, in the ideal which makes that people best able to do its work in its own age.

The test of truth and beauty is essentially the same. Men have tried in vain—first with military force, and then with the power of the ballot—to *make* propositions true. The immediate test of a new proposition is its acceptance by the few minds best qualified to express an opinion upon it. Every new opinion has to run a gauntlet in the learned world, and under criticism its strong points and its weak points are revealed. So every new departure in art has to justify itself to the art critics, and through them to the public. This is only the preliminary test of excellence. The new opinion in science requires the further test of experience in its favor. It is *true*, if it makes the student improvement better fitted to deal with the problems of science: true, if it leads to further discovery and useful invention; true, if familiar facts receive new light and new meaning from it. The ultimate test is its manifested power to make men better fitted to deal with the objects of scientific study. And a new conception of beauty has not proved its right to be, by making a few converts among critics. When its power to stimulate and elevate the human soul has been demonstrated, when human life has been enriched by it, when it has made men better fitted for the work of life—then it may lay claim to authority.

**3. Survival of the Fittest Institutions.**—In discussing the survival of the fittest in the struggle of social groups, I have almost inevitably spoken of the survival of the fittest institutions, which have made the groups what they are. The general type of social organization, which makes a social group strong in the contest of groups, has proved its fitness by the survival of the group that it has characterized. So, too, each particular phase of social organization is, as we have seen, a source of added strength or weakness. The form of industrial organization in all its details, the type of the family and the class organization in social life, the legal and political organization of a society, the place it gives to psychical life and the forms of psychical life

which are encouraged—all these phases of its life are the institutions in which it finds strength or weakness. The struggle and survival of institutions are essentially the struggle of social groups and the survival of the groups which find strength in their institutions. The contest of ideas and ideals is essentially a contest of groups representing ideas or ideals; and in the success and survival of the group, the ideas are proved true or false.

**The Process of "Survival" of Social Institutions.**—It is important to form a clear idea of the process of "survival" for social institutions, as well as for all ideals and ideas, because this is the manner in which every reform must win its success, the process by which ethical and intellectual life develops. Each new phase of social life, each new line of thought or of conduct, must first prove its excellence to the few who are fitted to judge it, and through them to the people generally. The new and the old first compete for the approval of the individual mind; apostles of the new urge its claims upon all who will listen; if the new phase of social life passes this test successfully, it becomes incorporated in the life of a people, and its fortunes are identified with the people's fortunes. Then comes the second test—the test by the effect on the people's life. What helps the people to survive, ultimately proves its "fitness" by surviving. The new "variety" survives, if it is the fittest, (*a*) by appealing to individual reason, and (*b*) by proving its adaptation in the fact that it makes the social group better equipped in the struggle with other groups.

**Authority and Stability of Social Institutions.**—The manner in which each social institution has thus been born of struggle, explains both its authority and its claim to stability. A particular government, so the science of politics asserts, has authority over its people, because it is an institution that has proved its right to exercise authority in the severest kind of struggle, by the severest test by which it could be tested. It continues to exercise author-

ity rightfully, just so long as it continues to meet the requirements of this test. Its stability depends on its relative justification to the minds of critics, and on its ultimate justification in that it makes the nation strong to meet its difficulties and to fulfil its tasks. Or, again, in the theory of knowledge, the authority of truth and its unchanging character depend on the struggle in which truth must originally assert its power, and continually reassert it. "Materialistic," "idealistic," and "critical" views of the world each claim to be true. The first test is power to command the assent of thinkers, the second is the test of life. Any truth that passes these tests has enduring authority, and the particular statement of that truth has authority so long as *it* meets these tests. Such an institution as the "public school," such an ideal as that of true charity to classes that become dependent, derives its authority from the twofold struggle in which it prevails; and so long as it meets the test, it produces stability, and rightfully exercises authority.

**Principle of Development in the Present Idea of Authority.**—The present account of the social authority and stability of institutions does not, however, at all exclude a principle of change. So soon as a new phase of social life—perhaps a new type of trades-union, with more attention to the higher needs of workmen—claims recognition, the authority of the earlier phase must reassert itself, or be supplanted. In Greek legend, one dynasty of the gods falls before a new and stronger dynasty. Such is the history of ideals and institutions in the process of social development. New ideals, born of the old, assert superior authority; the good yields to the better; but the stability of truth and the authority of right remain unquestioned.

**Progress by the Survival of the Fittest.**—"Progress has been due to the opportunity of those individuals who are a little superior in some respects to their fellows of asserting their superiority, and of continuing to live, and of

promulgating, as an inheritance, that superiority." <sup>1</sup> The doctrine of natural selection and the survival of the fittest in human society, represents simply the principle that those types best fitted to live are the ones that survive. This principle it applies, not to individuals alone, but also to social groups and to the ideal and institutions which social groups represent. In the simple principle of selection, the modern science of society finds the key to social development. In biology, selection meant development of new and higher types, because the conditions of life were constantly changing; and the rise of new biological types was the basis for yet more complex and higher types of plants and animals. In human society, the principle of selection becomes in truth a principle of *progress*, because the development of higher social types produces those conditions which make yet higher types possible. As the conditions of social existence become, not only more complex, but also more truly human, the type of the "best adapted" becomes higher; with each step in development is given the stimulus to a further and higher development.

Students of history have often sought to explain progress by pointing out the conditions of progress. The great lesson of the theory of natural selection, as applied to human society, is that it is not external conditions which account for progress. Rather in the selection of the better men, the better social groups, the better social institutions and ideals, the power of each social unit to utilize favorable conditions is developed and increased. The true key to progress is found in the development of the faculty to use the so-called external conditions of progress.

<sup>1</sup> Professor Flower, "Reply to an Address by the Trades Council, Newcastle, September, 1889." Quoted by Mr. B. Kidd, *Social Evolution*, p. 34.



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THIS bibliography was originally intended simply as a list of the more important books which had been found useful in the preparation of the present work ; while it has been increased by the addition of many titles of recent books, it still lays no claim to completeness. The aim is rather to guide the student to further material along the lines suggested in the body of the work ; and in order to make it as practical as possible, it is arranged according to the headings of the chapters in the book itself.

### ABBREVIATIONS

- A. A. P. S.* *American Academy of Political and Social Science.* Annals and Transactions. Philadelphia, 1890-.
- A. J. S.* *American Journal of Sociology.* Chicago, 1895-.
- I. J. E.* *International Journal of Ethics.* Philadelphia, 1891-.
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